

Wit, et al. v. United Behavioral Health, No. 14-cv-02346-JCS
Alexander, et al. v. United Behavioral Health, No. 14-cv-05337-JCS

Joint Trial Exhibit List

October 17, 2017

Trial Ex. No.	Beginning Bates / Range	End Bates	Date	Description	Depo. Exhibit(s)	Date Offered Into Evidence	Date Received Into Evidence	Limitations on Use
1	UBHWIT0014654	UBHWIT0014731	3/28/2011	2011 Level of Care Guidelines, start 03/28/2011, end 03/26/2012	Triana (4-18-17) Ex. 3; Martorana Ex. 3; Niewenhou Ex. 1; Fishman Ex. 5; Plakun Ex. 7			
2	UBHWIT0000001	UBHWIT0000081	3/26/2012	2012 Level of Care Guidelines, start 03/26/2012, end 03/19/2013	Martorana Ex. 4; Niewenhou Ex. 2; Triana (4-18-17) Ex. 4; Fishman Ex. 6 (UBHWIT0246105-85); Plakun Ex. 8 (UBHWIT0343463-543); Simpatico Ex. 6 (excerpt - no bates)			
3	UBHWIT0000082	UBHWIT0000169	3/19/2013	2013 Level of Care Guidelines, start 03/19/2013, end 01/21/2014	Allchin (1-18-16) Ex. 2; Niewenhou Ex. 3; Pfeifer Ex. 9; Plakun Ex. 9; Triana (4-18-17) Ex. 5; Martorana Ex. 5 (missing 2 pgs); Fishman Ex. 7 (UBHWIT0246186-273); Matusko Ex. 11 (shown excerpt at UBHWIT0000113-16)			
4	UBHWIT0000170	UBHWIT0000267	1/21/2014	2014 Level of Care Guidelines, start 01/21/2014, end 01/20/2015	Plakun Ex. 10; Martorana Ex. 6; Fishman Ex. 8 (UBHWIT0246274-371); Niewenhou Ex. 4 and Regan Ex. 8 and Triana (4-18-17) Ex. 6 (UBHWIT0117807-911)			
5	UBHWIT0354266	UBHWIT0354398	1/20/2015	2015 Level of Care Guidelines , start 01/20/2015, end 01/19/2016	Fishman Ex. 9 and Martorana Ex. 7 and Plakun Ex. 11 (UBHWIT0253006-119); Niewenhou Ex. 5 and Regan Ex. 9 and Triana (4-18-17) Ex. 7 (UBHWIT0351404-536); Triana (1-28-16) Ex. 12 and Urban Ex. 1 (no bates)			
6	UBHWIT0353748	UBHWIT0353891	1/19/2016	2016 Level of Care Guidelines (January), start 01/19/2016, end 06/20/2016	Niewenhou Ex. 6 and Rockswold Ex. 7 and Triana (4-18-17) Ex. 8 (all w/bates UBHWIT0489863-490008); Wetherbee Ex. 3 (w/bates UBHWIT0353146-291)			
7	UBHWIT0656347	UBHWIT0656491	6/20/2016	2016 Level of Care Guidelines (June), start 06/20/2016, end 03/12/2017	Fishman Ex. 10; Plakun Ex. 12; Alam Ex. 8 and Allchin (4-26-17) Ex. 2 and Martorana Ex. 8 (UBHWIT0353447-591); Chenven Ex. 3 (intro & common criteria only at 353447-461); Simpatico Ex. 1 (excerpts - no bates)			

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8	UBHWT0606928 UBHWT0622889 UBHWT0606932 UBHWT0622943	UBHWT0606931 UBHWT0622892 UBHWT0606963 UBHWT0622957	3/12/2017	2017 Level of Care Guidelines, start 03/12/2017, end Present, attached as Exhibit B to Stipulations of Fact filed on June 9, 2017 (Wit ECF No. 257).	January 2017: Fishman Ex. 11; Martorana Ex. 9; Plakun Ex. 13; Rockswold Ex. 9; Rockswold Ex. 11; Rockswold Ex. 13 (<i>all are different excerpts</i>) March 2017: Triana (4-18-17) Ex. 9 - appears to be complete copy; excerpts at Nieuwenhou Exs. 8-11 and Rockswold Exs. 10, 12, 14			
9	UBHWT0009571	UBHWT0009580	6/1/2010	CDG for Outpatient Treatment of Obsessive Compulsive Disorder, start 06/01/2010, end 02/01/2012				
10	UBHWT0009652	UBHWT0009659	8/1/2010	CDG for Custodial Care and Inpatient Services, start 08/01/2010, end 12/01/2011	Included in Fishman Ex. 13 (no bates)			
11	UBHWT0011205	UBHWT0011220	8/1/2010	CDG for Residential Rehabilitation for Substance Use Disorders, start 08/01/2010, end 12/01/2011				
12	UBHWT0010945	UBHWT0010957	9/1/2010	CDG for Residential Treatment Center for Major Depressive Disorder, start 09/01/2010, end 04/17/2012				
13	UBHWT0011023	UBHWT0011035	10/1/2010	CDG for Intensive Outpatient Program for Major Depressive Disorder, start 10/01/2010, end 04/03/2012				
14	UBHWT0009601	UBHWT0009615	10/1/2010	CDG for Outpatient Treatment for Major Depressive Disorder (MDD), start 10/01/2010, end 04/03/2012				
15	UBHWT0010940	UBHWT0010944	10/1/2010	CDG for Conduct Disorder, start 10/01/2010, end 04/17/2012				
16	UBHWT0011187	UBHWT0011191	10/1/2010	CDG for Personality Disorders, start 10/01/2010, end 04/17/2012				
17	UBHWT0010966	UBHWT0010974	11/1/2010	CDG for Extended Outpatient Treatment Visits, start 11/01/2010, end 05/01/2012				
18	UBHWT0011036	UBHWT0011040	11/1/2010	CDG for Learning Disorders, start 11/01/2010, end 05/01/2012				
19	UBHWT0011057	UBHWT0011069	11/1/2010	CDG for Outpatient Treatment of Anorexia Nervosa, start 11/01/2010, end 11/01/2012				
20	UBHWT0010984	UBHWT0010999	11/1/2010	CDG for Intensive Outpatient Program for Anorexia Nervosa, start 11/01/2010, end 12/01/2012				
21	UBHWT0010909	UBHWT0010924	11/1/2010	CDG for Residential Treatment Center for Anorexia Nervosa, start 11/01/2010, end 12/01/2012				
22	UBHWT0011041	UBHWT0011056	11/1/2010	CDG for Outpatient Treatment of Attention-Deficit/Hyperactivity Disorder, start 11/01/2010, end 07/01/2011				
23	UBHWT0011240	UBHWT0011244	12/1/2010	CDG for Motor Skills Disorder, start 12/01/2010, end 05/01/2012				
24	UBHWT0011631	UBHWT0011652	1/1/2011	CDG for Residential Treatment of Bipolar Disorder, start 01/01/2011, end 06/01/2012				
25	UBHWT0011653	UBHWT0011673	1/1/2011	CDG for Intensive Outpatient Treatment of Bipolar Disorder, start 01/01/2011, end 08/01/2012				
26	UBHWT0011434	UBHWT0011451	1/1/2011	CDG for Intensive Outpatient Treatment of Posttraumatic Stress Disorder, start 01/01/2011, end 08/01/2012				
27	UBHWT0011722	UBHWT0011733	1/1/2011	CDG for Outpatient Treatment of Generalized Anxiety Disorder, start 01/01/2011, end 08/01/2012				
28	UBHWT0011380	UBHWT0011395	1/1/2011	CDG for Outpatient Treatment of Posttraumatic Stress Disorder, start 01/01/2011, end 08/01/2012				
29	UBHWT0011416	UBHWT0011433	1/1/2011	CDG for Residential Treatment of Posttraumatic Stress Disorder, start 01/01/2011, end 08/01/2012				
30	UBHWT0001234	UBHWT0001252	1/1/2011	CDG for Outpatient Treatment of Bipolar Disorder, start 01/01/2011, end 08/01/2012				
31	UBHWT0011818	UBHWT0011833	2/1/2011	CDG for Intensive Outpatient Treatment for Bulimia Nervosa, start 02/01/2011, end 01/01/2013				
32	UBHWT0011869	UBHWT0011885	2/1/2011	CDG for Residential Treatment of Bulimia Nervosa, start 02/01/2011, end 03/01/2013				
33	UBHWT0011851	UBHWT0011868	3/1/2011	CDG for Intensive Outpatient Treatment for Schizophrenia, start 03/01/2011, end 11/01/2012				
34	UBHWT0011675	UBHWT0011691	3/1/2011	CDG for Outpatient Treatment for Schizophrenia, start 03/01/2011, end 11/01/2012				
35	UBHWT0011576	UBHWT0011594	3/1/2011	CDG for Residential Treatment for Schizophrenia, start 03/01/2011, end 11/01/2012				
36	UBHWT0011964	UBHWT0011977	3/1/2011	CDG for Outpatient Treatment of Bulimia Nervosa, start 03/01/2011, end 03/01/2013				

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37	UBHWIT0011708	UBHWIT0011721	3/29/2011	CDG for Outpatient Treatment of Dysthymic Disorder, start 03/29/2011, end 11/01/2012				
38	UBHWIT0012011	UBHWIT0012018	4/1/2011	CDG for Not Otherwise Specified (NOS) Conditions, start 04/01/2011, end 09/01/2012				
39	UBHWIT0011950	UBHWIT0011963	4/1/2011	CDG for Outpatient Treatment of Panic Disorder, start 04/01/2011, end 10/01/2012				
40	UBHWIT0011997	UBHWIT0012010	4/1/2011	CDG for Outpatient Treatment of Adjustment Disorders, start 04/01/2011, end 11/01/2012				
41	UBHWIT0011734	UBHWIT0011748	4/1/2011	CDG for Outpatient Treatment of Oppositional Defiant Disorder (ODD), start 04/01/2011, end 11/01/2012				
42	UBHWIT0011913	UBHWIT0011928	5/1/2011	CDG for Intensive Outpatient Treatment of Oppositional Defiant Disorder (ODD), start 05/01/2011, end 11/01/2012				
43	UBHWIT0011784	UBHWIT0011790	5/1/2011	CDG for Mental Retardation, start 05/01/2011, end 11/01/2012				
44	UBHWIT0000421	UBHWIT0000436	5/1/2011	CDG for Residential Treatment of Oppositional Defiant Disorder (ODD), start 05/01/2011, end 11/01/2012	Allchin (1-18-16) Ex. 7			
45	UBHWIT0000386	UBHWIT0000403	7/1/2011	CDG for Outpatient Treatment of Attention-Deficit/Hyperactivity Disorder, start 07/01/2011, end 06/01/2013				
46	UBHWIT0000273	UBHWIT0000291	9/1/2011	CDG for Autism Spectrum Disorders & Intensive Behavior Therapy, start 09/01/2011, end 08/01/2013				
47	UBHWIT0001135	UBHWIT0001144	12/1/2011	CDG for Custodial Care and Inpatient Services, start 12/01/2011, end 01/01/2013	Included in Fishman Ex. 13 (no bates)			
48	UBHWIT0001118	UBHWIT0001134	12/1/2011	CDG for Residential Rehabilitation for Substance Use Disorders, start 12/01/2011, end 03/05/2013				
49	UBHWIT0000292	UBHWIT0000308	1/1/2012	CDG for Intensive Outpatient Program for Substance Use Disorders, start 01/01/2012, end 10/01/2012				
50	UBHWIT0000496	UBHWIT0000513	2/1/2012	CDG for Outpatient Treatment of Substance Use Disorders, start 02/01/2012, end 03/05/2013				
51	UBHWIT0000454	UBHWIT0000472	2/1/2012	CDG for Outpatient Treatment of Obsessive Compulsive Disorder, start 02/01/2012, end 06/01/2013				
52	UBHWIT0000437	UBHWIT0000453	4/3/2012	CDG for Intensive Outpatient Program for Major Depressive Disorder (MDD), start 04/03/2012, end 07/01/2013				
53	UBHWIT0000608	UBHWIT0000624	4/3/2012	CDG for Outpatient Treatment of Major Depressive Disorder (MDD), start 04/03/2012, end 07/01/2013				
54	UBHWIT0001091	UBHWIT0001109	4/17/2012	CDG for Residential Treatment Center for Major Depressive Disorder (MDD), start 04/17/2012, end 07/01/2013	Urban Ex. 12 (UBHWIT0083151-168)			
55	UBHWIT0001110	UBHWIT0001117	4/17/2012	CDG for Conduct Disorder, start 04/17/2012, end 08/01/2013				
56	UBHWIT0000943	UBHWIT0000949	4/17/2012	CDG for Personality Disorders, start 04/17/2012, end 08/01/2013				
57	UBHWIT0000850	UBHWIT0000858	5/1/2012	CDG for Extended Outpatient Sessions, start 05/01/2012, end 04/01/2013				
58	UBHWIT0001145	UBHWIT0001153	5/1/2012	CDG for Learning Disorders, start 05/01/2012, end 09/01/2013				
59	UBHWIT0000926	UBHWIT0000930	5/1/2012	CDG for Motor Skills Disorder, start 05/01/2012, end 09/01/2013				
60	UBHWIT0001050	UBHWIT0001070	6/1/2012	CDG for Residential Treatment of Bipolar Disorder, start 06/01/2012, end 11/01/2013				
61	UBHWIT0000832	UBHWIT0000844	8/1/2012	CDG for Outpatient Treatment of Generalized Anxiety Disorder, start 08/01/2012, end 09/01/2013				
62	UBHWIT0000695	UBHWIT0000713	8/1/2012	CDG for Intensive Outpatient Treatment of Posttraumatic Stress Disorder, start 08/01/2012, end 10/01/2013				
63	UBHWIT0000867	UBHWIT0000884	8/1/2012	CDG for Outpatient Treatment of Posttraumatic Stress Disorder, start 08/01/2012, end 10/01/2013				
64	UBHWIT0000811	UBHWIT0000831	8/1/2012	CDG for Residential Treatment of Posttraumatic Stress Disorder, start 08/01/2012, end 10/01/2013				
65	UBHWIT0000747	UBHWIT0000765	8/1/2012	CDG for Intensive Outpatient Treatment of Bipolar Disorder, start 08/01/2012, end 11/01/2013				
66	UBHWIT0001193	UBHWIT0001213	8/1/2012	CDG for Outpatient Treatment of Bipolar Disorder, start 08/01/2012, end 11/01/2013				
67	UBHWIT0000651	UBHWIT0000657	9/1/2012	CDG for Not Otherwise Specified (NOS) Conditions, start 09/01/2012, end 10/01/2013				
68	UBHWIT0001175	UBHWIT0001192	10/1/2012	CDG for Intensive Outpatient Program for Substance Use Disorders, start 10/01/2012, end 03/05/2013				
69	UBHWIT0000785	UBHWIT0000797	10/1/2012	CDG for Intensive Outpatient Treatment of Panic Disorder, start 10/01/2012, end 03/01/2014				
70	UBHWIT0000931	UBHWIT0000942	10/1/2012	CDG for Outpatient Treatment of Panic Disorder, start 10/01/2012, end 03/01/2014				
71	UBHWIT0000514	UBHWIT0000528	11/1/2012	CDG for Intensive Outpatient Treatment of Oppositional Defiant Disorder (ODD), start 11/01/2012, end 02/01/2014				

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72	UBHWT0000547	UBHWT0000559	11/1/2012	CDG for Outpatient Treatment of Oppositional Defiant Disorder (ODD), start 11/01/2012, end 02/01/2014				
73	UBHWT0095826	UBHWT0095840	11/1/2012	CDG for Residential Treatment of Oppositional Defiant Disorder (ODD), start 11/01/2012, end 02/01/2014				
74	UBHWT0000479	UBHWT0000495	11/1/2012	CDG for Outpatient Treatment of Anorexia Nervosa, start 11/01/2012, end 04/01/2014				
75	UBHWT0000732	UBHWT0000746	11/1/2012	CDG for Outpatient Treatment of Dysthymic Disorder, start 11/01/2012, end 07/01/2013				
76	UBHWT0000798	UBHWT0000810	11/1/2012	CDG for Outpatient Treatment of Adjustment Disorders, start 11/01/2012, end 10/01/2013				
77	UBHWT0001031	UBHWT0001049	11/1/2012	CDG for Intensive Outpatient Treatment of Schizophrenia and Schizoaffective Disorder, start 11/01/2012, end 11/01/2013				
78	UBHWT0000633	UBHWT0000650	11/1/2012	CDG for Outpatient Treatment of Schizophrenia and Schizoaffective Disorder, start 11/01/2012, end 11/01/2013				
79	UBHWT0001071	UBHWT0001090	11/1/2012	CDG for Residential Treatment of Schizophrenia and Schizoaffective Disorder, start 11/01/2012, end 11/01/2013				
80	UBHWT0000845	UBHWT0000849	11/1/2012	CDG for Impulse Control Disorders, start 11/01/2012, end 09/01/2013				
81	UBHWT0000580	UBHWT0000586	11/1/2012	CDG for Mental Retardation, start 11/01/2012, end 10/01/2013				
82	UBHWT0000529	UBHWT0000546	12/1/2012	CDG for Intensive Outpatient Treatment of Anorexia Nervosa, start 12/01/2012, end 04/01/2014				
83	UBHWT0000766	UBHWT0000784	12/1/2012	CDG for Residential Treatment of Anorexia Nervosa, start 12/01/2012, end 04/01/2014				
84	UBHWT0001431	UBHWT0001438	1/1/2013	CDG for Custodial Care and Inpatient & Residential Services, start 01/01/2013, end 02/01/2014	Included in Fishman Ex. 13 (no bates)			
85	UBHWT0013397	UBHWT0013414	1/1/2013	CDG for Intensive Outpatient Treatment of Bulimia Nervosa, start 01/01/2013, end 04/01/2014				
86	UBHWT0013376	UBHWT0013391	3/1/2013	CDG for Outpatient Treatment of Bulimia Nervosa, start 03/01/2013, end 04/01/2014				
87	UBHWT0013348	UBHWT0013365	3/1/2013	CDG for Residential Treatment of Bulimia Nervosa, start 03/01/2013, end 04/01/2014				
88	UBHWT0001405	UBHWT0001430	3/5/2013	CDG for Treatment of Substance Use Disorders, start 03/05/2013, end 03/01/2014	Included in Fishman Ex. 14			
89	UBHWT0001459	UBHWT0001467	4/1/2013	CDG for Extended Outpatient Sessions, start 04/01/2013, end 02/01/2014				
90	UBHWT0001544	UBHWT0001565	6/1/2013	CDG for Treatment of Obsessive Compulsive Disorder (OCD), start 06/01/2013, end 06/01/2014				
91	UBHWT0001300	UBHWT0001321	6/1/2013	CDG for Treatment of Attention-Deficit/Hyperactivity Disorder (ADHD), start 06/01/2013, end 06/01/2014				
92	UBHWT0013315	UBHWT0013338	7/1/2013	CDG for Treatment of Major Depressive Disorder (MDD) and Dysthymic Disorder, start 07/01/2013, end 04/01/2014				
93	UBHWT0001343	UBHWT0001370	8/1/2013	CDG for Autism Spectrum Disorder & Intensive Behavior Therapy, start 08/01/2013, end 10/01/2014				
94	UBHWT0001396	UBHWT0001404	8/1/2013	CDG for Conduct Disorder, start 08/01/2013, end 10/01/2014				
95	UBHWT0001566	UBHWT0001574	8/1/2013	CDG for Personality Disorders, start 08/01/2013, end 10/01/2014				
96	UBHWT0001439	UBHWT0001458	9/1/2013	CDG for Treatment of Dissociative Identity Disorder, start 09/01/2013, end 05/01/2014				
97	UBHWT0001531	UBHWT0001535	9/1/2013	CDG for Motor Skills Disorder, start 09/01/2013, end 09/01/2014				
98	UBHWT0001515	UBHWT0001523	9/1/2013	CDG for Learning Disorders, start 09/01/2013, end 10/01/2014				
99	UBHWT0001468	UBHWT0001489	9/1/2013	CDG for Treatment of Generalized Anxiety Disorder, start 09/01/2013, end 10/01/2014				
100	UBHWT0001510	UBHWT0001514	9/1/2013	CDG for Impulse Control Disorders, start 09/01/2013, end 10/01/2014				
101	UBHWT0001588	UBHWT0001612	10/1/2013	CDG for Treatment of Posttraumatic Stress Disorder (PTSD), start 10/01/2013, end 10/01/2015				
102	UBHWT0001524	UBHWT0001530	10/1/2013	CDG for Mental Retardation, start 10/01/2013, end 10/01/2014				
103	UBHWT0001536	UBHWT0001543	10/1/2013	CDG for Not Otherwise Specified (NOS) Conditions, start 10/01/2013, end 10/01/2014				
104	UBHWT0001322	UBHWT0001342	10/1/2013	CDG for Treatment of Adjustment Disorder, start 10/01/2013, end 10/01/2014				
105	UBHWT0001371	UBHWT0001395	11/1/2013	CDG for Treatment of Bipolar Disorder, start 11/01/2013, end 10/01/2014				
106	UBHWT0001613	UBHWT0001634	11/1/2013	CDG for Treatment of Reactive Attachment Disorder (RAD), start 11/01/2013, end 10/01/2014				
107	UBHWT0001253	UBHWT0001277	11/1/2013	CDG for Treatment of Schizophrenia & Schizoaffective Disorder, start 11/01/2013, end 10/01/2014				
108	UBHWT0001740	UBHWT0001747	2/1/2014	CDG for Custodial Care and Inpatient & Residential Services, start 02/01/2014, end 03/01/2015	Included in Fishman Ex. 13 (no bates); Martorana Ex. 11			
109	UBHWT0001756	UBHWT0001763	2/1/2014	CDG for Extended Outpatient Sessions, start 02/01/2014, end 03/01/2015				

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110	UBHWT0001830	UBHWT0001850	2/1/2014	CDG for Treatment of Specific Phobias, start 02/01/2014, end 10/01/2014				
111	UBHWT0001788	UBHWT0001808	2/1/2014	CDG for Treatment of Oppositional Defiant Disorder (ODD), start 02/01/2014, end 10/01/2014				
112	UBHWT0001709	UBHWT0001739	3/1/2014	CDG for Treatment of Substance Use Disorders, start 03/01/2014, end 10/01/2014	Included in Fishman Ex. 14 (no bates)			
113	UBHWT0001809	UBHWT0001829	3/1/2014	CDG for Treatment of Panic Disorder, start 03/01/2014, end 10/01/2014				
114	UBHWT0001681	UBHWT0001708	4/1/2014	CDG for Treatment of Bulimia Nervosa, start 04/01/2014, end 08/01/2014				
115	UBHWT0001653	UBHWT0001680	4/1/2014	CDG for Treatment of Anorexia Nervosa, start 04/01/2014, end 10/01/2014				
116	UBHWT0001764	UBHWT0001787	4/1/2014	CDG for Treatment of Major Depressive Disorder and Dysthymic Disorder, start 04/01/2014, end 10/01/2014	Urban Ex. 14 (UBHWT0090423-47)			
117	UBHWT0014427	UBHWT0014448	5/1/2014	CDG for Treatment of Dissociative Identity Disorder, start 05/01/2014, end 10/01/2014				
118	UBHWT0014403	UBHWT0014426	6/1/2014	CDG for Treatment of Attention-Deficit/Hyperactivity Disorder (ADHD), start 06/01/2014, end 10/01/2014				
119	UBHWT0014449	UBHWT0014471	6/1/2014	CDG for Treatment of Obsessive Compulsive Disorder (OCD), start 06/01/2014, end 10/01/2014				
120	UBHWT0013603	UBHWT0013630	8/1/2014	CDG for Treatment of Bulimia Nervosa, start 08/01/2014, end 10/01/2015				
121	UBHWT0014084	UBHWT0014091	9/1/2014	CDG for Motor Disorders, start 09/01/2014, end 05/01/2015				
122	UBHWT0013990	UBHWT0014020	10/1/2014	CDG for Treatment of Substance-Related & Addictive Disorders, start 10/01/2014, end 06/01/2015	Included in Fishman Ex. 14 (no bates); Tillitt Ex. 14 (with plfs' bates nos.)			
123	UBHWT0013742	UBHWT0013768	10/1/2014	CDG for Autism Spectrum Disorder & Intensive Behavior Therapy, start 10/01/2014, end 02/01/2015				
124	UBHWT0013545	UBHWT0013565	10/1/2014	CDG for Treatment of Adjustment Disorder, start 10/01/2014, end 07/01/2015				
125	UBHWT0014092	UBHWT0014111	10/1/2014	CDG for Treatment of Oppositional Defiant Disorder (ODD), start 10/01/2014, end 07/01/2015				
126	UBHWT0013566	UBHWT0013594	10/1/2014	CDG for Treatment of Anorexia Nervosa, start 10/01/2014, end 10/01/2015				
127	UBHWT0013808	UBHWT0013833	10/1/2014	CDG for Treatment of Bipolar and Related Disorders, start 10/01/2014, end 10/01/2015				
128	UBHWT0013871	UBHWT0013896	10/1/2014	CDG for Treatment of Depressive Disorders: Major Depressive Disorder, Persistent Depressive Disorder & Premenstrual Dysphoric Disorder (PMDD), start 10/01/2014, end 10/01/2015				
129	UBHWT0013639	UBHWT0013659	10/1/2014	CDG for Treatment of Dissociative Identity Disorder, start 10/01/2014, end 10/01/2015				
130	UBHWT0013966	UBHWT0013989	10/1/2014	CDG for Treatment of Generalized Anxiety Disorder, start 10/01/2014, end 10/01/2015				
131	UBHWT0014058	UBHWT0014083	10/1/2014	CDG for Treatment of Major and Mild Neurocognitive Disorders (Dementia), start 10/01/2014, end 10/01/2015				
132	UBHWT0013783	UBHWT0013807	10/1/2014	CDG for Treatment of Obsessive-Compulsive and Related Disorders, start 10/01/2014, end 10/01/2015				
133	UBHWT0013851	UBHWT0013870	10/1/2014	CDG for Treatment of Panic Disorder, start 10/01/2014, end 10/01/2015				
134	UBHWT0013923	UBHWT0013948	10/1/2014	CDG for Treatment of Schizophrenia & Schizoaffective Disorder, start 10/01/2014, end 10/01/2015				
135	UBHWT0014158	UBHWT0014178	10/1/2014	CDG for Treatment of Specific Phobias, start 10/01/2014, end 10/01/2015				
136	UBHWT0014129	UBHWT0014149	10/1/2014	CDG for Treatment of Reactive Attachment Disorder (RAD), start 10/01/2014, end 10/01/2015				
137	UBHWT0013842	UBHWT0013850	10/1/2014	CDG for Conduct Disorder, start 10/01/2014, end 07/01/2015				
138	UBHWT0013595	UBHWT0013602	10/1/2014	CDG for Binge Eating Disorder, start 10/01/2014, end 10/01/2015				
139	UBHWT0013834	UBHWT0013841	10/1/2014	CDG for Other Specified and Unspecified Disorders, start 10/01/2014, end 10/01/2015				
140	UBHWT0014120	UBHWT0014128	10/1/2014	CDG for Personality Disorders, start 10/01/2014, end 10/01/2015				
141	UBHWT0013957	UBHWT0013965	10/1/2014	CDG for Specific Learning Disorder, start 10/01/2014, end 10/01/2015				
142	UBHWT0013688	UBHWT0013712	10/1/2014	CDG for Treatment of Attention-Deficit/Hyperactivity Disorder (ADHD), start 10/01/2014, end 10/01/2015				
143	UBHWT0013668	UBHWT0013676	10/1/2014	CDG for Gambling Disorder, start 10/01/2014, end 10/01/2015				
144	UBHWT0013713	UBHWT0013717	10/1/2014	CDG for Impulse Control Disorders, start 10/01/2014, end 10/01/2015				
145	UBHWT0014050	UBHWT0014057	10/1/2014	CDG for Intellectual Disability (Intellectual Developmental Disorder), start 10/01/2014, end 10/01/2015				
146	UBHWT0093205	UBHWT0093246	2/1/2015	CDG for Autism Spectrum Disorders and Intensive Behavior Therapy, start 02/01/2015, end 08/01/2016				
147	UBHWT0092782	UBHWT0092810	2/1/2015	CDG for Treatment of Gender Dysphoria, start 02/01/2015, end 10/01/2015				
148	UBHWT0329356	UBHWT0329365	3/1/2015	CDG for Custodial Care and Inpatient & Residential Services, start 03/01/2015, end 04/01/2016	Included in Fishman Ex. 13 (no bates)			
149	UBHWT0329972	UBHWT0329983	3/1/2015	CDG for Extended Outpatient Sessions, start 03/01/2015, end 02/01/2016				
150	UBHWT0098512	UBHWT0098519	5/1/2015	CDG for Motor Disorders, start 05/01/2015, end 06/01/2015				

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Trial Ex. No.	Beginning Bates / Range	End Bates	Date	Description	Depo. Exhibit(s)	Date Offered Into Evidence	Date Received Into Evidence	Limitations on Use
151	UBHWIT0332441	UBHWIT0332477	6/1/2015	CDG for Treatment of Substance-Related and Addictive Disorders, start 06/01/2015, end 10/01/2015				
152	UBHWIT0331883	UBHWIT0331890	6/1/2015	CDG for Motor Disorders, start 06/01/2015, end 10/01/2015				
153	UBHWIT0093815	UBHWIT0093851	7/1/2015	CDG for Treatment of Adjustment Disorder, start 07/01/2015, end 10/01/2015				
154	UBHWIT0093866	UBHWIT0093875	7/1/2015	CDG for Conduct Disorder, start 07/01/2015, end 10/01/2015				
155	UBHWIT0093692	UBHWIT0093731	7/1/2015	CDG for Treatment of Oppositional Defiant Disorder (ODD), start 07/01/2015, end 10/01/2015				
156	UBHWIT0331797	UBHWIT0331834	10/1/2015	CDG for Treatment of Substance-Related and Addictive Disorders, start 10/01/2015, end 11/01/2016				
157	UBHWIT0263253	UBHWIT0263261	10/1/2015	CDG for Gambling Disorder, start 10/01/2015, end 11/01/2016				
158	UBHWIT0262831	UBHWIT0262841	10/1/2015	CDG for Personality Disorders, start 10/01/2015, end 02/01/2016				
159	UBHWIT0262496	UBHWIT0262527	10/1/2015	CDG for Treatment of Adjustment Disorder, start 10/01/2015, end 05/01/2016				
160	UBHWIT0331211	UBHWIT0331247	10/1/2015	CDG for Treatment of Post Traumatic Stress Disorder (PTSD), start 10/01/2015, end 07/01/2016				
161	UBHWIT0331248	UBHWIT0331281	10/1/2015	CDG for Treatment of Reactive Attachment Disorder (RAD), start 10/01/2015, end 07/01/2016				
162	UBHWIT0331282	UBHWIT0331319	10/1/2015	CDG for Treatment of Schizophrenia & Schizoaffective Disorder, start 10/01/2015, end 07/01/2016				
163	UBHWIT0330169	UBHWIT0330212	10/1/2015	CDG for Treatment of Anorexia Nervosa, start 10/01/2015, end 07/01/2016				
164	UBHWIT0330993	UBHWIT0331028	10/1/2015	CDG for Treatment of Major and Mild Neurocognitive Disorders (Dementia), start 10/01/2015, end 08/01/2016				
165	UBHWIT0263262	UBHWIT0263293	10/1/2015	CDG for Gender Dysphoria, start 10/01/2015, end 10/01/2016				
166	UBHWIT0330344	UBHWIT0330382	10/1/2015	CDG for Treatment of Depressive Disorders, start 10/01/2015, end 10/01/2016	Niewenhou Ex. 49 (no bates)			
167	UBHWIT0330417	UBHWIT0330447	10/1/2015	CDG for Treatment of Disruptive Mood Dysregulation Disorder, start 10/01/2015, end 10/01/2016				
168	UBHWIT0330383	UBHWIT0330416	10/1/2015	CDG for Treatment of Dissociative Identity Disorders (DID), start 10/01/2015, end 10/01/2016				
169	UBHWIT0331037	UBHWIT0331075	10/1/2015	CDG for Treatment of Obsessive-Compulsive and Related Disorders, start 10/01/2015, end 10/01/2016				
170	UBHWIT0262608	UBHWIT0262616	10/1/2015	CDG for Binge Eating Disorder, start 10/01/2015, end 10/01/2016				
171	UBHWIT0331129	UBHWIT0331137	10/1/2015	CDG for Other Specified and Unspecified Disorders (Previously NOS Disorders), start 10/01/2015, end 10/01/2016				
172	UBHWIT0262979	UBHWIT0262988	10/1/2015	CDG for Specific Learning Disorders, start 10/01/2015, end 10/01/2016				
173	UBHWIT0331330	UBHWIT0331361	10/1/2015	CDG for Treatment of Specific Phobias, start 10/01/2015, end 02/01/2016				
174	UBHWIT0263087	UBHWIT0263095	10/1/2015	CDG for Conduct Disorder, start 10/01/2015, end 03/01/2016				
175	UBHWIT0327598	UBHWIT0327604	10/1/2015	CDG for Impulse Control Disorders, start 10/01/2015, end 03/01/2016				
176	UBHWIT0263361	UBHWIT0263369	10/1/2015	CDG for Intellectual Disability (Mental Retardation), start 10/01/2015, end 03/01/2016				
177	UBHWIT0331464	UBHWIT0331471	10/1/2015	CDG for Motor Disorders, start 10/01/2015, end 03/01/2016				
178	UBHWIT0330505	UBHWIT0330539	10/1/2015	CDG for Treatment of Generalized Anxiety Disorder, start 10/01/2015, end 03/01/2016				
179	UBHWIT0262746	UBHWIT0262777	10/1/2015	CDG for Treatment of Oppositional Defiant Disorder (ODD), start 10/01/2015, end 03/01/2016				
180	UBHWIT0331166	UBHWIT0331199	10/1/2015	CDG for Treatment of Panic Disorder, start 10/01/2015, end 03/01/2016				
181	UBHWIT0330102	UBHWIT0330136	10/1/2015	CDG for Treatment of Attention-Deficit/Hyperactivity Disorder (ADHD), start 10/01/2015, end 04/01/2016				
182	UBHWIT0330258	UBHWIT0330294	10/1/2015	CDG for Treatment of Bipolar and Related Disorders, start 10/01/2015, end 05/01/2016				
183	UBHWIT0330295	UBHWIT0330334	10/1/2015	CDG for Treatment of Bulimia Nervosa, start 10/01/2015, end 06/01/2016				
184	No Bates	No Bates	11/1/2015	CDG for Treatment of Substance-Related & Addictive Disorders	Triana (1-28-16) Ex. 13			
185	UBHWIT0333529	UBHWIT0333566	2/1/2016	CDG for Treatment of Specific Phobias, start 02/01/2016, end 02/01/2017				
186	UBHWIT0333433	UBHWIT0333444	2/1/2016	CDG for Personality Disorders, start 02/01/2016, end 01/01/2017				
187	UBHWIT0332834	UBHWIT0332846	2/1/2016	CDG for Extended Outpatient Sessions, start 02/01/2016, end 02/01/2017				
188	UBHWIT0332877	UBHWIT0332917	3/1/2016	CDG for Treatment of Generalized Anxiety Disorder, start 03/01/2016, end 02/01/2017				
189	UBHWIT0333268	UBHWIT0333300	3/1/2016	CDG for Treatment of Oppositional Defiant Disorder (ODD), start 03/01/2016, end 02/01/2017				
190	UBHWIT0333358	UBHWIT0333397	3/1/2016	CDG for Treatment of Panic Disorder, start 03/01/2016, end 02/01/2017				
191	UBHWIT0333029	UBHWIT0333039	3/1/2016	CDG for Intellectual Disability (Intellectual Developmental Disorder), start 03/01/2016, end 01/01/2017				
192	UBHWIT0333071	UBHWIT0333078	3/1/2016	CDG for Motor Disorders, start 03/01/2016, end 01/01/2017				
193	UBHWIT0332661	UBHWIT0332670	3/1/2016	CDG for Conduct Disorder, start 03/01/2016, end 02/01/2017				
194	UBHWIT0333012	UBHWIT0333020	3/1/2016	CDG for Impulse Control Disorders, start 03/01/2016, end 02/01/2017				

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195	UBHWIT0332680	UBHWIT0332688	4/1/2016	CDG for Custodial Care and Inpatient & Residential Services, start 04/01/2016, end 03/01/2017	Included in Fishman Ex. 13 (no bates)			
196	UBHWIT0332579	UBHWIT0332622	4/1/2016	CDG for Treatment of Attention-Deficit/Hyperactivity Disorder (ADHD), start 04/01/2016, end 01/01/2017				
197	UBHWIT0382559	UBHWIT0382597	5/1/2016	CDG for Treatment of Bipolar and Related Disorders, start 05/01/2016, end 05/01/2017				
198	UBHWIT0382403	UBHWIT0382435	5/1/2016	CDG for Treatment of Adjustment Disorder, start 05/01/2016, end 05/01/2017				
199	UBHWIT0382598	UBHWIT0382634	6/1/2016	CDG for Treatment of Bulimia Nervosa, start 06/01/2016, end 01/01/2017				
200	UBHWIT0383103	UBHWIT0383140	7/1/2016	CDG for Treatment of Post Traumatic Stress Disorder (PTSD), start 07/01/2016, end 05/01/2017				
201	UBHWIT0383161	UBHWIT0383194	7/1/2016	CDG for Treatment of Reactive Attachment Disorder (RAD), start 07/01/2016, end 05/01/2017				
202	UBHWIT0383195	UBHWIT0383233	7/1/2016	CDG for Treatment of Schizophrenia & Schizoaffective Disorder, start 07/01/2016, end Present				
203	UBHWIT0382436	UBHWIT0382476	7/1/2016	CDG for Treatment of Anorexia Nervosa, start 07/01/2016, end 01/01/2017				
204	UBHWIT0382882	UBHWIT0382918	8/1/2016	CDG for Treatment of Major and Mild Neurocognitive Disorders (Dementia), start 08/01/2016, end Present				
205	UBHWIT0382514	UBHWIT0382549	8/1/2016	CDG for Autism Spectrum Disorder and Intensive Behavior Therapy, start 08/01/2016, end 01/01/2017				
206	UBHWIT0382652	UBHWIT0382691	10/1/2016	CDG for Treatment of Depressive Disorders: Major Depressive Disorder, Persistent Depressive Disorder & Premenstrual Dysphoric Disorder (PMDD), start 10/01/2016, end Present				
207	UBHWIT0382692	UBHWIT0382722	10/1/2016	CDG for Treatment of Disruptive Mood Dysregulation Disorder, start 10/01/2016, end Present				
208	UBHWIT0382927	UBHWIT0382964	10/1/2016	CDG for Treatment of Obsessive-Compulsive and Hoarding Disorder, start 10/01/2016, end Present				
209	UBHWIT0382723	UBHWIT0382729	10/1/2016	CDG for Dissociative Identity Disorder, start 10/01/2016, end Present				
210	UBHWIT0382786	UBHWIT0382794	10/1/2016	CDG for Gender Dysphoria, start 10/01/2016, end Present				
211	UBHWIT0383038	UBHWIT0383046	10/1/2016	CDG for Other Specified and Unspecified Disorders (Previously NOS Disorders), start 10/01/2016, end Present				
212	UBHWIT0382550	UBHWIT0382558	10/1/2016	CDG for Binge Eating Disorder, start 10/01/2016, end 01/01/2017				
213	UBHWIT0383242	UBHWIT0383251	10/1/2016	CDG for Specific Learning Disorder, start 10/01/2016, end 01/01/2017				
214	UBHWIT0382775	UBHWIT0382785	11/1/2016	CDG for Substance-Related and Addictive Disorders, start 11/01/2016, end Present				
215	UBHWIT0617299	UBHWIT0617309	1/1/2017	CDG for Feeding and Eating Disorders, start 01/01/2017, end Present				
216	UBHWIT0616958	UBHWIT0616971	1/1/2017	CDG for Neurodevelopmental Disorders, start 01/01/2017, end Present				
217	UBHWIT0617310	UBHWIT0617317	1/1/2017	CDG for Personality Disorders, start 01/01/2017, end Present				
218	No Bates	No Bates	2/1/2017	CDG for Anxiety Disorders, start 02/01/2017, end Present				
219	No Bates	No Bates	2/1/2017	CDG for Disruptive, Impulse-Control & Conduct Disorders, start 02/01/2017, end Present				
220	UBHWIT0622232	UBHWIT0622237	2/1/2017	CDG for Extended Outpatient Sessions, start 02/01/2017, end Present				
221	No Bates	No Bates	5/1/2017	CDG for Custodial care (inpatient & residential services), start 05/01/2017, end Present				
222	No Bates	No Bates	3/1/2017	CDG for Custodial care (inpatient & residential services), start 03/01/2017, end Present				
223	No Bates	No Bates	5/1/2017	CDG for Other Conditions That May Be a Focus of Clinical Attention (Previously V-Code Conditions), start 05/01/2017, end Present				
224	No Bates	No Bates	5/1/2017	CDG for Trauma- and Stressor-Related Disorders, start 05/01/2017, end Present				
225	UBHALEXANDER0000874	UBHALEXANDER0001034	1/1/2013	Plan - Alexander				
226	WIT_PTFs_0016846	WIT_PTFs_0016848	7/26/2013	Letter from UBH (Sherifa Iqbal, MD) to Jordan Alexander				
	WIT_PTFs_0016843	WIT_PTFs_0016845	8/26/2013	Letter from UBH (J. Lyndon Good, MD) to Jordan Alexander				
	WIT_PTFs_0016840	WIT_PTFs_0016842	9/16/2013	Letter from UBH (Lin Zhu, PhD) to Jordan Alexander				
	WIT_PTFs_0016835	WIT_PTFs_0016837	9/16/2013	Letter from UBH (Traice Webb, PhD) to Jordan Alexander				
	WIT_PTFs_0006593	WIT_PTFs_0006593	3/26/2014	Letter from UBH (Erin Spearman) to Utah Insurance Department	Alexander Ex. 23			
227	UBHALEXANDER0043724	UBHALEXANDER0043855	1/1/2013	Plan - Driscoll	Driscoll Ex. 1			
228	UBHALEXANDER0043856	UBHALEXANDER0043991	1/1/2014	Plan - Driscoll	Dehlin Ex. 9			
229	WIT_PTFs_0013955	WIT_PTFs_0013956	11/29/2012	Letter from UBH (Theodore A. Allchin, MD) to Sara Driscoll				
	No Bates	No Bates	10/4/2013	Letter from UBH (Shirley Kraft, RN) to Susan Driscoll				
	UBHALEXANDER0041354	UBHALEXANDER0041356	3/26/2014	Letter from UBH (Eugene Kwon, MD) to Sara Driscoll	Driscoll Ex. 7			
	UBHALEXANDER0041359	UBHALEXANDER0041362	6/2/2014	Letter from UBH (Andrew Martorana, MD) to Sara Driscoll				
	No Bates	No Bates	7/28/2014	Letter from Sara Driscoll to UBH				
	UBHALEXANDER0041364	UBHALEXANDER0041370	8/7/2014	Letter from UBH (Nancy Steichmann) to Sara Driscoll				
230	UBHWIT0005294	UBHWIT0005438	6/1/2012	Plan - Flanzraich	Dehlin Ex. 10			
231	WIT_PTFs_0000013	WIT_PTFs_0000184	1/1/2013	Plan - Flanzraich	Flanzraich Ex. 2			

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232	UBHWIT0007408	UBHWIT0007417	2/18/2013	Letter from UBH (Satwant Ahluwalia, MD) to Casey Flanzraich				
	UBHWIT0007554	UBHWIT0007565	8/2/2013	Letter from Lori Flanzraich to Optum Appeals Department				
	UBHWIT0007418	UBHWIT0007431	8/23/2013	Letter from UBH (Danesh Alam, MD) to Casey Flanzraich				
233	WIT_PTFs_0017199	WIT_PTFs_0017351	1/1/2011	Plan - Haffner				
234	WIT_PTFs_0017107	WIT_PTFs_0017113	12/5/2011	Letter from UBH (Cristina Motet-Grigoros, MD) to David Haffner				
	WIT_PTFs_0007965	WIT_PTFs_0007967	4/16/2012	Letter from David Haffner to UBH Appeals Department				
	WIT_PTFs_0017116	WIT_PTFs_0017118	5/17/2012	Letter from UBH (Andrew Martorana, MD) to David Haffner				
235	WIT_PTFs_0000482	WIT_PTFs_0000651	1/1/2013	Plan - Holdnak				
236	UBHWIT0007151	UBHWIT0007157	1/4/2014	Letter from UBH (Bernard Dicasimirro, DO) to Parent of Emily Holdnak				
	UBHWIT0007144	UBHWIT0007150	1/6/2014	Letter to Holdnak from UBH giving coverage for six days				
	UBHWIT0007142	UBHWIT0007143	1/27/2014	Letter from UBH (Wanda Coles) to Parent of Emily Holdnak				
	UBHWIT0007135	UBHWIT0007141	1/31/2014	Letter from UBH (Frank James, MD) to Parent of Emily Holdnak				
	UBHWIT0007128	UBHWIT0007134	2/1/2014	Letter from UBH (Bernard Dicasimirro, DO) to Parent of Emily Holdnak				
	UBHWIT0007158	UBHWIT0007172	3/25/2014	Letter from Cecilia Holdnak to UBH Appeals and Grievances				
	UBHWIT0007121	UBHWIT0007127	4/7/2014	Letter from UBH (Neal R. Satten, MD) to Parent of Emily Holdnak				
237	UBHALEXANDER0000087	UBHWIT0000280	1/1/2013	Plan - Klein	Dehlin Ex. 12 Klein Ex. 3			
238	WIT_PTFs_0012205	WIT_PTFs_0012206	9/2/2014	Letter from UBH (James Slayton, MD) to Corinna Klein				
	UBHALEXANDER_ML000030	UBHALEXANDER_ML000033	9/15/2014	Letter from Mark Leftert, MD to UBH				
	UBHALEXANDER0000060	UBHALEXANDER0000068	9/22/2014	Letter from UBH (Leslie Moldauer, MD) to Corinna Klein				
	UBHALEXANDER_ML000017	UBHALEXANDER_ML000024	10/21/2014	Letter from Mark Leftert, MD to UBH Appeals and Grievances				
239	UBHWIT0001904	UBHWIT0002011	1/1/2012	Plan - Muir	Dehlin Ex. 7			
240	UBHWIT0004755	UBHWIT0004756	3/7/2013	Letter from UBH (Jerome Kaufman, MD) to Brian Muir				
	UBHWIT0004757	UBHWIT0004763	3/7/2013	Letter from UBH (Jed Goldart, MD) to Brian Muir				
	UBHWIT0002633	UBHWIT0002634	8/29/2013	Letter from UBH (Tracy Jackson) to Brian Muir				
241	UBHWIT0006433	UBHWIT0006600	10/1/2013	Plan - Pfeifer	Dehlin Ex. 6			
242	UBHWIT0007486	UBHWIT0007487	11/1/2013	Letter from UBH (Malik Ahmed, MD) to Lauralee Pfeifer				
243	UBHWIT0007483	UBHWIT0007485	11/1/2013	Letter from UBH (Saul Helfing, MD) to Lauralee Pfeifer				
	UBHWIT0262057	UBHWIT0262220	1/1/2015	Plan - Tillitt	Dehlin Ex. 11			
	UBHWIT0184568	UBHWIT0184574	7/9/2015	Letter from UBH (Coleman H Wheeler, MD) to Maxwell Tillitt				
244	UBHWIT0184575	UBHWIT0184582	7/13/2015	Letter from UBH (Eugene Kwon, MD) to Maxwell Tillitt				
	UBHWIT0262222	UBHWIT0262223	7/23/2015	Letter from Linda Tillitt to UBH Appeals and Grievances				
	UBHWIT0262367	UBHWIT0262368	12/18/2015	Letter from UBH (Mashasta Houston) to Maxwell Tillitt				
245	UBHWIT0003653	UBHWIT0003838	1/1/2013	Plan - Wit	Dehlin Ex. 5			
246	UBHWIT0004049	UBHWIT0004054	5/3/2013	Letter from UBH (Theodore A. Allchin, MD) to Natasha Wit	Allchin (2-18-16) Ex. 4			
	WIT_PTFs_0006397	WIT_PTFs_0006403	5/3/2013	Letter from UBH (Roxane Sanders, MD) to Natasha Wit	Natasha Wit Ex. 8			
247				INTENTIONALLY LEFT BLANK				
248				INTENTIONALLY LEFT BLANK				
249				INTENTIONALLY LEFT BLANK				
250				INTENTIONALLY LEFT BLANK				
251				INTENTIONALLY LEFT BLANK				
252				INTENTIONALLY LEFT BLANK				
253				INTENTIONALLY LEFT BLANK				
254				INTENTIONALLY LEFT BLANK				
255				Class list (submitted by UBH; electronic form only)				
256	UBHWIT0071171	UBHWIT0071225	9/28/2011	Utilization Management Program Description 2011 [Template]	Beaty Ex. 5			
257	UBHWIT0071111	UBHWIT0071169	3/22/2012	Email with subject "Revised UMPD Template," with attachments.	Beaty Ex. 6 (just the UMPD attachment)			
258	UBHWIT0070863	UBHWIT0070952	2/14/2013	Email with subject "Behavioral UMPD and the UHC Charter," with attachments.	Beaty Ex. 2 and Triana (1-28-16) Ex. 7 (just the UMPD attachment)			
259	UBHWIT0071026	UBHWIT0071110	2/17/2014	Email with subject "UMPD Signed," with attachment.	Brock Ex. 18 and Triana (1-28-16) Ex. 6 (just the UMPD attachment)			
260	UBHWIT0263538	UBHWIT0263578	1/29/2015	Optum Utilization Management Program Description 2015				
261	UBHWIT0632403	UBHWIT0632445	8/22/2016	Email with subject "2016 UMPD," with attachment.				
262	UBHWIT0647318	UBHWIT0647398	1/25/2017	Email with subject "UMPD & Workplan - Need your approval," with attachments.				
263	UBHWIT0443708	UBHWIT0443714	12/1/2008	UBH Care Advocacy Policies and Procedures - Level of Care Guidelines, Psychological and Neuropsychological Testing Guidelines, Best Practice Guidelines and Supplemental and Measurable Guidelines				
264	UBHWIT0379510	UBHWIT0379514	8/1/2014	UBH Care Advocacy Policy - Clinical Guidelines: Development and Approval, Dissemination and Use, revised August 2014	Regan Ex. 3; Niewenhaus ex. 22; Rockswold Ex. 26			
265	UBHWIT0704371	UBHWIT0704375	8/1/2015	UBH Care Advocacy Policy - Clinical Guidelines: Development and Approval, Dissemination and Use (Aug 2015)	Rockswold Ex. 27; Martorana Ex. 1; Niewenhaus ex. 23			

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266	UBHWT0246057	UBHWT0246057	5/1/2014	Guideline Applicability Tool - Optum Clinical Guidelines May 2014				
267	UBHWT0246058	UBHWT0246058	6/1/2014	Guideline Applicability Tool - Optum Clinical Guidelines June 2014				
268	UBHWT0246059	UBHWT0246059	10/1/2014	Guideline Applicability Tool - Optum Clinical Guidelines October 2014				
269	UBHWT0246060	UBHWT0246060	11/1/2014	Guideline Applicability Tool - Optum Clinical Guidelines November 2014				
270	UBHWT0246061	UBHWT0246061	1/1/2015	Guideline Applicability Tool - Optum Clinical Guidelines January 2015	Niewenhou Ex. 36			
271	UBHWT0246062	UBHWT0246062	3/1/2015	Guideline Applicability Tool - Optum Clinical Guidelines March 2015				
272	UBHWT0246063	UBHWT0246063	5/1/2015	Guideline Applicability Tool - Optum Clinical Guidelines May 2015				
273	UBHWT0335866	UBHWT0335866	9/1/2015	Guideline Applicability Tool - Optum Clinical Guidelines September 2015	Niewenhou Ex. 40			
274	UBHWT0246065	UBHWT0246065	1/1/2016	Guideline Applicability Tool - Optum Clinical Guidelines January 2016	Niewenhou Ex. 39			
275	UBHWT0383494	UBHWT0383494	5/1/2016	Guideline Applicability Tool - Optum Clinical Guidelines May 2016				
276	UBHWT0383495	UBHWT0383495	7/1/2016	Guideline Applicability Tool - Optum Clinical Guidelines July 2016				
277	UBHWT0383496	UBHWT0383496	8/1/2016	Guideline Applicability Tool - Optum Clinical Guidelines August 2016				
278	UBHWT0617136	UBHWT0617136	1/1/2017	Guideline Applicability Tool - Optum Clinical Guidelines January 2017	Niewenhou Ex. 41			
279	UBHALEXANDER0032975	UBHALEXANDER0032975	6/1/2010	Flowchart: ALERT Outpatient Model - High Level Design	Brennecke ex. 2			
280	UBHALEXANDER0040280	UBHALEXANDER0040281	6/4/2010	ALERT Medical Necessity - Care Advocacy Intervention Script	Brennecke ex. 4			
281	UBHWT0083457	UBHWT0083458	11/1/2010	Developing a Hierarchy of Clinical Evidence for Coverage Determination Guidelines	Niewenhou Ex. 21			
282	UBHWT0335935	UBHWT0335936	3/1/2011	Clinical Practice Guidelines We Can Trust, Standards for Developing Trustworthy Clinical Practice Guidelines (CPGs)				
283	UBHWT0173375	UBHWT0173375	4/23/2012	OptumHealth Behavioral Solutions, Clinical Vision	Bonfield ex. 11			
284	UBHWT0426771	UBHWT0426771	9/27/2012	PowerPoint entitled "Finance 101"				
285	UBHWT0103547	UBHWT0103550	11/4/2013	Email with subject "Presentation slides for RMD meeting," with attachments.	Robinson Beale Ex. 2 (Bates 103547 and 103550 only)			
286	UBHWT0306934	UBHWT0306934	8/18/2015	Optum Health Development, Approval, Dissemination, Implementation and Use of Standards of Care for Behavioral Health PPT				
287	UBHWT0649868	UBHWT0649877	10/14/2016	Email with subject "Guidelines and Policies - News and Updates," with attachments.				
288	No Bates	No Bates	12/31/2015	United HealthCare Services, Inc. Organizational Chart	Dehlin ex. 1; Triana (1-28-16) ex. 2			
289	UBHWT0014732	UBHWT0014732	No Date	Organizational Chart of National Sites, Philadelphia, San Francisco, and Minnesota Physicians and Licensures	Brennecke ex. 7; Triana (1-28-16) Ex. 3			
290	No Bates	No Bates		LINX Denial Data Dictionary	Bridge Ex. 2			
291	No Bates	No Bates		ARTT Data Dictionary	Bridge Ex. 3			
292	UBHWT0072566	UBHWT0072567	9/1/2010	Behavioral Policy & Analytics Committee Description	Triana (1-28-16) ex. 8			
293	UBHWT0076596	UBHWT0076596	9/1/2010	Coverage Determination Committee Description	Triana (1-28-16) ex. 10			
294	UBHWT0646740	UBHWT0646741	1/12/2017	Optum Behavioral Utilization Management Committee Charter (UMC)	Rockswold Ex. 32			
295	UBHWT0072522	UBHWT0072525		Behavioral Policy & Analytics Committee Roster, 2010-2014	Urban ex. 6			
296	UBHWT0101254	UBHWT0101274	2013	OptumHealth Quality Management and Improvement and Utilization Management Programs, 2013 Evaluation	Beaty Ex. 15			
297	UBHWT0000268	UBHWT0000270	2014	Process for Developing and Disseminating Optum's Clinical Guidelines				
298	UBHWT0344010	UBHWT0344032	No Date	Optum Level of Care Guidelines Inter-Rater Reliability Measure Report (Template)				
299	UBHWT0379526	UBHWT0379538	2013	2013 Optum Inter-Rater Reliability Measure Report, Quality Management and Improvement Department, September 2013				
300	UBHWT0260474	UBHWT0260484	2014	2014 Optum Inter-Rater Reliability Measure Report, Overall Results and Summary, Quality Management and Improvement Department, August 2014				
301	UBHWT0263507	UBHWT0263516	2015	2015 Optum Inter-Rater Reliability Measure Report, Overall Results and Summary, Quality Management and Improvement Department, August 2015				
302	UBHWT0624013	UBHWT0624014	2016	2016 Optum Inter-Rater Reliability Measure Report, Overall Results and Summary, Quality Management and Improvement Department, August 2016				
303	UBHWT0377270	UBHWT0377277	3/23/2009	United Behavioral Health / PacifiCare Behavioral Health Clinical Policy and Operations Committee Minutes	Goddard Ex. 8			
304	UBHWT0279571	UBHWT0279574	4/8/2010	Email chain with subject "COC exclusion language."				
305	UBHWT0048426	UBHWT0048426	4/13/2010	Email with subject "Authorization Guidelines- OUTLIER CASES."	Triana (5-10-17) Ex. 35			
306	UBHWT0049517	UBHWT0049518	5/1/2010	Email chain with subject "2010 Interrater Reliability Test - May 3rd to the 14th - Action Required."				
307	UBHWT0077668	UBHWT0077670	7/1/2010	CDC Minutes				
308	UBHWT0077918	UBHWT0077920	7/8/2010	CDC Minutes				
309	UBHWT0084047	UBHWT0084047	7/8/2010	Email with subject "Custodial Care CDG," with attachment.				
	UBHWT0084048	UBHWT0084145						
310	UBHWT0588599	UBHWT0588611	9/3/2010	High Cost of Bipolar Disorders, Literature Search, Prepared by Behavioral Health Sciences	Rockswold ex. 20			
311	UBHWT0441239	UBHWT0441241	10/1/2010	Email chain with subject "High Cost of Eating Disorder Literature Search, Review, and References."	Rockswold ex. 22			
312	UBHWT0108525	UBHWT0108539	11/19/2010	Email chain with subject "CDG Templates," with attachments.				

Wit, et al. v. United Behavioral Health, No. 14-cv-02346-JCS
Alexander, et al. v. United Behavioral Health, No. 14-cv-05337-JCS

Joint Trial Exhibit List

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Trial Ex. No.	Beginning Bates / Range	End Bates	Date	Description	Depo. Exhibit(s)	Date Offered Into Evidence	Date Received Into Evidence	Limitations on Use
313	UBHWT0048572	UBHWT0048573	12/17/2010	Email chain with subject "CDG question."				
314	UBHWT0145742	UBHWT0145744	1/3/2011	Email chain with subject "CDG question."				
315	UBHWT0046659	UBHWT0046674	1/5/2011	Email chain with subject "Parity FAQ," with attachments.				
316	UBHWT0253740	UBHWT0253741	1/7/2011	Email chain with subject "Keeping in the loop."				
317	UBHWT0048697	UBHWT0048697	1/27/2011	Email chain with subject "CDG Language."				
318	UBHWT0466312	UBHWT0466312	2/16/2011	Email with subject "Call with Dr Tarnoff."				
319	UBHWT0141188	UBHWT0141207	2/21/2011	Email with subject "Resi Schizophrenia," with attachment.				
320	UBHWT0072564	UBHWT0072565	4/1/2011	Behavioral Policy & Analytics Committee Description	Triana (1-28-16) ex.9 Rockswold ex. 19			
321	UBHWT0074993	UBHWT0075096	5/12/2011	OptumHealth, Factors Influencing Medical and Behavioral Healthcare Utilization and Quality: A Literature Review of Medical Management, Final Version				
322	UBHWT0156642	UBHWT0156643	10/14/2011	Email chain with subject "interesting request from HPHC re: our guidelines."				
323	UBHWT0110507	UBHWT0110517	10/18/2011	Email with subject "Agenda Item for 11/1 BPAC for Your Participation," with attachment.				
324	UBHWT0171475	UBHWT0171478	11/28/2011	Email with subject "Briefing on Custodial Care (1111)," with attachment.				
325	UBHWT0058682	UBHWT0058709	12/5/2011	Email chain with subject "IMPORTANT FOLLOW UP," with attachment.				
326	UBHWT0234436	UBHWT0234437	1/1/2012	Email re ASAM Language re Modification of Tx Plan and Fail First				
327	UBHWT0279235	UBHWT0279238	1/3/2012	Email chain with subject "Conversation with Carol McDaid from PIC."				
328	UBHWT0345095	UBHWT0345095	1/20/2012	Form letter to Provider from Gerard Nieuwenhou with subject "2012 United Behavioral Health/U.S. Behavioral Health Plan, California Clinical Guidelines."	Nieuwenhou Ex. 25			
329	UBHWT0285330	UBHWT0285331	1/20/2012	Email chain with subject "Some notes regarding today's PIC."				
330	UBHWT0050300	UBHWT0050302	1/27/2012	Email chain with subject "Denial rationale and criteria for HPHC ABDs."	Brennecke Ex. 10			
331	UBHWT0072597	UBHWT0072597	1/30/2012	PowerPoint presentation with title "Behavioral Policy & Analytics Committee - Q1 and Q2 2012 Report - Meeting Dates: Bi-weekly during Q1 and Q2."				
332	UBHWT0075642	UBHWT0075643	3/20/2012	BPAC Minutes				
333	UBHWT0141386	UBHWT0141389	3/26/2012	Email chain with subject "suggestion for amending outpatient LOC criteria for 2012."				
334	UBHWT0416551	UBHWT0416558	3/26/2012	CP&O Committee Minutes, March 26, 2012				
335	UBHWT0178273	UBHWT0178282	4/5/2012	Email with subject "Clinical Guidelines Training," with attachment.				
336	UBHWT0144719	UBHWT0144720	4/16/2012	Email with subject "Clinical Guideline Process (0512).pptx," with attachment.				
337	UBHWT0417884	UBHWT0417885	5/10/2012	Email with subject "BPAC Guidelines Presentation - 888/844-7278, 7326539," with attachment.				
338	UBHWT0150232	UBHWT0150244	5/11/2012	Email chain with subject "Discuss GAP area's for psychotherapy (5/11/12 @ 8:30am PT/10:30am CT)," with attachment.				
339	UBHWT0150744	UBHWT0150754	5/29/2012	Email with subject "Clinical Guideline Process - 888/844-7278, 7326539," with attachments.				
340	UBHWT0163050	UBHWT0163052	5/29/2012	Email chain with subject "cdgs and logs."				
341	UBHWT0048692	UBHWT0048695	6/15/2012	Email chain with subject "PHP w/Boarding."				
342	UBHWT0243890	UBHWT0243890	6/18/2012	Email with subject "Denial process documentation."	Bonfield Ex. 12			
343	UBHWT0233708	UBHWT0233732	6/20/2012	Email with subject "2012 IRR Report - (Company-Wide)," with attachment.				
344	UBHWT0243910	UBHWT0243912	6/21/2012	Email with subject "CDG Citations."				
345	UBHWT0181177	UBHWT0181181	6/25/2012	Email with subject "Partial Hospital LOCGs web 6-25-12," with attachment.	Nieuwenhou Ex. 44			
346	UBHWT0423594	UBHWT0423598	7/16/2012	Email chain with subject "ASAM PPC-2R."	Brock Ex. 2; Robinson Beale Ex. 10			
347	UBHWT0048626	UBHWT0048628	7/17/2012	Email chain with subject "Use of ASAM criteria Poll."				
348	UBHWT0048639	UBHWT0048642	7/18/2012	Email chain with subject "Use of ASAM criteria Poll."				
349	UBHWT0460235	UBHWT0460242	7/18/2012	Email chain with subject "ASAM PPC-2R."	Brock Ex. 3			
350	UBHWT0538769	UBHWT0538782	7/18/2012	Email chain with subject "ASAM for IL Commercial Members," with attachment.				
351	UBHWT0224612	UBHWT0224632	7/24/2012	Email chain with subject "ASAM Requirements."				
352	UBHWT0223209	UBHWT0223216	8/16/2012	Email with no subject, with attachments.				
353	UBHWT0537741	UBHWT0537757	9/19/2012	Email chain with subject "ASAM for IL Commercial Members."				
354	UBHWT0254423	UBHWT0254424	10/17/2012	Email chain with subject "Custodial Cases and PHP."				
355	UBHWT0142236	UBHWT0142237	11/8/2012	Email chain with subject "LOCs revisions."	Nieuwenhou Ex. 47			
356	UBHWT0226485	UBHWT0226505	11/21/2012	Email chain with subject "CDG for Inpatient LOC."				
357	UBHWT0235322	UBHWT0235322	11/28/2012	Email with subject "Briefing on Custodial Care (1111 Draft 3)," with attachments.				
	UBHWT0235323	UBHWT0235332						
	UBHWT0235605	UBHWT0235628						
	UBHWT0235652	UBHWT0235687						
	UBHWT0235688	UBHWT0235702						
358	UBHWT0285514	UBHWT0285517	12/11/2012	Email chain with subject "Legal Analysis of Jimmo v. Sebelius."				
359	UBHWT0124574	UBHWT0124576	1/1/2013	"2013 Optum/USBHPC Guidelines - Substantial Changes from the 2012 Edition"	Nieuwenhou Ex. 28			
360	UBHWT0458081	UBHWT0458084	1/4/2013	Email chain with subject "A question re LOC Guidelines."				
361	UBHWT0170191	UBHWT0170191	1/8/2013	Email with subject "2013 Revised LOCGs (Draft)."	Nieuwenhou Ex. 42			
362	UBHWT0142531	UBHWT0142620	1/9/2013	Email with subject "2013 LOC Guidelines (OH USBHPC Draft 1 Single Set w Annotations) (2)," with attachment.				

Wit, et al. v. United Behavioral Health, No. 14-cv-02346-JCS
Alexander, et al. v. United Behavioral Health, No. 14-cv-05337-JCS

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Trial Ex. No.	Beginning Bates / Range	End Bates	Date	Description	Depo. Exhibit(s)	Date Offered Into Evidence	Date Received Into Evidence	Limitations on Use
363	UBHWT0076020	UBHWT0076022	1/16/2013	2013 Inter-Rater Reliability Measurement Plan	Beaty Ex. 14			
364	UBHWT0047655	UBHWT0047658	2/6/2013	Email chain with subject "A&D Treatment."				
365	UBHWT0047659	UBHWT0047668	3/1/2013	Email chain with subject "Urgent: McMaster."				
366	UBHWT0160826	UBHWT0160829	3/7/2013	Email with subject "BPAC - Q4 12 Quarterly Report to NQC (Draft 1).pptx," with attachment.				
367	UBHWT0124570	UBHWT0124570	3/19/2013	Email with subject "For Out 2 ET Call," with attachments.				
	UBHWT0124571	UBHWT0124573						
	UBHWT0124574	UBHWT0124576						
	UBHWT0124577	UBHWT0124579						
368	UBHWT0173806	UBHWT0173812	3/19/2013	BPAC Minutes				
369	UBHWT0124842	UBHWT0124845	3/26/2013	Email with subject "BPAC - Q3-Q4 12 Quarterly Report to NQC - FINAL.pptx," with attachment.				
370	UBHWT0416547	UBHWT0416549	4/1/2013	Email with subject "ASAM Criteria (considerations for Adopting 0413)," with attachment.				
371	UBHWT0049678	UBHWT0049682	4/18/2013	Email chain with subject "Major Problem with CDG Residential Rehab Guidelines."				
372	UBHWT0277717	UBHWT0277719	4/19/2013	Email chain with subject "IOP CDGs - Question."				
373	UBHWT0278804	UBHWT0278806	4/23/2013	Email chain with subject "IOP CDGs - Question."				
374	UBHWT0670067	UBHWT0670170	5/15/2013	Email chain with subject "New CT UM regulations," with attachments.				
375	UBHWT0103197	UBHWT0103197	5/21/2013	Email with subject "Implementing CT UR Reg - 888/844-7278, 7326539."	Niewenhaus Ex. 31			
376	UBHWT0150808	UBHWT0150809	5/28/2013	Email with subject "Implementing CT UR Reg - 888/844-7278, 7326539," with attachments.				
	UBHWT0150810	UBHWT0150817						
	UBHWT0150893	UBHWT0150910						
	UBHWT0420840	UBHWT0420842						
377	UBHWT0420840	UBHWT0420842	5/28/2013	Email chain with subject "ASAM cost analysis under way --> need feedback on any accounts that use ASAM."				
378	UBHWT0529923	UBHWT0530025	5/28/2013	Email chain with subject "Implementing CT UR Reg - 888/844-7278, 7326539," with attachments.				
379	UBHWT0306849	UBHWT0306854	6/3/2013	Optum Standard Operating Procedure for Development of Coverage Determination Guidelines				
380	UBHWT0211381	UBHWT0211392	6/4/2013	Email chain with subject "New Jersey Clinical Build."	Brock Ex. 8 (same doc without bates)			
381	UBHWT0418855	UBHWT0418857	6/9/2013	Email with subject "SUDs Team 2- Clinical Policies and Protocols," with attachments.				
382	UBHWT0383738	UBHWT0383739	6/10/2013	Email with subject "SUDs Team 2- Clinical Policies and Protocols," with attachment.				
383	UBHWT0158862	UBHWT0158870	6/16/2013	Email with subject "Q1 PPC and BPAC Updates," with attachments.				
384	UBHWT0167972	UBHWT0167975	6/18/2013	Email chain with subject "Unique Guidelines for NJ Medicaid."				
385	UBHWT0047611	UBHWT0047612	7/9/2013	Email chain with subject "Appeal Review Question."				
386	UBHWT0050461	UBHWT0050463	7/26/2013	Email chain with subject "Metric of the Month - Inter-rater Reliability Report."				
387	UBHWT0173484	UBHWT0173494	7/26/2013	Email chain with subject "Revised Introduction to the Level of Care Guidelines," with attachment.				
388	UBHWT0416942	UBHWT0416950	8/12/2013	Email chain with subject "BenEx Impact of Adopting Medicare NCDs and LCDs."	Brock Ex. 12; Regan Ex. 16			
389	UBHWT0211008	UBHWT0211009	8/16/2013	Email with subject "Review Connecticut Guideline Requirement Solution," with attachment.				
390	UBHWT0123422	UBHWT0123429	8/20/2013	Email chain with subject "BenEx Impact of Adopting Medicare NCDs and LCDs."				
391	UBHWT0168021	UBHWT0168021	8/20/2013	Email chain with subject "Discussion on LOCG and CDG Process (**new date** 9/20/13 @2pm PT / 4pm CT."	Robinson Beale Ex. 7			
392	UBHWT0101723	UBHWT0101723	8/26/2013	Email with subject "ASAM adoption and Benefit impact."				
393	UBHWT0211241	UBHWT0211279	8/29/2013	Email with subject "While I'm Away," with attachments.				
394	UBHWT0671144	UBHWT0671153	8/29/2013	Email chain with subject "More on the CT Crosswalk and letter language," with attachment.				
395	UBHWT0676660	UBHWT0676663	8/31/2013	Email chain with subject "Jimmo v. Sebelius Settlement Agreement Fact Sheet Implementation Needed," with attachments.				
396	UBHWT0076350	UBHWT0076350	9/1/2013	PowerPoint entitled "2013 Optum Inter-Rater Reliability Measure Report," Quality Management and Improvement Department				
397	UBHWT0123243	UBHWT0123253	9/4/2013	Email chain with subject "BenEx Impact of Adopting Medicare NCDs and LCDs."				
398	UBHWT0211817	UBHWT0211819	9/4/2013	Email with subject "CT: Addressing Guideline Deviations," with attachment.				
399	UBHWT0120864	UBHWT0120865	9/9/2013	Email with subject "Review CT Crosswalk," with attachment.				
400	UBHWT0125054	UBHWT0125056	9/9/2013	Email with subject "Medicare guideline adoption," with attachment.				
401	UBHWT0143389	UBHWT0143397	9/9/2013	Email with subject "Quarterly Updates for QIC," with attachments.				
402	UBHWT0125062	UBHWT0125063	9/12/2013	Email with subject "UR Deficiency #3-Guidelines," with attachment.	Niewenhaus Ex. 32; Robinson Beale Ex. 16			

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Alexander, et al. v. United Behavioral Health, No. 14-cv-05337-JCS

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Trial Ex. No.	Beginning Bates / Range	End Bates	Date	Description	Depo. Exhibit(s)	Date Offered Into Evidence	Date Received Into Evidence	Limitations on Use
403	UBHWT0385517	UBHWT0385521	10/7/2013	Email chain with subject "suggestion for adding stock language from outpt LOCG to consolidated CDGs," with attachment.				
404	UBHWT0470727	UBHWT0470729	10/7/2013	Email chain with subject "Optum Guidelines."				
405	UBHWT0168191	UBHWT0168193	10/8/2013	Email with subject "Update NCD/LCD Powerpoint," with attachments.	Triana (5-10-17) Ex. 16			
406	UBHWT0436309	UBHWT0436310	10/25/2013	Email with subject "Residential TX project - next steps," with attachments.	Niewenhou Ex. 50; Rockswold Ex. 30			
	UBHWT0436311	UBHWT0436311						
	UBHWT0436312	UBHWT0436319						
	UBHWT0436320	UBHWT0436320						
	UBHWT0436321	UBHWT0436321						
407	UBHWT0431552	UBHWT0431555	10/30/2013	Email chain with subject "Statement of Work for Jerry Shulman >>final version."	Robinson Beale Ex. 17			
408	UBHWT0121008 UBHWT0121114	UBHWT0121009 UBHWT0121114	11/1/2013	Email with subject "Review of Chances to 2014 Level of Care Guidelines," with attachments.	Triana (5-10-17) Ex. 27; Niewenhou Ex. 45; looks like longer copy is at Regan Ex. 5			
409	UBHWT0431730	UBHWT0431732	11/4/2013	Email chain with subject "Optum Guidelines **updated contract>>Shulman**"	Robinson Beale Ex. 18			
410	UBHWT0432230	UBHWT0432231	11/27/2013	Email chain with subject "Area of confusion."	Robinson Beale Ex. 12; Alam Ex. 13			
411	UBHWT0390726	UBHWT0390728	11/29/2013	Report by Gerald Shulman entitled "Some Issues and Recommendations about ASAM's Residential and Inpatient Levels of Care for Consideration by Optum"	Alam Ex. 12			
412	No Bates	No Bates	12/2/2013	OptumHealth Confidential Independent Consultant Agreement with Gerald Shulman	Fishman Ex. 12			
413	UBHWT0100801	UBHWT0100802	12/9/2013	Email with subject "BPAC - Q3 13 Quarterly Report to NQC - FINAL.pptx," with attachment.				
414	UBHWT0390773	UBHWT0390774	12/12/2013	Optum/ASAM Crosswalk	Alam ex. 11			
415	UBHWT0387414	UBHWT0387527	12/16/2013	Email with subject "Review of Changes to 2014 Level of Care Guidelines," with attachments.				
416	UBHWT0168297	UBHWT0168300	12/18/2013	Email chain with subject "RI SA Residential Grid Comparison."				
417				INTENTIONALLY LEFT BLANK				
418				INTENTIONALLY LEFT BLANK				
419	UBHWT0541800	UBHWT0541910	1/3/2014	Email with subject "RBR Meeting on the 14th About ASAM," with attachment.	Regan Ex. 17			
420	UBHWT0106781	UBHWT0106782	1/14/2014	Email with subject "Summary of the action items from ASAM and Our LOCG Guideline comparison."	Robinson Beale Ex. 14			
421	UBHWT0211012	UBHWT0211013	1/14/2014	Email with subject "SUDs Team 2 Touch Point," with attachments.				
	UBHWT0211017	UBHWT0211019						
	UBHWT0211077	UBHWT0211078						
	UBHWT0211126	UBHWT0211126						
	UBHWT0211127	UBHWT0211127						
422	UBHWT0461260	UBHWT0461265	1/17/2014	Email chain with subject "ASAM Criteria Costs."				
423	UBHWT0076374	UBHWT0076380	1/21/2014	BPAC Minutes				
424	UBHWT0450271	UBHWT0450272	1/21/2014	Email with subject "SUDS Presentation on ASAM (2014 Draft).pptx," with attachment.	Regan Ex. 10			
425	UBHWT0389316	UBHWT0389317	1/22/2014	Email chain with subject "For 1/21 1:1."				
426	UBHWT0106675	UBHWT0106677	1/24/2014	Email with subject "SUDs - Annual Review Deck."				
427	UBHWT0106358	UBHWT0106390	2/4/2014	Email with subject "CDGs and lit reviews," with attachments.				
428	UBHWT0106749	UBHWT0106750	2/6/2014	Email with subject "ASAM proposal deck for BPAC," with attachment.				
	UBHWT0106752	UBHWT0106754						
	UBHWT0106756	UBHWT0106772						
	UBHWT0129098	UBHWT0129099						
429	UBHWT0129100	UBHWT0129100	2/10/2014	Email with subject "For Our 2/11 1:1," with attachments.				
	UBHWT0129101	UBHWT0129101						
	UBHWT0421589	UBHWT0421594						
430	UBHWT0421589	UBHWT0421594	2/10/2014	Email chain with subject "ASAM adoption."				
431	UBHWT0423393	UBHWT0423397	2/10/2014	Email chain with subject "SUDs - Training."				
432	UBHWT0277769	UBHWT0277840	2/17/2014	Email with subject "For Our 2/18 1:1," with attachments.				
433	UBHWT0390708	UBHWT0390710	2/17/2014	Email chain with subject "Review BPAC Deck for Adopting ASAM Criteria," with attachment.	Alam Ex. 7; Bonfield 14 (excerpt)			
434	UBHWT0090266	UBHWT0090271	2/18/2014	BPAC Minutes				
435	UBHWT0107047	UBHWT0107049	2/20/2014	Email with subject "SUDs - 2013 Accomplishments / 2014 Initiatives," with attachment.	Alam Ex. 6; Motz Ex. 7 (just email)			
436	UBHWT0129683	UBHWT0129686	2/24/2014	Email with subject "Changes to 2014 Guidelines," with attachment.	Niewenhou Ex. 29			
437	UBHWT0264479	UBHWT0264483	2/24/2014	Email chain with subject "Medical Necessity Definition for BH LOCG."				
438	UBHWT0277841	UBHWT0277848	2/24/2014	Email chain with subject "Medical Necessity Definition for BH LOCG," with attachment.				
439	UBHWT0542776	UBHWT0542782	2/26/2014	Email chain with subject "Medical Necessity Definition for BH LOCG."	Triana (5-10-17) Ex. 26			
440	UBHWT0672074	UBHWT0672080	2/26/2014	Email chain with subject "Medical Necessity Definition for BH LOCG."				
441	UBHWT0436022	UBHWT0436029	3/6/2014	Email with subject "Residential Close out," with attachments.				
442	UBHWT0103665	UBHWT0103672	3/19/2014	Email chain with subject "18-26 cohort, possible 15-26," with attachments.				

Wit, et al. v. United Behavioral Health, No. 14-cv-02346-JCS
Alexander, et al. v. United Behavioral Health, No. 14-cv-05337-JCS

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443	UBHWT0428456	UBHWT0428465	3/28/2014	Email chain with subject "18-26 cohort, possible 15-26."				
444	UBHWT0428557	UBHWT0428560	3/31/2014	Email chain with subject "18-26 Cohort," with attachment.				
445	UBHWT0365527	UBHWT0365544	4/1/2014	Optum Medicare Coverage Summary for Psychiatric and Psychological Outpatient Services				
446	UBHWT0101214	UBHWT0101253	4/7/2014	Email chain with subject "For 4/11 National UM Committee: USBHPC UM Evaluation and UMPD - San Francisco," with attachment.				
447	UBHWT0527848	UBHWT0527849	4/7/2014	Email with subject "For Our 4/8 1:1," with attachments.				
	UBHWT0527850	UBHWT0527850						
	UBHWT0527851	UBHWT0527873						
	UBHWT0527874	UBHWT0527875						
	UBHWT0527876	UBHWT0527901						
448	UBHWT0412250	UBHWT0412253	5/19/2014	Email with subject "Review of LOC and ASAM New Hire Modules," with attachments.				
449	UBHWT0543806	UBHWT0543810	5/19/2014	Email chain with subject "For Our Next 1:1," with attachment.				
450	UBHWT0396995	UBHWT0396996	5/20/2014	Email with subject "Please Add a Guideline Page to inSite," with attachment.				
	UBHWT0396997	UBHWT0396997						
451	UBHWT0429210	UBHWT0429211	6/6/2014	Email with subject "SUD quarterly update," with attachment.				
452	UBHWT0429334	UBHWT0429338	6/10/2014	Email chain with subject "Quarterly SUD Updates," with attachments.				
453	UBHWT0459982	UBHWT0459982	6/16/2014	Email chain with subject "ASAM Announcement in RMD."	Regan Ex. 15			
454	UBHWT0171933	UBHWT0171934	6/25/2014	Email chain with subject "BPAC."				
455	UBHWT0174398	UBHWT0174399	7/3/2014	Email with subject "For Our Next 1:1."				
456	UBHWT0545517	UBHWT0545523	7/11/2014	Email with subject "For Our Next 1:1," with attachment.				
457	UBHWT0459094	UBHWT0459095	7/16/2014	Email chain with subject "Language For the Custodial Document."				
458	UBHWT0209461	UBHWT0209468	7/24/2014	Email chain with subject "Oclinical Feedback on: PUMA Outcome Tab Documentation QRG Commercial and Medicaid(doc)."				
459	UBHWT0236699	UBHWT0236711	7/30/2014	Email chain with subject "For Review: Fact Sheet for Using Evidence Based Practices," with attachments.				
460	UBHWT0240254	UBHWT0240265	7/30/2014	Email chain with subject "For Review: Fact Sheet for Using Evidence Based Practices."				
461	UBHWT0692136	UBHWT0692138	7/30/2014	Email chain with subject "Tomorrow's Eating Disorder Weekly Team Status Update Mtg," with attachments.				
462	UBHWT0047564	UBHWT0047567	9/3/2014	Email chain with subject "ASAM A criteria adoption."				
463	UBHWT0102598	UBHWT0102601	9/3/2014	Email chain with subject "ASAM A criteria adoption," with attachments.	Triana (5-10-17) Ex. 15			
464	UBHWT0253754	UBHWT0253757	9/3/2014	Email chain with subject "ASAM A criteria adoption."				
465	UBHWT0406094	UBHWT0406100	9/11/2014	Email chain with subject "Request for unbudgeted FTE."				
466	UBHWT0285360	UBHWT0285375	9/15/2014	Email with subject "RI ASAM Weekly Implementation Call 091514," with attachment.				
467	UBHWT0222172	UBHWT0222173	9/23/2014	Email with subject "Transitioning to ASAM."	Brock Ex. 6			
468	UBHWT0429605	UBHWT0429606	9/26/2014	Email chain with subject "Changing from our internally derived UM guidelines for SUDs to ASAM criteria."	Brock Ex. 7; Motz Ex. 8			
469	UBHWT0420715	UBHWT0420716	10/1/2014	Email chain with subject "Transitioning to ASAM."				
470	UBHWT0691851	UBHWT0691863	10/6/2014	Email chain with subject "IL14-09498 EXPEDITED external Review - #secure#."				
471	UBHWT0046343	UBHWT0046345	10/7/2014	Email from Victoria Gorski to Larhonda Jones, Scott Beardsley, Murray Zucker, Carlin Barnes re ED Clinical Audit Discussion Mtg notes 10-7-14 (with attachment).	Triana Dep. Ex. 16			
472	UBHWT0692166	UBHWT0692182	10/22/2014	Email chain with subject: "RE: IL 14-09498 EXPEDITED external Review - #secure#," with attachments.				
473	UBHWT0101377	UBHWT0101378	10/28/2014	Email with subject "CONFIDENTIAL - Information Related to Guideline Development and Application."				
474	UBHWT0092328	UBHWT0092333	10/28/2014	BPAC Minutes				
475	UBHWT0167684	UBHWT0167685	10/28/2014	Email with subject "Wit."				
476	UBHWT0113412	UBHWT0113413	10/31/2014	Email chain with subject "idea."				
477	UBHWT0365463	UBHWT0365488	11/1/2014	Optum Medicare Coverage Summary for Alcohol and Substance Abuse Treatment				
478	UBHWT0410072	UBHWT0410076	11/14/2014	Email chain with subject "LOGCs for psychosocial rehabilitation updated draft 11.12.14."				
479	UBHWT0410663	UBHWT0410668	12/2/2014	Email chain with subject "PSR LOCG."				
480	UBHWT0411083	UBHWT0411084	12/8/2014	Email chain with subject "For Our Next 1:1."	Regan Ex. 13			
481	UBHWT0102048	UBHWT0102183	1/5/2015	Email with subject "Review Input for 2015 Guidelines - 763/957-6300, 3019304," with attachments.	Regan Ex. 6			
482	UBHWT0076458	UBHWT0076467	1/20/2015	BPAC Minutes				
483	UBHWT0506236	UBHWT0506238	2/27/2015	Email chain with subject "Yes -- its in ASAM criteria -- and quoted in CDG- our Med nec guidelines are silent on this."				

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Alexander, et al. v. United Behavioral Health, No. 14-cv-05337-JCS

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Trial Ex. No.	Beginning Bates / Range	End Bates	Date	Description	Depo. Exhibit(s)	Date Offered Into Evidence	Date Received Into Evidence	Limitations on Use
484	UBHWT0506239	UBHWT0506241	2/27/2015	Email chain with subject "Yes -- its in ASAM criteria -- and quoted in CDG- our Med nec guidelines are silent on this."				
485	UBHWT0506246	UBHWT0506249	2/27/2015	Email chain with subject "Yes -- its in ASAM criteria -- and quoted in CDG- our Med nec guidelines are silent on this."				
486	UBHWT0512134	UBHWT0512138	3/9/2015	Email with subject "2015 LOCG UPDATE TRAINING," with attachment.	Niewenhaus Ex. 30			
487	UBHWT0473693	UBHWT0473714	3/13/2015	Email chain with subject "LOGC workgroup- HCBS NYS," with attachment.				
488	UBHWT0474022	UBHWT0474023	3/18/2015	Email with subject "Med Nec v Coverage Review."				
489	UBHWT0474024	UBHWT0474029	3/18/2015	Email chain with subject "LOGC workgroup- HCBS NYS."				
490	UBHWT0474030	UBHWT0474036	3/18/2015	Email chain with subject "LOGC workgroup- HCBS NYS."	Niewenhaus Ex. 14			
491	No Bates	No Bates	3/29/2015	UnitedHealthcare White Paper, Patient Centered Care Model, by Dr. Sam Ho				
492	UBHWT0512065	UBHWT0512071	5/5/2015	Email chain with subject "Yes -- its in ASAM criteria -- and quoted in CDG- our Med nec guidelines are silent on this."				
493	UBHWT0596541	UBHWT0596542	5/26/2015	Email with subject "For Our Next 1:1."				
	UBHWT0596551	UBHWT0596579						
	UBHWT0596583	UBHWT0596587						
	UBHWT0596639	UBHWT0596639						
	UBHWT0596640	UBHWT0596640						
494	UBHWT0365753	UBHWT0365778	6/1/2015	Optum Medicare Coverage Summary for Alcohol and Substance Abuse Treatment				
495	UBHWT0464024	UBHWT0464024	7/9/2015	Email with subject "Pete Brock/Martha Temple - Meet & Greet," with attachments.	Patterson Ex. 2			
	UBHWT0464025	UBHWT0464025						
	UBHWT0464026	UBHWT0464026						
496	UBHWT0480034	UBHWT0480047	7/17/2015	Email with subject "Briefing on Guidelines - Jerry and Bruce Will Call Diane," with attachments.				
497	UBHWT0094093	UBHWT0094112	8/1/2015	Optum Medicare Coverage Summary for Psychiatric and Psychological Outpatient Services				
498	UBHWT0290036	UBHWT0290038	9/2/2015	Evidence Base; APA Style Guide Creadsheet For Guidelines				
499	UBHWT0506530	UBHWT0506532	9/8/2015	Email chain with subject "SUDS Product Strategy."				
500	UBHWT0064380	UBHWT0064380	9/21/2015	Turnaround Time and Lenth of Stay Statistics, Dates 1/1/2011 - 12/31/2014, Updated: 9/21/15				
501	UBHWT0482192	UBHWT0482194	9/30/2015	Email chain with subject "Clinical Services."				
502	UBHWT0482318	UBHWT0482321	10/2/2015	Email chain with subject "Clinical Services."				
503	UBHWT0510221	UBHWT0510221	10/28/2015	Email chain with subject, "IOP Substance Abuse Medical Necessity Criteria."				
504	UBHWT0703003	UBHWT0703012	11/4/2015	Email chain with subject "SA IOP Guideline - Requiring Urgent Ad Hoc BPAC Vote."				
505	UBHWT0704925	UBHWT0704926	11/5/2015	Email chain with subject "SA IOP Guideline - Requiring Urgent Ad Hoc BPAC Vote."				
506	UBHWT0530936	UBHWT0530938	11/6/2015	Email with subject "Follow-up for the CT DOI," with attachments.	Niewenhaus ex. 35			
507	UBHWT0483254	UBHWT0483258	11/6/2015	Email chain with subject "Clinical Services IL Mental Health and Substance Abuse Disorders."				
508	UBHWT0534644	UBHWT0534657	11/6/2015	Email chain with subject "Follow-up for the CT DOI of 11-6-15," with attachment.				
509	UBHWT0484345	UBHWT0484351	11/30/2015	Email chain with subject "11.6.15 Clinical Services IL Mental Health and Substance Abuse Disorders."				
510	UBHWT0591596	UBHWT0591604	12/2/2015	Email chain with subject "IL DOI Mental Health/Substance Abuse Meeting."				
511	UBHWT0601661	UBHWT0601669	12/2/2015	Email chain with subject "IL DOI Mental Health/Substance Abuse Meeting."				
512	UBHWT0072617	UBHWT0072617	12/9/2015	PowerPoint presentation with title "OptumHealth Guideline Touchbase Call."	Niewenhaus Ex. 13; Triana (1-28-16) Ex. 5; Urban Ex. 10			
513	UBHWT0487362 UBHWT0487511 UBHWT0487524	UBHWT0487365 UBHWT0487511 UBHWT0487527	12/30/2015	Email with subject "2016 Standard Guideline Updates," with attachments.	Niewenhaus Ex. 26			
514	UBHWT0510906	UBHWT0510938	1/4/2016	Email with subject "New Guidelines For Management of SUD PHP & IOP," with attachments.				
515	UBHWT0512250	UBHWT0512252	1/5/2016	BPAC Minutes				
516	UBHWT0534274 UBHWT0534424 UBHWT0534437	UBHWT0534278 UBHWT0534424 UBHWT0534440	1/8/2016	Email chain with subject "Review Input," with attachments.	Triana (5-10-17) Ex. 28			
517	UBHWT0507848	UBHWT0507850	1/12/2016	Email chain with subject "Guideline Input Call Highlights."				
518	UBHWT0507976	UBHWT0507979	1/15/2016	Email chain with subject "Guideline Input Call Highlights."				
519	UBHWT0512253	UBHWT0512265	1/19/2016	BPAC Minutes				
520	UBHWT0508407	UBHWT0508441	2/12/2016	Email chain with subject "SUDs CDG Revision Recommendations," with attachment.				
521	UBHWT0490437	UBHWT0490443	2/19/2016	Email chain with subject "New 2016 LOC Guidelines and HPHC," with attachment.	Niewenhaus Dep. Ex. 46			

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Trial Ex. No.	Beginning Bates / Range	End Bates	Date	Description	Depo. Exhibit(s)	Date Offered Into Evidence	Date Received Into Evidence	Limitations on Use
522	UBHWT0490444	UBHWT0490451	2/19/2016	Email chain with subject "feedback needed," with attachment.	Niewenhaus Ex. 15; Wetherbee Ex. 1			
523	UBHWT0490452	UBHWT0490454	2/19/2016	Email with subject "ASAM Criteria (considerations for Adopting 0413)," with attachment.				
524	UBHWT0508668	UBHWT0508671	2/19/2016	Email chain with subject "ASAM Guidelines."	Triana (5-10-17) Ex. 18; Bonfield Ex. 15; Keytel Ex. 11			
525	UBHWT0605453	UBHWT0605456	2/19/2016	Email with subject "Urgent Meeting: Change in OON Claims Processing Request," with attachments.				
526	UBHWT0490455	UBHWT0490456	2/22/2016	Email with subject "SUDS Presentation of ASAM (2014 Draft).pttx," with attachment.	Niewenhaus Ex. 12			
527	UBHWT0534442	UBHWT0534443	2/24/2016	Email with subject "OPTUM ASAM," with attachment.	Triana (5-10-17) Ex. 19; copy of this without attachment at Motz Ex. 9			
528	UBHWT0606160	UBHWT0606198	2/24/2016	Email with subject "ASAM v3," with attachment.				
529	UBHWT0491005	UBHWT0491007	2/29/2016	Email with subject "Potential Criteria Change - Impact on Network [sic]."				
530	UBHWT0491028	UBHWT0491036	3/1/2016	Email chain with subject "Online ASAM Criteria."	Wetherbee Ex. 2			
531	UBHWT0491039	UBHWT0491041	3/2/2016	Email with subject "ASAM Criteria for Substance Disorders (0216).pptx," with attachment.	Wetherbee Dep. Ex. 04			
532	UBHWT0509420	UBHWT0509426	3/3/2016	Article entitled "Utilization Management in Context."	Bonfield Ex. 1			
533	UBHWT0491066	UBHWT0491073	3/6/2016	Email chain with subject "Potential Criteria Change - Impact on Network [sic]," with attachment.				
534	UBHWT0508720 UBHWT0508723 UBHWT0508790 UBHWT0508791 UBHWT0508841 UBHWT0508842 UBHWT0508872 UBHWT0508875 UBHWT0508898 UBHWT0508971 UBHWT0508972	UBHWT0508722 UBHWT0508723 UBHWT0508790 UBHWT0508832 UBHWT0508841 UBHWT0508848 UBHWT0508874 UBHWT0508875 UBHWT0508943 UBHWT0508971 UBHWT0508972	3/8/2016	Email with subject: "Redesign BH UM Process Workshop 1: Current State," with attachments.	Bonfield Ex. 9 (just bates 508723)			
535	UBHWT0509389 UBHWT0509415 UBHWT0509416 UBHWT0509419 UBHWT0509420 UBHWT0509427 UBHWT0509428 UBHWT0509429 UBHWT0509479 UBHWT0509590 UBHWT0509660	UBHWT0509392 UBHWT0509415 UBHWT0509418 UBHWT0509419 UBHWT0509426 UBHWT0509427 UBHWT0509428 UBHWT0509436 UBHWT0509479 UBHWT0509590 UBHWT0509660	3/10/2016	Email chain with subject "Buckets," with attachments.				
536	UBHWT0533962	UBHWT0533969	3/22/2016	Email chain with subject "PHP LOC guidelines," with attachment.				
537	UBHWT0494755	UBHWT0494757	3/30/2016	Email chain with subject "follow up question on active treatment."				
538	UBHWT0495184	UBHWT0495186	4/5/2016	Email with subject "Background for Escalated Member Case."				
539	UBHWT0603157	UBHWT0603196	4/5/2016	Email chain with subject "Escalated member case."				
540	UBHWT0533993	UBHWT0534000	5/3/2016	Email with subject "For Our 1:1," with attachments.				
541	UBHWT0603757	UBHWT0603759	5/4/2016	Email chain with subject "IOP 'Lie'."				
542	UBHWT0608255	UBHWT0608260	5/20/2016	Email chain with subject "RM Whitepaper Draft," with attachment.	Rockswold Ex. 25			
543	UBHWT0512295	UBHWT0512298	5/24/2016	BPAC Minutes				
544	UBHWT0606103	UBHWT0606105	6/2/2016	Email chain with subject "Proposed Revisions to Escalation LOS Rules - Approval Required."				
545	UBHWT0647569	UBHWT0647570	6/9/2016	Optum Behavioral Utilization Management Committee Charter (UMC)				
546	UBHWT0619577	UBHWT0619587	6/22/2016	Email chain with subject "Connecticut Clinical Review Criteria," with attachment.				
547	UBHWT0611473	UBHWT0611490	7/15/2016	Email chain with subject "Mercer/PHP PEBB/SDT item," with attachments.				
548	UBHWT0705442	UBHWT0705471	7/22/2016	Email chain with subject "Monthly Product Summit on SUD," with attachments.				
549	UBHWT0705269	UBHWT0705296	7/25/2016	Email chain with subject "Monthly Product Summit on SUD," with attachments.				
550	UBHWT0623988	UBHWT0623988	8/5/2016	MD Peer Reviewer Results: Clinical Documentation Review 1st-2nd Quarter 2016				
551	UBHWT0611996	UBHWT0612004	8/8/2016	Email with subject "Other Guideline Tasks," with attachments.				
552	UBHWT0711283	UBHWT0711293	8/9/2016	UMC Minutes	Rockswold Dep. Ex. 02			
553	UBHWT0632821	UBHWT0632865	9/6/2016	Email with subject "2017 UM Program Description - 763/957-6300, 3019304," with attachments.				

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Trial Ex. No.	Beginning Bates / Range	End Bates	Date	Description	Depo. Exhibit(s)	Date Offered Into Evidence	Date Received Into Evidence	Limitations on Use
554	UBHWIT0509968	UBHWIT0509971	9/21/2016	Email chain with subject "on wishlist for 2017 Optum guideline development."				
555	UBHWIT0616153	UBHWIT0616169	10/1/2016	Optum Medicare Coverage Summary for Psychiatric and Psychological Outpatient Services				
556	UBHWIT0710932	UBHWIT0710939	10/11/2016	UMC Minutes	Rockswold Dep. Ex. 04			
557	UBHWIT0510001	UBHWIT0510003	10/18/2016	Email chain with subject "ASAM State of the Art course in Addiction Medicine 2016."				
558	UBHWIT0710128	UBHWIT0710147	11/8/2016	Email chain with subject "CA DOI challenges to OP Prior Auth," with attachments.				
559	UBHWIT0616508	UBHWIT0616517	11/9/2016	UMC Minutes	Rockswold Dep. Ex. 05			
560	UBHWIT0619093	UBHWIT0619368	11/17/2016	Email chain with subject "Emblem BH RFP Pink Draft - Edits due 11/21," with attachments.				
561	UBHWIT0649218	UBHWIT0649235	12/1/2016	Email with subject "For Our Next 1:1," with attachments.				
562	UBHWIT0625494	UBHWIT0625495	12/7/2016	Email chain with subject "E&I COC Changes and Impact."				
563	UBHWIT0617000	UBHWIT0617002	12/15/2016	Email chain with subject "idea re: LOCG Suggested Wording Change."				
564	UBHWIT0708499	UBHWIT0708503	12/15/2016	Email chain with subject "ABA LOC Guideline proposed changes."	Rockswold Ex. 24			
565	UBHWIT0617020	UBHWIT0617023	12/16/2016	Email chain with subject "idea re: LOCG Suggested Wording Change."	Rockswold ex. 17			
566	UBHWIT0646449	UBHWIT0646579	1/3/2017	Email chain with subject "Guidelines for January UM Committee Review," with attachments.	Rockswold Ex. 15			
567	UBHWIT0646423	UBHWIT0646425	1/3/2017	Email chain with subject "Guidelines for January UM Committee Review."				
568	UBHWIT0646577	UBHWIT0646579	1/10/2017	Policy/Guideline Review, Utilization Management Committee, Updated Policies/Guidelines	Wetherbee Ex. 5			
569	UBHWIT0664078	UBHWIT0664089	1/10/2017	UMC Minutes	Rockswold Dep. Ex. 06			
570	UBHWIT0729506	UBHWIT0729506	5/3/2017	Auth and Denials Report and Average Length of Stay				
571	UBHWIT0623972	UBHWIT0623972	No Date	Notes regarding annual review of LOCGs	Rockswold Ex. 18			
572	UBHWIT0375404	UBHWIT0375405	No Date	Style Guide for Clinical Guidelines				
573	UBHWIT0110384	UBHWIT0110384	No Date	Presentation entitled "Substance Use Disorders (SUDs): Clinical Operations and Affordability Partnership 2012-2013."	Alam Ex. 5			
574	No Bates	No Bates		CMS Local Coverage Determination (LCD): Outpatient Psychiatry and Psychology Services (L34353)				
575	UBHWIT0234586 UBHWIT0342135 UBHWIT0228882 UBHWIT0348827 UBHWIT0134493 UBHWIT0354244	UBHWIT0234586 UBHWIT0342135 UBHWIT0228882 UBHWIT0348827 UBHWIT0134493 UBHWIT0354244	Multiple dates	Form Letters to Provider from Gerard Nieuwenhouse re: annual review of clinical guidelines (2010-2011, 2013-2016)	Nieuwenhouse Ex. 24			
576	UBHWIT0171542	UBHWIT0171543	3/1/2010	Email with subject "Feedback from Dr Gerald Tarnoff on the 2010 LOC Guidelines.xls," with attachments.				
577	UBHWIT0225550	UBHWIT0225552	3/1/2010	Email with subject "2010 LOC Guideline Review Project Plan.xls," with attachments.				
578	UBHWIT0141390	UBHWIT0141391	3/3/2010	Email chain with subject "Review of provider feedback for LOCG," with attachment.				
579	UBHWIT0178948	UBHWIT0178948	3/4/2010	Email with subject "RI Evidence File - Provider Comments"				
580	UBHWIT0170225	UBHWIT0170226	7/19/2010	Email chain with subject "Feedback from Dr Gerald Tarnoff on the 2010 LOC Guidelines," with attachment.				
581	UBHWIT0171613	UBHWIT0171615	8/9/2010	Email with subject "Letter to Gerald Tarnoff (073010 Draft 2)," with attachment.				
582	UBHWIT0341067	UBHWIT0341067	8/17/2010	Letter from Gerard Nieuwenhouse to Gerald Tarnoff				
583	UBHWIT0048579	UBHWIT0048579	2/16/2011	Email with subject "Call with Dr Tarnoff."				
584	UBHWIT0226539	UBHWIT0226540	4/29/2011	Email with subject "2011 LOC Guideline Review Project Plan.xls," with attachment.				
585	UBHWIT0160041	UBHWIT0160042	5/31/2011	Email with subject "first stab," with attachment.	Brock Ex. 9			
586	UBHWIT0048458 UBHWIT0048459 UBHWIT0048460 UBHWIT0048464 UBHWIT0048486 UBHWIT0048520	UBHWIT0048458 UBHWIT0048459 UBHWIT0048463 UBHWIT0048485 UBHWIT0048497 UBHWIT0048530	5/31/2011	Email with subject "Professional Society Input on UBH Guidelines," with attachments.				
587	UBHWIT0179007	UBHWIT0179096	8/31/2011	Email chain with subject "Solicitation of Input for the 2011 Guidelines," with attachment.				
588	UBHWIT0228789	UBHWIT0228875	1/3/2012	Email with subject "Go Over Draft Changes to the LOCGs - Jerry Will Call Loretta," with attachments.				
589	UBHWIT0345081	UBHWIT0345083	2/6/2012	Letter from Oscar Pakier to Sue Coughlin, UBH.				
590	UBHWIT0158934	UBHWIT0158939	2/8/2012	Email with subject "Input on CDGs from Professional Services," with attachment.				
591	UBHWIT0278131	UBHWIT0278132	2/28/2012	Email with subject "2012 LOC Guideline Review Project Plan.xls," with attachment.				

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Trial Ex. No.	Beginning Bates / Range	End Bates	Date	Description	Depo. Exhibit(s)	Date Offered Into Evidence	Date Received Into Evidence	Limitations on Use
592	UBHWT0180102	UBHWT0180104	3/22/2012	Email with subject "Input on the 2012 Level of Care Guidelines - 888/844-7278, 7326539," with attachment.	Niewenhaus Ex. 27			
593	UBHWT0347524	UBHWT0347524	1/1/2013	Spreadsheet with title "Feedback for the 2013 LOC, Psychological and Neuropsychological, and Supplemental and Measurable Guidelines."	Niewenhaus Ex. 48			
594	UBHWT0170847	UBHWT0170850	1/18/2013	Email chain with subject "Input on the 2013 LOCs - by January 18th."				
595	UBHWT0210250	UBHWT0210251	7/11/2013	Email with subject "LOC Project - Jerry Will Call Loretta," with attachment.				
596	UBHWT0149578	UBHWT0149585	7/26/2013	Email with subject "Provider Guideline Input Spreadsheets," with attachments.				
597	UBHWT0211010	UBHWT0211011	12/3/2013	Email with subject "Review of Final Guidelines Input," with attachment.				
598	UBHWT0100803	UBHWT0100804	12/10/2013	Email with subject "2014 LOCs," with attachment.				
599	UBHWT0100808	UBHWT0100809	12/13/2013	Email with subject "Canceled: Review of Changes to 2014 Level of Care Guidelines," with attachments.				
	UBHWT0100914	UBHWT0100914						
600	UBHWT0048422	UBHWT0048423	11/12/2014	Email chain with subject "NAPHS."				
601	UBHWT0480001	UBHWT0480012	7/15/2015	Email chain with subject "2015 DEFICIENCY UBH RI CHECKLIST.docx," with attachments.				
602	UBHWT0354245	UBHWT0354247	8/10/2015	Email chain with subject "Confidential: CARTA 201507132147 / Feedback Suggestion?"				
603	UBHWT0507279	UBHWT0507279	12/30/2015	Email with subject "Review Input," with attachments.				
	UBHWT0507280	UBHWT0507281						
	UBHWT0507282	UBHWT0507282						
604	UBHWT0532618	UBHWT0532619	1/8/2016	Email with subject "2016 Guideline Feedback Revised.xlsx," with attachment.				
605	UBHWT0508676	UBHWT0508691	2/29/2016	Email chain with subject "Review SUDs CDG Revision Recommendations," with attachment.				
606	UBHWT0630659	UBHWT0630662	8/1/2016	Email chain with subject "Provider Input on Guidelines."				
607	UBHWT0289881	UBHWT0289881	11/10/2016	Spreadsheet entitled "Feedback for the 2016 Standard Guidelines."				
608	UBHWT0616894	UBHWT0616897	12/15/2016	Email chain with subject "Feedback on LOC Format."	Rockswold Ex. 16			
609	UBHWT0289533	UBHWT0289535	2009	2009 LOCs Reference List	Niewenhaus Ex. 16			
610	UBHWT0289536	UBHWT0289538	2010	2010 LOCs Reference List	Niewenhaus Ex. 17			
611	UBHWT0341354	UBHWT0341357	2011	2011 LOCs Reference List	Niewenhaus Ex. 18			
612	UBHWT0000272	UBHWT0000272	2013	Optum Guideline Evidence Base: Level of Care Guidelines				
613	UBHWT0000271	UBHWT0000271	2013	Optum Guideline Evidence Base: Coverage Determination Guidelines				
614	UBHWT0396297	UBHWT0396319	2014	2014 Clinical Guidelines Reference Lists	Niewenhaus Ex. 19			
615	UBHWT0336246	UBHWT0336275	2015	2015 Clinical Guidelines Reference Lists	Niewenhaus ex. 20			
616	UBHWT0336005	UBHWT0336005	2015	Optum Guideline Evidence Base: Coverage Determination Guidelines (2015)	Niewenhaus Ex. 33			
617	UBHWT0336010	UBHWT0336010	2015	Optum Guideline Evidence Base: Level of Care Guidelines (2015)	Niewenhaus Ex. 34			
618	UBHWT0337163	UBHWT0337191	1997	American Academy of Child and Adolescent Psychiatry, American Psychiatric Association. (1997). Criteria for Short-Term Treatment of Acute Psychiatric Illness.				
619	No Bates	No Bates	1998	American Academy of Child and Adolescent Psychiatry. (1998). Practice Parameters for the Assessment and Treatment of Children and Adolescents with Obsessive-Compulsive Disorder.				
620	UBHWT0297210	UBHWT0297268	1999	American Academy of Child and Adolescent Psychiatry. (1999). Practice Parameters for the Assessment and Treatment of Children, Adolescents and Adults with Autism and Other Pervasive Developmental Disorders.				
621	UBHWT0316730	UBHWT0316749	2001	American Academy of Child and Adolescent Psychiatry. (2001). Practice Parameters for the Assessment and Treatment of Children and Adolescents with Schizophrenia				
622	No Bates	No Bates	2001	American Academy of Child and Adolescent Psychiatry. (2001). Practice Parameters for the Assessment and Treatment of Children and Adolescents with Suicidal Behaviors				
623	UBHWT0297383	UBHWT0297395	2005	American Academy of Child and Adolescent Psychiatry. (2005). Practice Parameters for the Assessment and Treatment of Children and Adolescents with Substance Use Disorders				
624	UBHWT0297165	UBHWT0297181	2007	American Academy of Child and Adolescent Psychiatry. (2007). Practice Parameters for the Assessment and Treatment of Children and Adolescents with Anxiety Disorders.				
625	UBHWT0297182	UBHWT0297209	2007	American Academy of Child and Adolescent Psychiatry. (2007). Practice Parameters for the Assessment and Treatment of Children and Adolescents with Attention-Deficit/Hyperactivity Disorder.				
626	UBHWT0297146	UBHWT0297164	2007	American Academy of Child and Adolescent Psychiatry. (2007). Practice Parameters for the Assessment and Treatment of Children and Adolescents with Bipolar Disorder.				
627	UBHWT0109167	UBHWT0109190	2007	American Academy of Child and Adolescent Psychiatry. (2007). Practice Parameters for the Assessment and Treatment of Children and Adolescents with Depressive Disorders.				
628	UBHWT0183768	UBHWT0183776	2005	American Psychiatric Association (2001). Practice Guideline for the Treatment of Patients with Borderline Personality Disorder. Guideline Watch (2005)				

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629	UBHWIT0183759	UBHWIT0183767	2005	American Psychiatric Association (2002). Practice Guideline for the Treatment of Patients with Bipolar Disorder (Second Edition). Guideline Watch (2005).				
630	UBHWIT0299467	UBHWIT0299650	2003	American Psychiatric Association (2003). Practice Guideline for the Assessment and Treatment of Patients with Suicidal Behaviors.				
631	UBHWIT0078465	UBHWIT0078476	2009	American Psychiatric Association (2004). Practice Guideline for the Treatment of Patients with Acute Stress Disorder and Posttraumatic Stress Disorder. Guideline Watch (2009).				
632	UBHWIT0183737	UBHWIT0183746	2009	American Psychiatric Association (2004). Practice Guideline for the Treatment of Patients with Schizophrenia (Second Edition). Guideline Watch (2009)				
633	UBHWIT0298487	UBHWIT0298614	2006	American Psychiatric Association (2006). Practice Guideline for the Treatment of Patients with Eating Disorders (Third Edition).				
634	UBHWIT0299187	UBHWIT0299462	2006	American Psychiatric Association (2006). Practice Guideline for the Treatment of Patients with Substance Use Disorders.				
635	UBHWIT0297700	UBHWIT0297785	2007	American Psychiatric Association (2007). Practice Guideline for the Treatment of Patients with Alzheimers Disease and Other Dementias of Late Life.				
636	UBHWIT0298785	UBHWIT0298880	2007	American Psychiatric Association (2007). Practice Guideline for the Treatment of Patients with Obsessive Compulsive Disorder				
637	UBHWIT0139911	UBHWIT0139914	2007	American Psychiatric Association (2007). Practice Guideline for the Treatment of Patients with Substance Use Disorders, Guideline Watch.				
638	UBHWIT0298903	UBHWIT0298992	2009	American Psychiatric Association (2009). Practice Guideline for the Treatment of Patients with Panic Disorder (Second Edition).				
639	UBHWIT0109015	UBHWIT0109166	10/1/2010	American Psychiatric Association (2010). Practice Guideline for the Treatment of Patients with Major Depressive Disorder.	Urban ex.13			
640	No Bates	No Bates	2016	Annual Survey of the National Association of Psychiatric Health Systems, 2016 NAPHS Annual Survey Results, Reporting 2014 Data				
641	UBHWIT0488421	UBHWIT0488590	2016	APA Practice Guidelines for the Psychiatric Evaluation of Adults, Third Edition, 2016	Simpatico Ex. 7 (excerpt)			
642	No Bates	No Bates	2001	ASAM PPC-2R, Second Edition-Revised, 2001				
643	UBHWIT0337028	UBHWIT0337097	2008	Association for Ambulatory Behavioral Healthcare, Standards and Guidelines for Partial Hospital Programs, 2008				
644	UBHWIT0416594	UBHWIT0416641		CALOCUS, Version 1.5, Child and Adolescent Level of Care Utilization System	Simpatico Ex. 10; Chenven Ex. 4 (no bates); Urban Ex. 2 (no bates)			
645	WIT_PTFS_0016629	WIT_PTFS_0016725	10/1/2014	CASII User's Manual, Version 4.0, October 2014	Chenven Ex. 5			
646	No Bates	No Bates		CMS National Coverage Determination (NCD) for Inpatient Hospital Stays for Treatment of Alcoholism (130.1)				
647	No Bates	No Bates		CMS National Coverage Determination (NCD) for Treatment of Alcoholism and Drug Abuse in a Freestanding Clinic (130.5)				
648	No Bates	No Bates		CMS National Coverage Determination (NCD) for Treatment of Drug Abuse (Chemical Dependency) (130.6)				
649	UBHWIT0431107	UBHWIT0431550	2014	Commission on Accreditation of Rehabilitation Facilities. Behavioral Health Standards Manual, Tucson, AZ; CARF International, 2014				
650	No Bates	No Bates	2006	Connecticut Department of Mental Health and Addiction Services. (2006). Practice Guidelines for Recovery-Oriented Behavioral Health Care.				
651	UBHWIT0174351	UBHWIT0174354	6/20/2014	Email chain with subject "ASAM Level of Care Guidelines."				
652	UBHWIT0507855	UBHWIT0507864	1/12/2016	Email chain with subject "Medicare P2P rates vs. Commercial P2P rates."				
653	UBHWIT0102815	UBHWIT0102844	3/20/2009	LOCUS Level of Care Utilization System for Psychiatric and Addiction Services, Adult Version 2010, American Association of Community Psychiatrists	Copy w/out bates marked at Urban Ex. 3			
654	No Bates	No Bates	11/6/2014	Medicare Benefit Policy Manual, Chapter 16 - General Exclusions from Coverage				
655	No Bates	No Bates	5/13/2016	Medicare Benefit Policy Manual, Chapter 2 - Inpatient Psychiatric Hospital Services				
656	No Bates	No Bates	12/18/2015	Medicare Benefit Policy Manual, Chapter 6 - Hospital Services Covered Under Part B	Alam Ex. 10			
657	UBHWIT0336832	UBHWIT0336933	2012	Miller, T. & Mol, J.M. Association for Ambulatory Behavioral Healthcare (AABH). (2012). Standards and Guidelines for Partial Hospital Programs, Fifth Edition				
658	No Bates	No Bates	Aug-16	NAPHS Length of Stay Data for 1988-2014, Updated August 2016				
659	UBHWIT0152870	UBHWIT0153130	2004	National Institute for Health and Clinical Excellence. Eating disorders: Core interventions in the treatment and management of anorexia nervosa, bulimia nervosa and related eating disorders, 2004				
660	UBHWIT0303877	UBHWIT0303930	2007	National Institute for Health and Clinical Excellence. (2007) Management of Anxiety in Adults in Primary, Secondary and Community Care				

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661	UBHWIT0545636	UBHWIT0545662	1999	State of Texas Department of Health. (1999). Standards for Reasonable Cost Control and Utilization Review for Chemical Dependency Treatment Centers.				
662	UBHWIT0512326	UBHWIT0512326	2013	The ASAM Criteria, Third Edition, 2013	Fishman Ex. 3; Niewenhoux Ex. 37; excerpts marked at Alam Ex. 9 and Simpatico Ex. 9			
663	No Bates	No Bates	2000	U.S. Department of Veterans Affairs. (2000). VHA/DoD Clinical Practice Guideline for the Management of Major Depressive Disorder in Adults.				
664	No Bates	No Bates	2001	U.S. Department of Veterans Affairs. (2001). VHA/DoD Clinical Practice Guideline for the Management of Substance Use Disorders				
665	UBHWIT0449850	UBHWIT0450051	2009	U.S. Department of Veterans Affairs. (2009). VHA/DoD Clinical Practice Guideline for the Management of Major Depressive Disorder in Adults				
666	No Bates	No Bates	2010	U.S. Department of Veterans Affairs. (2010). VHA/DoD Clinical Practice Guideline for the Management of Bipolar Disorder in Adults.				
667	UBHWIT0318564	UBHWIT0318721	2009	U.S. Department of Veterans Affairs/Department of Defense. (2009). Clinical Practice Guideline, Management of Substance Use Disorders.				
668	No Bates	No Bates	Apr-17	CV of Dr. Mark Chenven				
669	No Bates	No Bates	Apr-17	CV of Dr. Eric Plakun	Plakun Ex. 3			
670	No Bates	No Bates		CV of Dr. Marc Fishman	Fishman Ex. 4			
671	No Bates	No Bates	Jan-17	Article by Eric M. Plakun, MD, entitled "Psychotherapy, Parity, and Ethical Utilization Management," Journal of Psychiatric Practice, Vol. 23, No. 1 (January 2017)	Plakun Ex. 14			
672	No Bates	No Bates	4/13/2015	Article entitled "Intensive short-term dynamic residential treatment program for patients with treatment-resistant disorders."	Plakun Ex. 6			
673	No Bates	No Bates	2011	Article titled "Addiction Treatment: Level of Care Determination" by Danesh Alam and Andrew Martorana, 2011	Alam Ex. 3			
674	No Bates	No Bates	2015	Article written by Spencer Biel and Eric M. Plakun entitled Psychodynamic Systems of Residential Treatment: Another View From Riggs (2015)	Plakun Ex. 5			
675	No Bates	No Bates	2016	California Code of Regulations, Section 1830.205 Medical Necessity Criteria for MHP Reimbursement of Specialty Mental Health Services, 2016				
676	No Bates	No Bates	2012	Camilleri AC, Cacciola JS, Jensen MR (2012). Comparison of Two ASI-Based Standardized Patient Placement Approaches. J Addictive Diseases 31(2):118-129				
677	No Bates	No Bates	5/7/2004	CMS Manual System Pub. 100-02 Medicare Benefit Policy, Transmittal 10, Change Request 3298	Simpatico ex.2			
678	No Bates	No Bates	February 1974	Emergency Services in Community Mental Health Problems and Promise by Gerald F. Jacobson, M.D.				
679	No Bates	No Bates	9/1/2016	Facts for Families, No 97. Resident Treatment Programs, AACAP, September 2016				
680	No Bates	No Bates	2010	Fishman M "Placement Criteria and Treatment Planning for Adolescents with Substance Use Disorders" In: Kaminer Y, Winters K, eds. Clinical Manual of Adolescent Substance Abuse Treatment. Chap 5: p113-142. American Psychiatric Publishing, Washington DC, 2010.				
681	No Bates	No Bates	2013	Fishman M and Kaminer Y. The Case for Placement Criteria for Adolescent Substance Use Disorders. Journal of Addiction and Prevention. 1(1): 1-4. 2013				
682	No Bates	No Bates	2011	Fishman M, Cirone, S. "Placement Criteria and Strategies for Adolescent Treatment Matching" in Graham AW, Schultz TK, Mayo-Smith M., Ries RK & Wilford BB, (eds.) Principles of Addiction Medicine: The Essentials. Lippincott, Williams and Wilkins. 2011				
683	No Bates	No Bates	2014	Fishman M., "Placement Criteria and Strategies for Adolescent Treatment Matching" in Ries R and Fiellin D, (eds.) Principles of Addiction Medicine 5th Edition. Lippincott Williams & Wilkins. 2014.				
684	No Bates	No Bates	2015	Fishman, M. "The Relationship Between Substance Use Disorders and Psychiatric Comorbidity: Implications for Integrated Health Services" in Kaminer Y and Buckstein O (eds). Youth Substance Abuse and Co-Occurring Disorders. APPI. 2015.				
685	No Bates	No Bates	1997	Gastfriend DR & McLellan AT (1997). Treatment matching: Theoretic basis and practical implications. Medical Clinics of North America 81(4):945-966				
686	No Bates	No Bates	2004	Gastfriend DR. Addiction Treatment Matching: Research Foundations of the American Society of Addiction Medicine (ASAM) Criteria. Binghamton NY: Haworth Medical Press, 2004, pp. 1-170				
687	No Bates	No Bates	2006	Kolsky GD. Current State AOD Agency Practices Regarding the Use of Patient Placement Criteria (PPC) - An Update. National Association of State Alcohol and Drug Abuse Directors, Washington, DC, Nov. 1, 2006, pp. 1-17				

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688	No Bates	No Bates	2017	Local Coverage Determination (LCD): Psychiatry and Psychology Services (L33632)				
689	No Bates	No Bates	1986	McGlashan, TH. The Chestnut Lodge follow-up study: III. Long-term outcome of borderline personalities. Archives of General Psychiatry: 43, 1986, pp. 20-30.				
690	No Bates	No Bates	1996	Mental Health Care Law: Ten Basic Principles (World Health Organization) 1996	Simpatico Ex. 5			
691	No Bates	No Bates	Apr-14	Milliman APA Report: Economic Impact of Integrated Medical-Behavioral Healthcare, Implications for Psychiatry	Simpatico ex.12			
692	No Bates	No Bates	1991	Plakun EM. Prediction of Outcome in Borderline Personality Disorder, Journal of Personality Disorders: 5, 1991, pp. 93-101.				
693	No Bates	No Bates	2010	Principles of Care for Treatment of Children and Adolescents with Mental Illnesses in Residential Treatment Centers, AACAP, June 2010				
694	No Bates	No Bates	No Date	SAMHSA Detoxification and Substance Abuse Treatment, A Treatment Improvement Protocol (TIP 45), Chapter 2 (Excerpt)	Simpatico ex.8			
695	No Bates	No Bates	2006	SAMHSA Treatment Improvement Protocol (TIP 47) - Substance Abuse: Clinical Issues in Intensive Outpatient Treatment				
696	No Bates	No Bates	2003	Sharon E, Krebs C, Turner W, Desai N, Binus G, Penk W, Gastfriend DR (2003). Predictive Validity of the ASAM Patient Placement Criteria for Hospital Utilization, Journal of Addictive Disease 22(supplement 1):79-93				
697	No Bates	No Bates	Feb-09	The Effectiveness of Long-Term Psychoanalytic Therapy: A Systematic Review of Empirical Studies, de Maat, et al.				
698	No Bates	No Bates	1999	The Effectiveness of Psychoanalytic Psychotherapy: The Role of Treatment Duration, Frequency of Sessions, and the Therapeutic Relationship, Freedman, et al.				
699	No Bates	No Bates	2011	Treatment Episode Data Set Discharges (TEDS-D) 2011				
700	No Bates	No Bates	2012	Treatment Episode Data Set Discharges (TEDS-D) 2012				
701	No Bates	No Bates	2013	Treatment Episode Data Set Discharges (TEDS-D) 2013				
702	No Bates	No Bates	2001	U.S. Department of Health and Human Services, Center for Substance Abuse Treatment (2001). KAP KEYS Based on TIP 13: The Role and Current Status of Patient Placement Criteria in the Treatment of Substance Use Disorders. CSAT's Knowledge Application Program, DHHS Publication No. (SMA) 01-3565				
703	No Bates	No Bates	2009	U.S. Department of Health and Human Services, Substance Abuse and Mental Health Services Administration, Practice Guidelines: Core Elements in Responding to Mental Health Crises, 2009				
704	No Bates	No Bates	2009	Wisniewski SR, Rush AJ, Nierenberg AA, et al. Can phase III trial results of antidepressant medications be generalized to clinical practice?: A STAR*D report. American Journal of Psychiatry. 2009; 166: 599-607.				
705	No Bates	No Bates	2011	UnitedHealth Group Incorporated Form 10-K				
706	No Bates	No Bates	2012	UnitedHealth Group Incorporated Form 10-K				
707	No Bates	No Bates	2013	UnitedHealth Group Incorporated Form 10-K				
708	No Bates	No Bates	2014	UnitedHealth Group Incorporated Form 10-K				
709	No Bates	No Bates	2015	UnitedHealth Group Incorporated Form 10-K				
710	No Bates	No Bates	2016	UnitedHealth Group Incorporated Form 10-K				
711	UBHWT0729711	UBHWT0729711	8/24/2017	Stipulation Concerning Per-Member-Per-Month Rates, with excerpt of Exhibit 1				
712	UBHWT0729507	UBHWT0729507	No Date	Chart with title "Optum Behavioral Health, Consolidated UBH Legal Entity, Historical Profit and Loss Statement."				
713	No Bates	No Bates	No Date	Chart re: UBH's Targets (re: Topic 3 of 30(b)(6) Notice)	catlin ex. 2			
714	No Bates	No Bates	No Date	Chart re: manner in which UBH is compensated (re: Topic 4 of 30(b)(6) Notice)	catlin ex. 3			
715	No Bates	No Bates	No Date	Chart re: commercial-specific E&I benchmarks for 2014-2017	catlin ex. 4; Patterson ex. 21			
716	No Bates	No Bates	No Date	Chart re: affordability best estimates for UBH commercial for 2012-2017	Patterson ex. 22			
717	UBHWT0726646	UBHWT0726688	1/1/2005	United Behavioral Health Administrative Services Agreement between United Behavioral Health and SYSCO Corporation	Catlin Ex. 9			
718	UBHWT0726689	UBHWT0726735	1/1/2007	United Behavioral Health Administrative Services Agreement between United Behavioral Health and W.W. Grainger, Inc.	Catlin Ex. 10			
719	UBHWT0254723	UBHWT0254777	9/30/2009	Management Services Agreement Among Oxford Health Plans (NY), Inc., UBH, and UBH of New York, I.P.A., Inc.	Catlin Ex. 5; Triana (1-28-16) Ex. 18 (different UBH bates)			
720	UBHWT0187295	UBHWT0187295	5/25/2010	Email with subject "Updated: Houston CAC Monthly Business Review," with attachments.				
	UBHWT0187296	UBHWT0187296						
	UBHWT0187301	UBHWT0187301						

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721	UBHWIT0187304	UBHWIT0187312	5/26/2010	Email with subject "Updated: San Francisco CAC Monthly Business Review," with attachments.				
722	UBHWIT0187205	UBHWIT0187205	5/27/2010	Email with subject "Updated: Albany CAC Monthly Business Review," with attachments.				
	UBHWIT0187206	UBHWIT0187206						
	UBHWIT0187211	UBHWIT0187211						
723	UBHWIT0191822	UBHWIT0191822	5/27/2010	Email with subject "Portland CAC Monthly Business Review - Deck Updated with Vital Sign Actions," with attachments.				
	UBHWIT0191823	UBHWIT0191823						
	UBHWIT0191828	UBHWIT0191828						
	UBHWIT0187214	UBHWIT0187214						
724	UBHWIT0187215	UBHWIT0187215	6/4/2010	Email with subject "Updated: Chicago CAC Monthly Business Review," with attachments.				
	UBHWIT0187220	UBHWIT0187220						
	UBHWIT0569739	UBHWIT0569783						
725	UBHWIT0569739	UBHWIT0569783	6/10/2010	High Cost of Eating Disorders, Literature Search, Prepared by Behavioral Health Sciences (Draft)				
726	UBHWIT0551644	UBHWIT0551701	6/11/2010	High Cost of Bipolar Disorders, Literature Search, Prepared by Behavioral Health Sciences				
727	UBHWIT0569692	UBHWIT0569738	6/11/2010	High Cost of Substance Use Disorders, Literature Search, Prepared by Behavioral Health Sciences				
728	UBHWIT0194056	UBHWIT0194063	6/30/2010	Email chain with subject "2011 Business Plan," with attachments.				
729	UBHWIT0191839	UBHWIT0191842	7/1/2010	Email chain with subject "2011 Business Plan -- feedback needed by 2pm today," with attachment.	Triana (5-10-17) Ex. 37			
730	UBHWIT0197278	UBHWIT0197281	3/29/2011	Email with subject "PREP for BENEX DEEP DIVE (Rathbun's APRIL 1ST Meeting) - DECK," with attachments.				
731	UBHWIT0465065	UBHWIT0465068	5/11/2011	Email chain with subject "Let's Catch Up."				
732	UBHWIT0461521	UBHWIT0461521	8/2/2011	Email with subject "2011 April + 2 and R12 (Rolling 12-month) Oxford Trend Portfolio," with attachments.				
	UBHWIT0461523	UBHWIT0461523						
	UBHWIT0461524	UBHWIT0461524						
733	UBHWIT0199240	UBHWIT0199246	10/28/2011	Email chain with subject "Days Waiting Placement Call Summary."				
734	UBHWIT0199252	UBHWIT0199256	11/1/2011	Email chain with subject "days awaiting placement plans."				
735	UBHWIT0226622	UBHWIT0226622	1/18/2012	Email with subject "Briefing on Custodial Care (1111 Draft 3)," with attachments.				
	UBHWIT0226623	UBHWIT0226632						
	UBHWIT0226893	UBHWIT0226902						
	UBHWIT0226905	UBHWIT0226928						
	UBHWIT0226942	UBHWIT0226951						
	UBHWIT0226952	UBHWIT0226987						
	UBHWIT0226988	UBHWIT0227002						
	UBHWIT0227003	UBHWIT0227110						
	UBHWIT0227003	UBHWIT0227110						
736	UBHWIT0254904	UBHWIT0254944	3/1/2012	Behavioral Health Services Agreement between United Behavioral Health and UnitedHealthcare Insurance Company of Illinois	Catlin Ex. 8; Triana (1-28-16) Ex. 20 (different UBH bates)			
737	UBHWIT0254679	UBHWIT0254722	4/1/2012	Behavioral Health Services Agreement between United Behavioral Health and its subsidiary, U.S. Behavioral Health Plan, California, and Oxford Health Insurance, Inc.	Catlin Ex. 7; Triana (1-28-16) Ex. 17 (different UBH bates)			
738	UBHWIT0254778	UBHWIT0254903	4/1/2012	Behavioral Health Services Agreement between United Behavioral Health and UnitedHealthcare Insurance Company	Catlin Ex. 6; Triana (1-28-16) Ex. 19 (different UBH bates)			
739	UBHWIT0464303	UBHWIT0464321	4/6/2012	Email chain with subject "NEW VERSION: REVIEW: Clin Ops reorg draft," with attachments.				
740	UBHWIT0426302	UBHWIT0426303	2/14/2013	Email with subject "Review E&I Monthly Business Review Deck," with attachment.				
741	UBHWIT0102992	UBHWIT0102994	4/10/2013	Email chain with subject "Need for TMS policy and guidelines development."				
742	UBHWIT0089051	UBHWIT0089051	5/9/2013	Powerpoint presentation entitled, "Prior Authorization/ Notification for Select OP Services."				
743	UBHWIT0420886	UBHWIT0420886	5/13/2013	Email with subject "Finance Participation on BPAC."				
744	UBHWIT0430286	UBHWIT0430296	5/24/2013	Email chain with subject "E&I Direct Employer Monthly Business Review May - 2013 FINAL.zip," with attachments.				
745	UBHWIT0184981	UBHWIT0184984	7/10/2013	Email chain with subject "My take on June Close."	Motz Ex. 3			
746	UBHWIT0107967	UBHWIT0108069	7/11/2013	Email chain with subject "Clin Ops Accountability draft," with attachments.				
747	UBHWIT0446295	UBHWIT0446301	7/19/2013	Email chain with subject "UCH rTMS Cost Estimate."				
748	UBHWIT0204754	UBHWIT0204754	8/9/2013	Email chain with subject "Financial Close for July Customer Focus," with attachments.				
	UBHWIT0204755	UBHWIT0204755						
	UBHWIT0204756	UBHWIT0204756						
	UBHWIT0204757	UBHWIT0204757						
	UBHWIT0204758	UBHWIT0204758						
	UBHWIT0204759	UBHWIT0204761						
	UBHWIT0204815	UBHWIT0204819						

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749	UBHWT0431798	UBHWT0431799	11/7/2013	Email with subject "CPC TMS Slide for SLT (1113)web.pptx," with attachment.				
750	UBHWT0464451	UBHWT0464456	11/21/2013	Email chain with subject "Concerns about the process for creating the TMS CDG"				
751	UBHWT0185166	UBHWT0185169	12/13/2013	Email chain with subject "Payer Market Monthly Business Review for Commercial BOB - Deck Attached," with attachment.	Triana (5-10-17) Ex. 17 (not including UBHWT0185169)			
752	UBHWT0522631	UBHWT0522641	2/13/2014	Email with subject "COC Slides," with attachments.				
753				INTENTIONALLY LEFT BLANK				
754	UBHWT0185555	UBHWT0185558	2/24/2014	Email with subject, "Monthly Business Review - Payer Market 2-24-14," with attachment.				
755	UBHWT0669664	UBHWT0669666	3/25/2014	Email chain with subject "Meeting at 10:00 Central - follow up."				
756	UBHWT0063837	UBHWT0063856	4/15/2014	Email chain with subject "TMS Benefits Request," with attachment.				
757	UBHWT0186831	UBHWT0186840	4/15/2014	Email chain with subject, "TMS Benefits Request," with attachment.				
758	UBHWT0218620	UBHWT0218630	4/15/2014	Email chain with subject "TMS Benefits Request," with attachment.				
759	UBHWT0693878	UBHWT0693888	4/15/2014	Email chain with subject, "TMS Benefits Request"				
760	UBHWT0529253	UBHWT0529256	4/17/2014	Email chain with subject "Project charter - updated version," with attachment.				
	UBHWT0529257	UBHWT0529257						
761	UBHWT0692036	UBHWT0692052	4/21/2014	Email chain with subject "TMS Benefits Request."				
762	UBHWT0422055	UBHWT0422060	4/22/2014	Email chain with subject "Potential BenEx Hot Spot slide."				
763	UBHWT0099877	UBHWT0099888	4/23/2014	Email chain with subject "TMS Summary," with attachment.				
764	UBHWT0422069	UBHWT0422074	4/23/2014	Email chain with subject "18-36 yr. old cohort - Potential BenEx Hot Spot slide."				
765	UBHWT0422083	UBHWT0422097	4/24/2014	Email chain with subject "18-36 yr. old cohort - Potential BenEx Hot Spot Slide."				
766	UBHWT0048176	UBHWT0048196	5/2/2014	Email with subject "TMS Coverage Guidance," with attachments.				
	UBHWT0429042	UBHWT0429043						
	UBHWT0429044	UBHWT0429044						
767	UBHWT0429045	UBHWT0429045	5/5/2014	Email with subject "Forward to attendees) Please join now, meeting in progress: 18-26 yo Intervention and Strategy," with attachments.				
	UBHWT0429046	UBHWT0429046						
	UBHWT0429047	UBHWT0429047						
768	UBHWT0543880	UBHWT0543888	5/20/2014	Email chain with subject "Friday meet with sandy cohen re parity," with attachments.				
769	UBHWT0014021	UBHWT0014035	6/1/2014	Coverage Determination Guideline for Transcranial Magnetic Stimulation (TMS)				
770	UBHWT0186852	UBHWT0186853	6/10/2014	Email chain with subject "Privileged Communication - Re: National Association of Psychiatric Health Systems Update-lawsuit against UBH."				
771	UBHWT0114436	UBHWT0114480	6/24/2014	Email chain with subject "UBH vs KL Med Policy FW: Transcranial Magnetic Stimulation," with attachments.				
772	UBHWT0429439	UBHWT0429443	7/25/2014	Email with subject "Employer Monthly Business Review - July/2014," with attachments.	Motz Ex. 2 (UBHWT0429439), 2A/B (UBHWT0429440); Brock Ex. 11			
773	UBHWT0117615	UBHWT0117618	8/11/2014	Email chain with subject "Quantitative Drug Testing - Medical Policy Option."				
	UBHWT0577764	UBHWT0577764						
774	UBHWT0577765	UBHWT0577888	8/22/2014	Email with subject "Employer Monthly Business Review August/2014," with attachments.				
	UBHWT0577889	UBHWT0577889						
	UBHWT0422916	UBHWT0422933						
	UBHWT0423025	UBHWT0423027						
775	UBHWT0423028	UBHWT0423028	9/10/2014	Email chain with subject "Transcranial Magnetic Stimulation (TMS) --coverage excluded? - Assistance Requested."				
	UBHWT0423031	UBHWT0423031						
	UBHWT0423033	UBHWT0423033						
776	UBHWT0092256	UBHWT0092267	10/1/2014	Coverage Determination Guideline for Transcranial Magnetic Stimulation (TMS)				
777	UBHWT0446209	UBHWT0446212	10/14/2014	Email chain with subject "TMS Financial Analysis."	Motz Ex. 4 (all but last email in UBHWT0446209 chain)			
778	UBHWT0408599	UBHWT0408604	10/16/2014	Email chain with subject "TMS Alignment - IMPORTANT."				
779	UBHWT0185945	UBHWT0185951	10/24/2014	Email with subject "Employer Monthly Business Review - October/2014," with attachments.				
	UBHWT0577994	UBHWT0577994						
780	UBHWT0577995	UBHWT0577995	10/24/2014	Email with subject "Employer Monthly Business Review - October/2014," with attachments.				
781	UBHWT0665608	UBHWT0665685	10/24/2014	PowerPoint presentation entitled "E&I Direct Employer Monthly Business Review - October 2014."				

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782	UBHWT0208681	UBHWT0208684	10/29/2014	Email chain with subject "TMS Financial Analysis," with attachments.				
	UBHWT0208685	UBHWT0208687						
	UBHWT0208688	UBHWT0208699						
	UBHWT0208700	UBHWT0208701						
	UBHWT0208702	UBHWT0208711						
	UBHWT0208712	UBHWT0208749						
	UBHWT0208750	UBHWT0208753						
	UBHWT0208754	UBHWT0208755						
	UBHWT0208756	UBHWT0208760						
	UBHWT0208761	UBHWT0208788						
	UBHWT0208789	UBHWT0208790						
	UBHWT0208810	UBHWT0208837						
	UBHWT0208838	UBHWT0208855						
	UBHWT0208856	UBHWT0208884						
	UBHWT0208885	UBHWT0208912						
783	UBHWT0534868	UBHWT0534946	12/5/2014	Email chain with subject "Employer Monthly Business Review- 2014 November-Final," with attachment.				
784	UBHWT0512035	UBHWT0512037	2/6/2015	Email chain with subject "Update CDG for Methadone," with attachment.				
785	UBHWT0474105	UBHWT0474106	3/24/2015	Email chain with subject "quant and qual drug screens."	Patterson Ex. 9			
786	UBHWT0475581	UBHWT0475584	5/11/2015	Email chain with subject "LOCATDR for BPAC."	Regan Ex. 14			
787	UBHWT0463598	UBHWT0463609	6/1/2015	Email chain with subject "Project Montage: Optum Clinical & Affordability Analysis for UHC Lines of Business - Request for your Participation," with attachments.				
788	UBHWT0463074	UBHWT0463082	6/2/2015	Email chain with subject "Prep for Project Montage calls," with attachments.	Bonfield Ex. 3 and Motz Ex. 6 (just email for both)			
789	UBHWT0463083	UBHWT0463085	6/4/2015	Email with subject "DRAFT FOR REVIEW - Materials for C&S/M&R Project Montage meeting and discussion on Tuesday 8/9 @ 9:00 - 10:00 CT," with				
	UBHWT0463340	UBHWT0463340						
790	UBHWT0464249	UBHWT0464250	6/26/2015	Email with subject "Employer Market Monthly Business Review for Commercial BOB," with attachments.				
	UBHWT0464251	UBHWT0464251						
	UBHWT0464252	UBHWT0464252						
	UBHWT0464253	UBHWT0464253						
	UBHWT0464254	UBHWT0464254						
791	UBHWT0464268	UBHWT0464268	7/24/2015	Email with subject "Presentation for Employer Monthly Business Review - July/2015," with attachments.				
	UBHWT0464269	UBHWT0464269						
	UBHWT0464270	UBHWT0464270						
	UBHWT0464271	UBHWT0464271						
792	UBHWT0472217	UBHWT0472222	7/30/2015	Email chain with subject, "Transcranial Magnetic Stim (+ a second question)."				
793	UBHWT0480388	UBHWT0480392	7/30/2015	Email chain with subject "TMS as a covered benefit."				
794	UBHWT0094343	UBHWT0094357	9/1/2015	Coverage Determination Guideline for Transcranial Magnetic Stimulation (TMS)				
795	UBHWT0624489.0001	UBHWT0624491	11/4/2015	Power Point Presentation titled, "Innovation in PS UM Activities Utilization Management Strategies Used in Public Sector Business: The TennCare Child and Adolescent RTC Experience," with attachments.				
796	UBHWT0606536	UBHWT0606620	12/4/2015	Email with subject "Employer Market Behavioral Health Monthly Review," with attachments.				
797	UBHWT0485187	UBHWT0485190	12/8/2015	Email chain with subject "BPAC."				
798	UBHWT0509113	UBHWT0509115	3/10/2016	Email chain with subject "Redesign BH UM Process Workshop 1: Current State," with attachment.				
	UBHWT0509119	UBHWT0509119						
	UBHWT0509120	UBHWT0509126						
	UBHWT0509128	UBHWT0509128						
	UBHWT0509129	UBHWT0509130						
	UBHWT0509181	UBHWT0509181						
	UBHWT0509295	UBHWT0509295						
	UBHWT0509385	UBHWT0509385						
	UBHWT0509386	UBHWT0509388						
799	UBHWT0703787	UBHWT0703798	5/16/2016	Email chain with subject "Attorney/Client Privileged: ABA CDG/LOCG Language."				
800	UBHWT0706350	UBHWT0706361	5/16/2016	Email chain with subject "Attorney/Client Privileged: ABA CDG/LOCG Language."				
801	UBHWT0626059	UBHWT0626097	5/23/2016	Email chain with subject "RI Transcranial Magnetic Stimulation is now a covered benefit," with attachments.				
802	UBHWT0611106	UBHWT0611112	7/7/2016	Email chain with subject "Attorney/Client Privileged: ABA Guideline Change Impact."				
803	UBHWT0611285	UBHWT0611291	7/11/2016	Email chain with subject "Attorney/Client Privileged: ABA Guideline Change Impact."				

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804	UBHWT0611315	UBHWT0611321	7/11/2016	Email chain with subject "Attorney/Client Privileged: ABA Guideline Change Impact."				
805	UBHWT0383309	UBHWT0383321	9/1/2016	Coverage Determination Guideline for Transcranial Magnetic Stimulation (TMS)				
806	UBHWT0708055	UBHWT0708060	9/28/2016	Email chain with subject "ABA policy change."				
807	UBHWT0647552	UBHWT0647552	11/5/2016	Power Point - 2016 Optum Behavioral Senior Medical Director Summit November 1-2, 2016/Four Seasons Hotel, Las, Vegas, Nevada.	Triana (5-10-17) Ex. 24			
808	UBHWT0624497	UBHWT0624500	11/28/2016	Email chain with subject "For Discussion: HCE and Affordability Improved Alignment."	Patterson Ex. 1			
809	UBHWT0616253	UBHWT0616257	11/29/2016	Email chain with subject "ABA LOC Guideline proposed changes," with attachment.				
810	UBHWT0616258	UBHWT0616260	11/29/2016	Email chain with subject "ABA LOC Guideline proposed changes."				
811	UBHWT0616714	UBHWT0616715	12/7/2016	Email chain with subject "E&I COC Changes and Impact."				
812	UBHWT0708532	UBHWT0708537	12/16/2016	Email chain with subject "ABA LOC Guideline proposed changes."	Rockswold Ex. 23; Motz Ex. 10			
813	UBHWT0624523	UBHWT0624525	12/28/2016	Email with subject "Deck for meeting 1/5 with Larry," with attachment.	Triana (5-10-17) Ex. 21			
814	UBHWT0709286	UBHWT0709309	1/3/2017	Email chain with subject "Aligning on what needs to change and the supporting justification related to ABA LOC guidelines," with attachment.				
815	UBHWT0664701	UBHWT0664706	2/24/2013	Common Review for Andrew Martorana				
816	UBHWT0664551	UBHWT0664556	2/27/2011	Common Review for Brett A. Hart				
817	UBHWT0664557	UBHWT0664560	2/26/2012	Common Review for Brett A. Hart				
818	UBHWT0664561	UBHWT0664565	2/24/2013	Common Review for Brett A. Hart				
819	UBHWT0664781	UBHWT0664785	2/27/2011	Common Review for Charles M. Powell				
820	UBHWT0664791	UBHWT0664795	2/24/2013	Common Review for Charles M. Powell				
821	UBHWT0664796	UBHWT0664800	2/23/2014	Common Review for Charles M. Powell				
822	UBHWT0664801	UBHWT0664806	2/22/2015	Common Review for Charles M. Powell				
823	UBHWT0664650	UBHWT0664655	2/27/2011	Common Review for Donna K. Maples				
824	UBHWT0664656	UBHWT0664661	2/26/2012	Common Review for Donna K. Maples				
825	UBHWT0664662	UBHWT0664669	2/24/2013	Common Review for Donna K. Maples				
826	UBHWT0664670	UBHWT0664677	2/23/2014	Common Review for Donna K. Maples				
827	UBHWT0664678	UBHWT0664685	2/22/2015	Common Review for Donna K. Maples				
828	UBHWT0664686	UBHWT0664693	2/21/2016	Common Review for Donna K. Maples				
829	UBHWT0664495	UBHWT0664502	2/19/2017	Common Review for Donna K. Maples				
830	UBHWT0664540	UBHWT0664544	2/19/2017	Common Review for Frederic C. Motz	Motz 11			
831	UBHWT0383580	UBHWT0383584	2/27/2011	Common Review for Gerard B. Niewenhou				
832	UBHWT0383585	UBHWT0383589	2/26/2012	Common Review for Gerard B. Niewenhou				
833	UBHWT0383590	UBHWT0383595	2/24/2013	Common Review for Gerard B. Niewenhou				
834	UBHWT0383596	UBHWT0383601	2/23/2014	Common Review for Gerard B. Niewenhou				
835	UBHWT0383559	UBHWT0383563	2/24/2013	Common Review for Irvin P. Brock	Brock 13; Triana (5-10-17) 14			
836	UBHWT0383564	UBHWT0383568	2/23/2014	Common Review for Irvin P. Brock	Brock 14; Triana 11			
837	UBHWT0383569	UBHWT0383574	2/22/2015	Common Review for Irvin P. Brock	Brock 15; Triana 12			
838	UBHWT0383575	UBHWT0383579	2/21/2016	Common Review for Irvin P. Brock	Brock 16			
839	UBHWT0383665	UBHWT0383670	2/26/2012	Common Review for James M. Slayton				
840	UBHWT0512359	UBHWT0512362	2/27/2011	Common Review for Keith W. Keytel				
841	UBHWT0512363	UBHWT0512366	2/26/2012	Common Review for Keith W. Keytel				
842	UBHWT0512367	UBHWT0512371	2/24/2013	Common Review for Keith W. Keytel	Keytel 1			
843	UBHWT0512372	UBHWT0512377	2/23/2014	Common Review for Keith W. Keytel	Keytel 2			
844	UBHWT0512378	UBHWT0512383	2/22/2015	Common Review for Keith W. Keytel	Keytel 5			
845	UBHWT0512384	UBHWT0512389	2/21/2016	Common Review for Keith W. Keytel	Keytel 7			
846	UBHWT0664882	UBHWT0664887	2/26/2012	Common Review for Letitia A. Watson				
847	UBHWT0664593	UBHWT0664599	2/19/2017	Common Review for Letitia A. Watson				
848	UBHWT0383695	UBHWT0383700	2/27/2011	Common Review for Lorenzo Triana				
849	UBHWT0383701	UBHWT0383705	2/26/2012	Common Review for Lorenzo Triana				
850	UBHWT0383707	UBHWT0383713	2/24/2013	Common Review for Lorenzo Triana	Triana 10			
851	UBHWT0383714	UBHWT0383720	2/23/2014	Common Review for Lorenzo Triana				
852	UBHWT0383721	UBHWT0383727	2/22/2015	Common Review for Lorenzo Triana				
853	UBHWT0383728	UBHWT0383734	2/21/2016	Common Review for Lorenzo Triana	Keytel 8			
854	UBHWT0664600	UBHWT0664606	2/19/2017	Common Review for Lorenzo Triana				
855	UBHWT0664861	UBHWT0664865	2/23/2014	Common Review for Loretta A. Urban				
856	UBHWT0664607	UBHWT0664611	2/19/2017	Common Review for Loretta A. Urban				
857	UBHWT0664566	UBHWT0664572	2/21/2016	Common Review for Lourdes G. Hattrich				
858	UBHWT0512328	UBHWT0512333	2/27/2011	Common Review for Margaret K. Brennecke				
859	UBHWT0512334	UBHWT0512337	2/26/2012	Common Review for Margaret K. Brennecke				
860	UBHWT0512338	UBHWT0512342	2/24/2013	Common Review for Margaret K. Brennecke				
861	UBHWT0512343	UBHWT0512347	2/23/2014	Common Review for Margaret K. Brennecke				
862	UBHWT0512348	UBHWT0512353	2/22/2015	Common Review for Margaret K. Brennecke				

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863	UBHWT0512354	UBHWT0512358	2/21/2016	Common Review for Margaret K. Brennecke	Keytel 9			
864	UBHWT0664972	UBHWT0664977	2/19/2017	Common Review for Margaret K. Brennecke				
865	UBHWT0664635	UBHWT0664639	2/23/2014	Common Review for Marian M. Maguire				
866	UBHWT0664640	UBHWT0664644	2/22/2015	Common Review for Marian M. Maguire				
867	UBHWT0664645	UBHWT0664649	2/21/2016	Common Review for Marian M. Maguire	Keytel 10			
868	UBHWT0664776	UBHWT0664780	2/21/2016	Common Review for Nisha C. Patterson				
869	UBHWT0664770	UBHWT0664775	2/19/2017	Common Review for Nisha C. Patterson				
870	UBHWT0664583	UBHWT0664586	2/26/2012	Common Review for Richard Gonzales Jimenez				
871				INTENTIONALLY LEFT BLANK				
872				INTENTIONALLY LEFT BLANK				
873				INTENTIONALLY LEFT BLANK				
874				INTENTIONALLY LEFT BLANK				
875				INTENTIONALLY LEFT BLANK				
876				INTENTIONALLY LEFT BLANK				
877				INTENTIONALLY LEFT BLANK				
878				INTENTIONALLY LEFT BLANK				
879	No Bates	No Bates		Definition and Application of Federal Mental Health and Addiction Equity Act	Robinson-Beale Ex. 9			
880	No Bates	No Bates	6/9/2017	Stipulations of Fact (Guideline Groupings) (Wit ECF No. 257)				
881	No Bates	No Bates	3/10/2017	Expert Report of Dr. Marc Fishman				
882	No Bates	No Bates	4/7/2017	Rebuttal Expert Report of Dr. Marc Fishman				
883	No Bates	No Bates	3/10/2017	Expert Report of Dr. Thomas Goddard				
884	No Bates	No Bates	4/7/2017	Rebuttal Expert Report of Dr. Thomas Goddard				
885	No Bates	No Bates	3/10/2017	Expert Report of Dr. Thomas Simpatico				
886	No Bates	No Bates	4/7/2017	Rebuttal Expert Report of Dr. Thomas Simpatico				
887	No Bates	No Bates	3/10/2017	Defendant's Disclosure of Non-Retained Expert Testimony Pursuant to Fed. R. Civ. P. 26(A)(2)(C)				
888	No Bates	No Bates	4/7/2017	Rebuttal Report of Dr. Andrew Martorana				
889	No Bates	No Bates	3/10/2017	Expert Report of Dr. Eric Plakun				
890	No Bates	No Bates	4/7/2017	Rebuttal Expert Report of Dr. Eric Plakun				
891	No Bates	No Bates	4/7/2017	Rebuttal Expert Report of Dr. Danesh Alam				
892 to 1000 Intentionally Blank								
1001	UBHWT0075565		5/3/2011	BPAC Meeting Minutes May 3, 2011	Alchin Dep. Ex. 06			
1002	UBHWT0085776		2/3/2011	United Behavioral Health Coverage Determination Committee Minutes (Chaired by Gerard Niewenhou and Jim Stayton)	Alchin Dep. Ex. 8			
1003				Intentionally Left Blank				
1004	UBHWT0070954		00/00/2015	OptumHealth Behavioral Solutions of California, Utilization Management Program Description 2015	Beaty Dep. Ex. 03			
1005				Intentionally Left Blank				
1006	UBHWT0071112			UM Program Description 2012 Template	Beaty Dep. Ex. 06			
1007	UBHWT0071226			QH: Program Structure	Beaty Dep. Ex. 07			
1008	UBHWT0071287			CC1: Coordination of Behavioral Healthcare	Beaty Dep. Ex. 08			
1009	UBHWT0071304			UM Program Structure	Beaty Dep. Ex. 09			
1010	UBHWT0071385			Statement of Members' Rights and Responsibilities; Policies for Complaints and Appeals	Beaty Dep. Ex. 11			
1011	UBHWT0071799		00/00/2011	Utilization Management	Beaty Dep. Ex. 12			
1012	UBHWT0071423		12/00/2011	Health Utilization Management Accreditation Guide Version 7.0	Beaty Dep. Ex. 13			
1013	UBHWT0071027		00/00/2014	Optum by United Behavioral Health, Utilization Management Program Description 2014	Brock Dep. Ex. 18			
1014				Intentionally Left Blank				
1015				Intentionally Left Blank				
1016				Intentionally Left Blank				
1017				Intentionally Left Blank				
1018				Intentionally Left Blank				
1019				Intentionally Left Blank				
1020	UBHWT0443970		3/25/2010	United Behavioral Health/Pacificare Behavioral Health - St. Louis Care Management Center Clinical and Utilization Analysis Subcommittee.	Goddard Dep. Ex. 09			
1021				Intentionally Left Blank				
1022				Intentionally Left Blank				
1023				Intentionally Left Blank				
1024				Intentionally Left Blank				
1025				Intentionally Left Blank				
1026	UBHWT0107047; UBHWT0107049		2/20/2014	Email from Carol Stover to Brock, Keith Keytel, Lorenzo Triana Martin Rosenzweig, Paul McCarthy cc'd Deborah Adler, Brent Bolstrom, Mary Wesson re SUDs -2013 Accomplishments/2014 Initiatives (with attachment).	Motz Dep. Exs. 07, 07A, and 07B			
1027				Intentionally Left Blank				
1028				Intentionally Left Blank				

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1029	UBHWIT0234586; UBHWIT0342135; UBHWIT0228882; UBHWIT0348827; UBHWIT0134493; and UBHWIT0354244			Sample Solicitation Letters re 2010, 2011, 2013, 2014, 2015, and 2016 Guidelines.	Niewenhou Dep. Ex. 24			
1030				Intentionally Left Blank				
1031	UBHWIT0341354		00/00/2011	UBH 2011 Level of Care Guidelines Reference List	Niewenhou Dep. Ex. 18			
1032	UBHWIT0180102		3/22/2012	Email appointment from Gerard Niewenhou to William Bonfield, Rhonda Robinson, Irvin Brock, Lorenzo Triana, and Maria Sekec re Input on the 2012 Level of Care Guidelines.	Niewenhou Dep. Ex. 27			
1033	UBHWIT0101903; UBHWIT0101907; UBHWIT0101959-1972; UBHWIT0101980-84; UBHWIT0102006-2009; UBHWIT0102011-2017		1/14/2014	Email Appointment re Internal review of ASAM criteria crosswalk (with partial attachments)	Niewenhou Dep. Ex. 38 Robinson-Beale Dep. Ex. 19			
1034	UBHWIT0181182		7/13/2012	Email from Gerard Niewenhou to Loretta Urban re Why Now.	Niewenhou Dep. Ex. 43			
1035								
1036	UBHWIT0142236		11/8/2012	Email re LOCs revisions	Niewenhou Dep. Ex. 47			
1037	UBHWIT0347524			Feedback for the 2013 Guidelines	Niewenhou Dep. Ex. 48			
1038				Intentionally Left Blank				
1039	UBHWIT0508554		2/18/2016	Email from James Davis to Lorenzo Triana CC'd Nisha Patterson, Joseph Hullett, Paul McCarthy, Martin Rosenzweig, Keith Keytel and David Elton re SUDS CDG Revision Recommendations.	Patterson Dep. Ex. 13			
1040				Optum Health ASAM Criteria for Managing Substance-Related Disorders.	Patterson Dep. Ex. 18			
1041				Intentionally Left Blank				
1042				Intentionally Left Blank				
1043				Intentionally Left Blank				
1044				Intentionally Left Blank				
1045			10/00/2016	Austen Riggs Center Finance and Insurance Information	Plankun Dep. Ex. 04			
1046	UBHWIT0402932		8/8/2014	Email from Gerard Niewenhou to Carolyn Regan and Loretta Urban re Urgent Request - Need by EOB today (with attachment)	Regan Dep. Ex. 01			
1047	UBHWIT0543136		4/22/2014	Email re FW: Rhonda Duties Assigned (with attachment)	Regan Dep. Ex. 02			
1048	UBHWIT0102420		10/31/2014	Email from Gerard Niewenhou re Input Needed for the 2015 LOC and Testing Guidelines (with attachments).	Regan Dep. Ex. 04			
1049				Intentionally Left Blank				
1050	UBHWIT0125062		9/12/2013	Email from Loretta Urban to Sharon Guinan and Gerard Niewenhou re UR Deficiency #3 - Guidelines (with excel attachment).	Robinson-Beale Dep. Ex. 16			
1051				Intentionally Left Blank				
1052	UBHWIT0647627		7/12/2016	Optum Utilization Management Committee Minutes.	Rockswold Dep. Ex. 01			
1053	UBHWIT0611842		8/4/2016	Email from Erik Rockswold to Lynn Wetherbee re Rockswold - Document Ownership.	Rockswold Dep. Ex. 28			
1054	UBHWIT0510213		9/13/2016	Utilization Management Committee Meeting Minutes.	Rockswold Dep. Ex. 03			
1055	UBHWIT0611833		8/4/2016	Email from Erik Rockswold to Robin Carle, Cris Garcia, Michael Bresolin, Amy Watson, Gerard Niewenhou re Mercer/PHP PEBB/SDT item.	Rockswold Dep. Ex. 31			
1056	UBHWIT0608255		5/20/2016	Email from Nisha Patterson to David Elton, Erik Rockswold and Paul McCarthy re RM Whitepaper Draft (with attachment).	Rockswold Dep. Ex. 25			
1057				Intentionally Left Blank				
1058				Intentionally Left Blank				
1059				Intentionally Left Blank				
1060	UBHWIT0072598		00/00/2012	Optum - Behavioral Policy & Analytics Committee Q1 and Q2 2012 Report.	Urban Dep. Ex. 11			
1061				Intentionally Left Blank				
1062				Intentionally Left Blank				
1063			00/00/2013	2013 Optum Inter-Rater Reliability Measure Report	Triana Dep. Ex. 15			
1064	UBHWIT0046343		10/7/2014	Email from Victoria Gorski to Larhonda Jones, Scott Beardsley, Murray Zucker, Carlin Barnes re ED Clinical Audit Discussion Mtg notes 10-7-14 (with attachment).	Triana Dep. Ex. 16			
1065				Intentionally Left Blank				
1066				Intentionally Left Blank				
1067				Intentionally Left Blank				
1068				CALOCUS, Child and Adolescent Level of Care Utilization System (Version 1.5).	Urban Dep. Ex. 02			

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1069			3/20/2009	LOCUS - Level of Care Utilization System for Psychiatric Addiction Services (Adult Version 2010).	Urban Dep. Ex. 03			
1070	UBHWIT0072580		11/17/2011	Behavioral Policy & Analytics Committee - Role/Purpose	Urban Dep. Ex. 05			
1071	UBHWIT0010544		8/2/2004	Management of Child/Adolescent Cases				
1072	UBHWIT0082258		7/3/2005	Process for Developing and Updating United Behavioral Health's (UBH) Coverage Determination Guidelines (2011)				
1073				Intentionally Left Blank				
1074	UBHWIT0000268		7/6/2005	Process for Developing and Disseminating Optum's Clinical Guidelines (2014)				
1075				Intentionally Left Blank				
1076	UBHWIT0092460		7/7/2005	2015 Optum/USBHPC Guidelines Substantial Changes from the 2014 Edition				
1077	UBHWIT0092464		7/7/2005	Process for Developing and Disseminating Optum's Clinical Guidelines (2015)				
1078			6/4/2010	ALERT Medical Necessity- Care Advocacy Intervention Script				
1079				Intentionally Left Blank				
1080				Intentionally Left Blank				
1081				Intentionally Left Blank				
1082	UBHWIT0460991		6/18/2010	Email from Brennecke re Literature Search - Efficacy of Psychodynamic Psychotherapy sent on 6/18/2010				
1083	UBHWIT0550520		7/15/2010	CDC Meeting Minutes July 15, 2010 [Draft]				
1084	UBHWIT0552008		8/12/2010	BPAC Committee Meeting Minutes August 12, 2010 [Draft]				
1085	UBHWIT0083333		8/19/2010	CDC Meeting Minutes August 19, 2010				
1086	UBHWIT0078841		8/26/2010	CDC Meeting Minutes August 26, 2010				
1087	UBHWIT0556677		9/21/2010	BPAC Committee Meeting Minutes September 21, 2010				
1088	UBHWIT0080897		9/28/2010	BPAC Meeting Minutes September 28, 2010				
1089				Intentionally Left Blank				
1090	UBHWIT0587467		10/5/2010	BPAC Meeting Minutes October 5, 2010 [Draft]				
1091	UBHWIT0552745		10/19/2010	BPAC Committee Meeting Minutes October 19, 2015 [Draft]				
1092	UBHWIT0078309		10/28/2010	CDC Meeting Minutes October 28, 2010 (Draft)				
1093	UBHWIT0084803		11/4/2010	CDC Meeting Minutes November 4, 2010				
1094	UBHWIT0171574		12/13/2010	Email re RE: Solicitation of Input for the 2011 Guidelines				
1095	UBHWIT0137800		1/1/2011	Utilization Management Program Description 2011 - Philadelphia Care Advocacy Center				
1096	UBHWIT0218474		1/1/2011	URAC Accreditation Certificate				
1097			1/1/2011	Affirmative Statements of Incentive 2011				
1098	UBHWIT0079029		2/3/2011	CDC Meeting Minutes February 3, 2011				
1099	UBHWIT0438621		2/25/2011	Email re FW: RE: AABH Participants - review of LOCG & CDGS sent on 2/25/2011 (w/ attachments)				
1100				Intentionally Left Blank				
1101	UBHWIT0454612		3/4/2011	Email re Am Psychological Assoc - Request or Review LOCG & CDG sent on 3/4/2011 (w/ attachments)				
1102	UBHALEXANDER0033000		3/10/2011	ALERT Bipolar Disorder CDG - Care Advocacy Intervention Script (03/10/2011)				
1103	UBHWIT0079251		3/10/2011	CDC Meeting Minutes March 10, 2011				
1104	UBHALEXANDER0040304		3/25/2011	ALERT Substance Disorder - Care Advocacy Intervention Script (03/25/2011)				
1105	UBHWIT0170242		10/17/2011	Email re CDG process				
1106	UBHALEXANDER0032998		10/26/2011	ALERT Adjustment Disorder - Care Advocacy Intervention Script (10/26/2011)				
1107	UBHALEXANDER0040547		10/26/2011	ALERT Adjustment Disorder - Care Advocacy Intervention Script (10/26/2011)				
1108	UBHALEXANDER0040306		11/17/2011	ALERT Posttraumatic Stress Disorder - Care Advocacy Intervention Script (11/17/2011)				
1109	UBHALEXANDER0032967		12/2/2011	ALERT Dysthymic Disorder - Care Advocacy Intervention Script (12/02/2011)				
1110	UBHWIT0163148		1/1/2012	Utilization Management Program 2012 - Template				
1111				Intentionally Left Blank				
1112	UBHWIT0048569		1/6/2012	Email from Nieuwenhuis to Triana re Fail First				
1113	UBHWIT0234436		1/11/2012	Email re ASAM Language re Modification of Tx Plan and Fail First				
1114	UBHWIT0345080		1/20/2012	Guideline Assessment from Dr. Bernard Bogard, January 20, 2012				
1115	UBHWIT0345090		1/24/2012	Guidelines Assessment from Dr. Sabah Chammas, January 24, 2012				
1116	UBHWIT0345089		2/2/2012	Guideline Assessment from Dr. Robert Gregory, February 2, 2012				
1117	UBHALEXANDER0032969		2/3/2012	ALERT Dementia Disorder CDG - Care Advocacy Intervention Script (02/13/2012)				
1118	UBHWIT0345088		2/7/2012	Guideline Assessment from Richard Paris				
1119	UBHWIT0218469		3/5/2012	NCQA Full Accreditation Certificate				
1120	UBHALEXANDER0032996		3/20/2012	ALERT ADHD Disorder CDG - Care Advocacy Intervention Script (3/20/2012)				
1121	UBHWIT0083017		3/20/2012	BPAC Meeting Minutes March 20, 2012 [DRAFT]				
1122	UBHWIT0452276		3/21/2012	Utilization Management Program Description 2012 - Portland Care Advocacy Trial				

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1123	UBHWIT0416551		3/26/2012	CP&O Committee Minutes, March 26, 2012				
1124				Intentionally Left Blank				
1125	UBHALEXANDER0032994		4/2/2012	ALERT Anorexia CDG - Care Advocacy Intervention Script (04/02/2012)				
1126				Intentionally Left Blank				
1127	UBHALEXANDER0032973		5/3/2012	Conduct Disorder CDG - Care Advocacy Intervention Script (05/03/2012)				
1128	UBHWIT0087644		5/15/2012	Optum Clinical Guidelines				
1129				Intentionally Left Blank				
1130				Intentionally Left Blank				
1131	UBHWIT0550475		7/10/2012	BPAC Meeting Minutes 10, July, 2012 [Draft]				
1132	UBHWIT0426617		7/12/2012	Email on 7-12-2012 re BSAC 7/12/12 (w/ attachments)				
1133				Intentionally Left Blank				
1134				Intentionally Left Blank				
1135	UBHWIT0106094		11/30/2012	Email on 11-30-2012 re BSAC 12/6/12 (w/ attachments)				
1136	UBHWIT0461176		12/5/2012	Email on 12-5-2012 re BSAC 12/6/12 (w/ attachments)				
1137				Intentionally Left Blank				
1138	UBHWIT0379672		1/1/2013	Affirmative Statements of Incentive 2013				
1139	UBHWIT0178660		1/30/2013	A Brief Review of Evidence-Based Treatment for Adjustment Disorder (1/30/2013)				
1140				Intentionally Left Blank				
1141	UBHWIT0182836		3/14/2013	Email on 3-14-2013 re BSAC 3/18/13 (w/ attachments)				
1142				Intentionally Left Blank				
1143	UBHWIT0089899		4/2/2013	BPAC Minutes				
1144	UBHWIT0450142		5/20/2013	Email chain re assistance with Chicago Agencies RFP				
1145	UBHWIT0445760		6/1/2013	Care Advocacy Policy: Member Internal Appeals of Non-Coverage Determinations				
1146	UBHWIT0440530		6/18/2013	Email on 6-18-2013 re DOCS for 6/18 BSAC PREP (w/ attachments)				
1147	UBHWIT0464034		6/24/2013	Specialty Networks Clinical Vision				
1148				Intentionally Left Blank				
1149	UBHWIT0438397		10/7/2013	Email re Optum Guidelines				
1150	UBHWIT0126105		10/31/2013	Email soliciting input from staff on 2014 Guidelines				
1151				Intentionally Left Blank				
1152				Intentionally Left Blank				
1153				Intentionally Left Blank				
1154				Intentionally Left Blank				
1155				Intentionally Left Blank				
1156				Intentionally Left Blank				
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1158	UBHWIT0099516		1/1/2014	Affirmative Statements of Incentive 2014				
1159				Intentionally Left Blank				
1160	UBHWIT0595313		1/1/2014	URAC Accreditation Certificate				
1161				Intentionally Left Blank				
1162	UBHWIT0047777		1/14/2014	Organization Chart of National Sites				
1163	UBHWIT0461268		1/23/2014	Email RE: large group follow up: literature reviews for CDG sent on 1/23/2014 (w/ attachment)				
1164				Intentionally Left Blank				
1165				Intentionally Left Blank				
1166				Intentionally Left Blank				
1167	UBHWIT0436012		3/6/2014	Email on 3-6-2014 re BSAC 12/2/13 final (w/ attachments)				
1168	UBHWIT0398838		6/16/2014	Email re ASAM Level of Care Guidelines in Rhode Island				
1169				Intentionally Left Blank				
1170	UBHWIT0406162		9/16/2014	Email re 2015 LOCs				
1171	UBHWIT0171972		10/24/2014	Email re MA DOI Session - Tx of Opioid Addiction				
1172				Intentionally Left Blank				
1173				Intentionally Left Blank				
1174				Intentionally Left Blank				
1175				Intentionally Left Blank				
1176				Intentionally Left Blank				
1177	UBHWIT0093021		3/17/2015	BPAC Minutes				
1178				Intentionally Left Blank				
1179	UBHWIT0094366		9/1/2015	BPAC Minutes				
1180	UBHWIT0606065		9/11/2015	Email on 9-11-2015 re BSAC Agenda Sept. 2015 (w/ attachments)				
1181	UBHWIT0483015		10/19/2015	Email from Niewenhoues re RE: AACAP Input on Level of care Criteria sent on 10/19/2015				
1182	UBHWIT0470824		11/5/2015	Email RE: Special BPAC approval for change in SA IOP LOC				
1183	UBHWIT0094462		11/10/2015	BPAC Meeting Minutes 10, November, 2015 (Draft)				
1184	UBHWIT0094617		11/24/2015	BPAC Meeting Minutes 24, November, 2015				
1185	UBHWIT0601694		12/6/2015	Email re OON FL Strategy - Sober Homes/IOPs/FL labs				

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1186	UBHWIT0509437		1/1/2016	Utilization Management Program 2016				
1187	UBHWIT0615316		1/19/2016	BPAC Meeting Minutes 19, January, 2016				
1188	UBHWIT0493643		3/15/2016	Email from Nieuwenhuis re RE: Review of 2016 UBH LOC Guideliens sent on 3/15/2016 (w/ attachment)				
1189	UBHWIT0493689		3/17/2016	Email on 3-17-2016 re BSAC Agenda for 3/25 (w/ attachments)				
1190				Intentionally Left Blank				
1191	UBHWIT0623988		8/5/2016	MD Peer Reviewer Results: Clinical Documentation Review 1st-2nd Quarter 2016				
1192				Intentionally Left Blank				
1193				Intentionally Left Blank				
1194	UBHWIT0457980		1/00/2012	Management of Behavioral Health Benefits				
1195	UBHWIT0160286		10/00/2012	BPAC Description				
1196	UBHWIT0445740		12/00/2012	Care Advocacy Policy and Procedures Gathering and Documentation of Information Used to Make Care Advocacy Decisions				
1197				Intentionally Left Blank				
1198				Intentionally Left Blank				
1199	UBHWIT0099413		3/00/2013	BPAC Update Bulletin: March, 2013				
1200	UBHWIT0078161		5/00/2010	Standard Operating Procedure: Benefit Determinations				
1201	UBHWIT0009007		5/00/2014	Determining Appropriate Level of Care - Clinical Learning May 2014				
1202	UBHWIT0136637		6/00/2012	White Paper: OptumHealth's Clinical Guidelines (June, 2012) [Draft 2]				
1203	UBHWIT0544111		6/00/2014	Care Advocacy Policy Clinical Guidelines: Development and Approval, Dissemination and Use [Draft 1]				
1204	UBHWIT0072526		9/00/2014	BPAC Update Bulletin: September, 2014				
1205	UBHALEXANDER0040284		Undated	ALERT Major Depressive Disorder CDG - Care Advocacy Intervention Script (Undated)				
1206	UBHWIT0008988		Undated	Coverage Determination Guidelines New Hire Orientation				
1207	UBHWIT0072606		Undated	Behavioral Policy & Analytics Committee - Q2 2013 Report				
1208	UBHWIT0090045		Undated	2014 Optum/USBHPC Guidelines Substantial Changes from 2013 Edition				
1209	UBHWIT0097192		Undated	Standards of Practice for Intensive Outpatient Program, Day Treatment and Partial Hospital Program				
1210				Intentionally Left Blank				
1211	UBHWIT0125063		Undated	Guideline Deviations, CDG Guideline Evidence, LOC Guideline Evidence				
1212	UBHWIT0129101		Undated	Oversight of Optum's Clinical Guidelines				
1213				Intentionally Left Blank				
1214	UBHWIT0349971		Undated	Questions & Considerations for AABH				
1215	UBHWIT0352277		Undated	Feedback for the 2015 Guidelines				
1216	UBHWIT0430919		Undated	SUDS Group: Talking Points				
1217				Intentionally Left Blank				
1218	UBHWIT0047990			Technology Assessment - TMS				
1219	UBHWIT0076315			BPAC Committee Meeting Minutes 19, March, 2013				
1220	UBHWIT0088929			Process for Developing and Disseminating Optum's Clinical Guidelines (2013)				
1221				Intentionally Left Blank				
1222	UBHWIT0101727			2014 Clinical Guidelines Reference List [Draft]				
1223				Intentionally Left Blank				
1224				Intentionally Left Blank				
1225	UBHWIT0149562			2012 OptumHealth/USBHPC Guidelines Substantial Changes from the 2011 Edition				
1226	UBHWIT0181860			Professional Society Feedback on 2011 Guidelines				
1227				Intentionally Left Blank				
1228	UBHWIT0192348			2012 Staff Feedback Chart				
1229				Intentionally Left Blank				
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1231				Intentionally Left Blank				
1232	UBHWIT0578041			Technology/Service Assessment - Developmental Therapy Programs for Individuals with Autism Spectrum Disorders (ASDs)				
1233	UBHWIT0611999			2016 Optum/USBHPC Guidelines Substantial Changes from the 2015 Edition				
1234				Intentionally Left Blank				
1235	UNBHWIT0488688			Optum Staff Who Were Invited to Give Input for the 2016 Optum/OptumHealth Behavioral Solutions of California Guidelines				
1236				Intentionally Left Blank				
1237				Intentionally Left Blank				
1238				Intentionally Left Blank				
1239	UBHWIT0072596			Feedback on Practice Guidelines (2010)				
1240	UBHWIT0339053			Consumer Feedback for the 2010 Guidelines				
1241	UBHWIT0340955			Provider and Staff Feedback on the 2010 LOC Supplemental and Testing Guidelines				
1242				Intentionally Left Blank				

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1243				Intentionally Left Blank				
1244				Intentionally Left Blank				
1245				Intentionally Left Blank				
1246	UBHWIT0341353			Staff Feedback for the 2010 Guidelines				
1247				Intentionally Left Blank				
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1249				Intentionally Left Blank				
1250				Intentionally Left Blank				
1251	UBHWIT0342450			Provider Feedback for the 2011 UBH USBHPC Guidelines (Final)				
1252	UBHWIT0261302			Staff and Provider Guideline Input (2011)				
1253	UBHWIT0265753			Staff Consumer and Provider Clinical Input (2012)				
1254	UBHWIT0347524			Staff and Provider Guideline Input (2013)				
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1258				Intentionally Left Blank				
1259				Intentionally Left Blank				
1260	UBHWIT0289882			Summary of Guideline Input (2015)				
1261				Intentionally Left Blank				
1262	UBHWIT0534441			2016 Guideline Feedback Revised				
1263				Intentionally Left Blank				
1264				Intentionally Left Blank				
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1286	UBHWIT0059504- UBHWIT0059510			Denial Letters - 659				
1287	UBHWIT0059650- UBHWIT0059658			Denial Letters - 828				
1288	UBHWIT0053367- UBHWIT0053368			Denial Letters - 986				
1289	UBHWIT0060141- UBHWIT0060146			Denial Letters - 1010				
1290	UBHWIT0052246- UBHWIT0052247			Denial Letters - 1027				
1291	UBHWIT0059911- UBHWIT0059915			Denial Letters - 1060				
1292	UBHWIT0060074- UBHWIT0060086			Denial Letters - 1655				
1293	UBHWIT0059929- UBHWIT0059931			Denial Letters - 2427				
1294	UBHWIT0060109- UBHWIT0060111, UBHWIT0060105- UBHWIT0060108			Denial Letters - 3262				
1295	UBHWIT0059520- UBHWIT0059528			Denial Letters - 3435				

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1296	UBHWT0060087-UBHWT0060095			Denial Letters - 3703				
1297	UBHWT0059855-UBHWT0059874			Denial Letters - 3867				
1298	UBHWT0041945-UBHWT0041988			Denial Letters - 4176				
1299	UBHWT0059541-UBHWT0059556			Denial Letters - 5117				
1300	UBHWT005984-UBHWT0059607			Denial Letters - 5618				
1301	UBHWT0059923-UBHWT0059928			Denial Letters - 6032				
1302	UBHWT0059758-UBHWT0059769			Denial Letters - 6355				
1303	UBHWT0059890-UBHWT0059895			Denial Letters - 6600				
1304	UBHWT0060115-UBHWT0060132			Denial Letters - 6943				
1305	UBHWT0059660-UBHWT0059681			Denial Letters - 8179				
1306	UBHWT0062906-UBHWT0062920			Denial Letters - 8301				
1307	UBHWT0060291-UBHWT0060299			Denial Letters - 8355				
1308	UBHWT0059529-UBHWT0059540			Denial Letters - 8617				
1309	UBHWT0060165-UBHWT0060173			Denial Letters - 8697				
1310	UBHWT0060059-UBHWT0060073			Denial Letters - 8873				
1311	UBHWT0060174-UBHWT0060182			Denial Letters - 8891				
1312	UBHWT0060448-UBHWT0060462			Denial Letters - 8895				
1313	UBHWT0060442-UBHWT0060447			Denial Letters - 8963				
1314	UBHWT0050645-UBHWT0050652			Denial Letters - 8988				
1315	UBHWT0060270-UBHWT0060281			Denial Letters - 9011				
1316	UBHWT0060430-UBHWT0060433-UBHWT0043052-UBHWT0043055-UBHWT0043048-UBHWT0043051-UBHWT0043024-UBHWT0043027-UBHWT000027132-UBHWT0027134			Denial Letters - 10769				
1317	UBHWT0026038-UBHWT0026043-UBHWT0026058-UBHWT0026064			Denial Letters - 10922				
1318	UBHWT0026363-UBHWT0026365			Denial Letters - 11164				
1319	UBHWT0042863-UBHWT0042868-UBHWT0042852-UBHWT0042858			Denial Letters - 11251				
1320	UBHWT0027014-UBHWT0027020-UBHWT0026109-UBHWT0026111			Denial Letters - 11276				

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1321	UBHWT0041232- UBHWT0041238			Denial Letters - 11582				
1322	UBHWT0025914- UBHWT0025920			Denial Letters - 12102				
1323	UBHWT0050869- UBHWT0050869			Denial Letters - 12310				
1324	UBHWT0042533- UBHWT0042540- UBHWT0042550- UBHWT0042555- UBHWT0042542- UBHWT0042547			Denial Letters - 12446				
1325	UBHWT0026793- UBHWT0026799			Denial Letters - 12533				
1326	UBHWT0015164- UBHWT0015178 UBHWT0059608- UBHWT0059634			Denial Letters - 12562				
1327	UBHWT0026670- UBHWT0026676 UBHWT0025816- UBHWT0025822			Denial Letters - 12605				
1328	UBHWT0026156- UBHWT0026163			Denial Letters - 12649				
1329	UBHWT0014944- UBHWT0014951			Denial Letters - 13042				
1330	UBHWT0014741- UBHWT0014752 UBHWT0015118- UBHWT0015128			Denial Letters - 13290				
1331	UBHWT0026720- UBHWT0026734			Denial Letters - 13292				
1332	UBHWT0060148- UBHWT0060152			Denial Letters - 13949				
1333	UBHWT0014821- UBHWT0014827			Denial Letters - 14568				
1334	UBHWT0015187- UBHWT0015193			Denial Letters - 15332				
1335	UBHALEXANDER0039210- UBHALEXANDER0039212			Denial Letters - 187				
1336	UBHALEXANDER0036462- UBHALEXANDER0036465			Denial Letters - 1252				
1337	UBHALEXANDER0031694- UBHALEXANDER0031699			Denial Letters - 1535				
1338	UBHALEXANDER0030665- UBHALEXANDER0030666			Denial Letters - 1568				
1339	UBHALEXANDER0024047- UBHALEXANDER0024066			Denial Letters - 1584				
1340	UBHALEXANDER0036219- UBHALEXANDER0036219			Denial Letters - 1758				
1341	UBHALEXANDER0031683- UBHALEXANDER0031693			Denial Letters - 1870				
1342	UBHALEXANDER0030804- UBHALEXANDER0030804			Denial Letters - 2331				
1343	UBHALEXANDER0030302- UBHALEXANDER0030304			Denial Letters - 2607				
1344	UBHALEXANDER0037951- UBHALEXANDER0037953			Denial Letters - 2883				
1345	UBHALEXANDER0036360- UBHALEXANDER0036361			Denial Letters - 2928				
1346	UBHALEXANDER0030609- UBHALEXANDER0030610			Denial Letters - 3034				
1347	UBHALEXANDER0030670- UBHALEXANDER0030672			Denial Letters - 3038				
1348	UBHALEXANDER0030769- UBHALEXANDER0030770			Denial Letters - 3039				

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1349	UBHALEXANDER0030521-UBHALEXANDER0030522			Denial Letters - 3197				
1350	UBHALEXANDER0033533			Denial Letters - 3265				
1351	UBHALEXANDER0040730-UBHALEXANDER0040732			Denial Letters - 3299				
1352	UBHALEXANDER0038372-UBHALEXANDER0038374			Denial Letters - 3501				
1353	UBHALEXANDER0030587-UBHALEXANDER0030588			Denial Letters - 3661				
1354	UBHALEXANDER0039321-UBHALEXANDER0039325			Denial Letters - 3739				
1355	UBHALEXANDER0030324			Denial Letters - 3895				
1356	UBHALEXANDER0023556-UBHALEXANDER0023557			Denial Letters - 3958				
1357	UBHALEXANDER0024124-UBHALEXANDER0024134			Denial Letters - 4013				
1358	UBHALEXANDER0024080-UBHALEXANDER0024081			Denial Letters - 4076				
1359	UBHALEXANDER0023368-UBHALEXANDER0023369			Denial Letters - 4141				
1360	UBHALEXANDER0023615-UBHALEXANDER0023616			Denial Letters - 4263				
1361	UBHALEXANDER0023537-UBHALEXANDER0023543			Denial Letters - 4723				
1362	UBHALEXANDER0032375-UBHALEXANDER0032376			Denial Letters - 4854				
1363	UBHALEXANDER0032515-UBHALEXANDER0032517			Denial Letters - 5031				
1364	UBHALEXANDER0023491-UBHALEXANDER0023492-UBHALEXANDER0023498-UBHALEXANDER0023499			Denial Letters - 5058				
1365	UBHALEXANDER0024000-UBHALEXANDER0024001-UBHALEXANDER0024016-UBHALEXANDER0024017-UBHALEXANDER0024008-UBHALEXANDER0024009			Denial Letters - 5165				
1366	UBHALEXANDER0024139-UBHALEXANDER0024141-UBHALEXANDER0024147-UBHALEXANDER0024149			Denial Letters - 5282				
1367	UBHALEXANDER0023635-UBHALEXANDER0023636-UBHALEXANDER0023658-UBHALEXANDER0023659-UBHALEXANDER0023664-UBHALEXANDER0023665-UBHALEXANDER0023670-UBHALEXANDER0023671			Denial Letters - 5927				
1368	UBHALEXANDER0023969-UBHALEXANDER0023970-UBHALEXANDER0023987-UBHALEXANDER0023988-UBHALEXANDER0023977-UBHALEXANDER0023978			Denial Letters - 5956				
1369	UBHALEXANDER0030558-UBHALEXANDER0030560			Denial Letters - 6003				
1370	UBHALEXANDER0032588-UBHALEXANDER0032593			Denial Letters - 6098				
1371	UBHALEXANDER0032390-UBHALEXANDER0032404			Denial Letters - 6215				

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Trial Ex. No.	Beginning Bates / Range	End Bates	Date	Description	Depo. Exhibit(s)	Date Offered Into Evidence	Date Received Into Evidence	Limitations on Use
1372	UBHALEXANDER0032447- UBHALEXANDER0032448 UBHALEXANDER0032453- UBHALEXANDER0032455 UBHALEXANDER0032458- UBHALEXANDER0032460 UBHALEXANDER0032470- UBHALEXANDER0032472 UBHALEXANDER0032475- UBHALEXANDER0032477			Denial Letters - 6254				
1373	UBHALEXANDER0030287- UBHALEXANDER0030288			Denial Letters - 6326				
1374	UBHALEXANDER0031717- UBHALEXANDER0031722			Denial Letters - 6329				
1375	UBHALEXANDER0030219- UBHALEXANDER0030221			Denial Letters - 7292				
1376	UBHALEXANDER0030543- UBHALEXANDER0030544			Denial Letters - 7787				
1377	UBHALEXANDER0030394- UBHALEXANDER0030395			Denial Letters - 8242				
1378	UBHALEXANDER0030462			Denial Letters - 8357				
1379	UBHALEXANDER0030263			Denial Letters - 8489				
1380	UBHALEXANDER0030194- UBHALEXANDER0030195			Denial Letters - 8623				
1381	UBHALEXANDER0032068- UBHALEXANDER0032071			Denial Letters - 8878				
1382	UBHALEXANDER0030451- UBHALEXANDER0030453			Denial Letters - 9294				
1383	UBHALEXANDER0031828- UBHALEXANDER0031836			Denial Letters - 9836				
1384	UBHALEXANDER0024185- UBHALEXANDER0024192			Denial Letters - 10477				
1385	UBHALEXANDER0024293- UBHALEXANDER0024296			Denial Letters - 10533				
1386	UBHALEXANDER0032073 - UBHALEXANDER0032074			Denial Letters - 10756				
1387	UBHALEXANDER0024167- UBHALEXANDER0024176			Denial Letters - 10939				
1388	UBHALEXANDER0024341- UBHALEXANDER0024347 UBHALEXANDER0024367- UBHALEXANDER0024371			Denial Letters - 11000				
1389	UBHALEXANDER0024206 UBHALEXANDER0024215- UBHALEXANDER0024223 UBHALEXANDER0024207- UBHALEXANDER0024223			Denial Letters - 12342				
1390	UBHALEXANDER0032130- UBHALEXANDER0032143 UBHALEXANDER0032156- UBHALEXANDER0032169			Denial Letters - 12404				
1391	UBHALEXANDER0024420- UBHALEXANDER0024431			Denial Letters - 12944				
1392	UBHALEXANDER0024244- UBHALEXANDER0024285			Denial Letters - 13025				
1393			2001	American Society of Addiction Medicine. ASAM Patient Placement Criteria for the Treatment of Substance-Related Disorders, (ASAM PPC-2R), Second Edition – Revised, 2001.				
1394			4/3/2017	“What is CONTINUUM?,” ASAM Continuum, April 3, 2017.				
1395			Undated	“Bibliography and Brief Description: The ASAM Criteria & CONTINUUM,” ASAM Continuum.				
1396			2001	CALOCUS, Version 1.5 Child and Adolescent Level of Care Utilization System, 2001				
1397				Intentionally Left Blank				
1398				Intentionally Left Blank				
1399				Intentionally Left Blank				

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1400			Undated	Medicare Benefit Policy Manual, Chapter 2 – Inpatient Psychiatric Hospital Services – Crosswalk				
1401			Undated	Medicare Benefit Policy Manual, Chapter 6 – Hospital Services Covered Under Part B – Crosswalk				
1402			Undated	Medicare Benefit Policy Manual, Chapter 16 – General Exclusions From Coverage – Crosswalks				
1403			2006	Center for Substance Abuse Treatment. Detoxification and Substance Abuse Treatment. Substance Abuse and Mental Health Services Administration (US), 2006. (Treatment Improvement Protocol (TIP) Series, No. 45.) 2 Settings, Levels of Care, and Patient Placement.				
1404			2010	American Association of Community Psychiatrists, Child and Adolescent Level of Care Utilization Systems (CALOCUS) (2010);				
1405			Undated	18 V.S.A. § 7101				
1406			Undated	"Glossary: Medically necessary", Medicare.gov.				
1407			2013	American Society of Addiction Medicine. <i>The ASAM Criteria for Addictive, Substance-Related and Co-Occurring Conditions</i> , Third Edition, 2013.				
1408			7/00/2015	APA Position Statement on Medical Necessity Definition, Approved by the Board of Trustees, July 2015.				
1409			10/00/2010	American Psychiatric Association Practice Guideline for the Treatment of Patients with Major Depressive Disorder; 1993, Revised Oct 2010, Reaffirmed Oct 2015.				
1410			1996	World Health Organization (WHO). Mental health care law: ten basic principles with annotations suggesting selected actions to promote their implementation.				
1411			2009	American Association of Community Psychiatrists. LOCUS: Level of Care Utilization for Psychiatric and Addiction Services Adult Version 2010. American Association of Community Psychiatrists, 2009.				
1412			2013	American Society of Addiction Medicine, The ASAM Treatment Criteria for Addictive, Substance-Related, and Co-Occurring Conditions, Third Edition, 2013 22.				
1413				Intentionally Left Blank				
1414			2004	World Health Organization. International statistical classification of diseases and related health problems. Vol. I. World Health Organization, 2004;				
1415				Intentionally Left Blank				
1416			Undated	"Mental Health Services," Department of Health and Human Services Centers for Medicare and Medicaid Services				
1417			3/28/2003	Program Memorandum Intermediaries/Carriers, Transmittal AB-03-037: Provider Education Article: Medicare Payments for Part B Mental Health Services (CMS Pub. 60AB)," Department of Health and Human Services Centers for Medicare and Medicaid Services				
1418				Webpage: Continuum - The ASAM Criteria Decision Engine - About				
1419				Intentionally Left Blank				
1420			1/16/2015	"CMS Manual System Pub. 100-07 State Operations Provider Certification, Transmittal 131," Department of Health and Human Services, Centers for Medicare and Medicaid Services, January 16, 2015.				
1421			4/7/2017	The ASAM Criteria & CONTINUUM - The ASAM Criteria Decision Engine				
1422			6/1/2014	McCarty et al., 2014, "Substance Abuse Intensive Outpatient Programs," Psychiatric Services, Vol. 65, No. 6.				
1423			3/00/2004	Willenbring, M. et al., 2004, "Beliefs About Evidence-Based Practices in Addiction Treatment: A survey of Veterans Administration Program Leaders," Journal of Substance Abuse Treatment, Vol. 26.				
1424			6/00/2012	"Addiction Medicine: Closing the Gap between Science and Practice," The National Center on Addiction and Substance Abuse at Columbia University, June 2012.				
1425			2006	"Detoxification and Substance Abuse Treatment, A Treatment Improvement Protocol (TIP) Series, No. 45. HHS Publication No. (SMA) 134131," Substance Abuse and Mental Health Services Administration, Rockville, MD, 2006.				
1426			2001	U.S. Department of Health and Human Services, CSAT's Knowledge Application Program, KAP Keys for Clinicians, 2001.				
1427			2015	U.S. Department of Health and Human Services, "National Survey of Substance Abuse Treatment Services (N-SSATS): 2015, Data on Substance Abuse Treatment Facilities," 2015.				

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1428			2016	U.S. Department of Health and Human Services, "Facing Addiction in America, The Surgeon General's Report on Alcohol, Drugs, and Health," 2016.				
1429				Intentionally Left Blank				
1430				Intentionally Left Blank				
1431				Intentionally Left Blank				
1432				Intentionally Left Blank				
1433			1997	American Academy of Child and Adolescent Psychiatry. (1997). Practice Parameters for the Assessment and Treatment of Children and Adolescents with Conduct Disorder.				
1434				Intentionally Left Blank				
1435			1999	American Academy of Child and Adolescent Psychiatry. (1999). Practice Parameters for the Assessment and Treatment of Children, Adolescents and Adults with Autism and Other Pervasive Developmental Disorders.				
1436				Intentionally Left Blank				
1437	UBHWIT0315278; UBHWIT0315293		2000	American Psychiatric Association. (2000). Practice Guideline for the Treatment of Patients with Major Depressive Disorder				
1438				Intentionally Left Blank				
1439				Intentionally Left Blank				
1440			2001	American Academy of Child and Adolescent Psychiatry. (2001). Practice Parameters for the Assessment and Treatment of Children and Adolescents with Schizophrenia.				
1441			2001	American Psychiatric Association. (2001) The Practice of Electroconvulsive Therapy: Recommendations for Treatment, Training, and Privileging (Third Edition).				
1442				Intentionally Left Blank				
1443				Intentionally Left Blank				
1444				Intentionally Left Blank				
1445	UBHWIT0312512		2002	American Psychiatric Association. (2002). Practice Guideline for the Treatment of Patients with Bipolar Disorder (Second Edition). Guideline Watch (2005)				
1446				Intentionally Left Blank				
1447				Intentionally Left Blank				
1448			2004	American Psychiatric Association (2004). Practice Guideline for the Treatment of Patients with Acute Stress Disorder and Posttraumatic Stress Disorder. Guideline Watch (2009)				
1449			2004	American Psychiatric Association. (2004). Practice Guideline for the Treatment of Patients with Schizophrenia (Second Edition) Guideline Watch (2009).				
1450			2004	Center for Substance Abuse Treatment. Clinical Guidelines for the Use of Buprenorphine in the Treatment of Opioid Addiction. Treatment Improvement Protocol (TIP) Series 40. DHHS Publication No. (SMA) 04-3939. Rockville, MD: Substance Abuse and Mental Health Services Administration, 2004.				
1451			2004	Hales, R, Yudofsky, S. (2004). Essential of Clinical Psychiatry (Second Edition)				
1452				Intentionally Left Blank				
1453				Intentionally Left Blank				
1454			2006	American Psychiatric Association (2006). Practice Guideline for the Treatment of Patients with Substance Use Disorders: Alcohol, Cocaine, Opioids. Guideline Watch (2007).				
1455				Intentionally Left Blank				
1456	UBHWIT0300027		2006	American Psychiatric Association. (2006). Practice guideline for the treatment of patients with substance use disorders.				
1457			2006	American Psychiatric Association. (2006). Practice guideline, Psychiatric evaluation of adults.				
1458				Intentionally Left Blank				
1459			2006	Canadian Psychiatric Association. (2006) Clinical Practice Guideline for the Management of Anxiety Disorders.				
1460				Intentionally Left Blank				
1461			2007	American Academy of Child & Adolescent Psychiatry. (2007). Practice Parameter for the treatment of substance abuse disorders.				
1462				Intentionally Left Blank				
1463				Intentionally Left Blank				
1464				Intentionally Left Blank				
1465				Intentionally Left Blank				

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1466			2007	American Psychiatric Association (2007). Practice Guideline for the Treatment of Patients with Obsessive Compulsive Disorder				
1467				Intentionally Left Blank				
1468				Intentionally Left Blank				
1469			2007	American Psychiatric Association. Guideline watch (April 2007): Practice guideline for the treatment of patients with substance use disorders, 2nd edition. 2007; Arlington, VA: American Psychiatric Publishing.				
1470			2007	Joy, C, Adams, C & Rice, K. (2007). Crisis intervention for people with severe mental illness.				
1471				Intentionally Left Blank				
1472				Intentionally Left Blank				
1473				Intentionally Left Blank				
1474				Intentionally Left Blank				
1475			2009	Saddock, B, Saddock, V (2009). Comprehensive Textbook of Psychiatry (Seventh Edition).				
1476				Intentionally Left Blank				
1477			2010	American Association of Community Psychiatrists. Child and adolescent level of care utilization system (CALOCUS) 2010.				
1478				Intentionally Left Blank				
1479				Intentionally Left Blank				
1480			2011	Substance Abuse and Mental Health Services Administration. (2011). Treatment improvement protocol 31, Screening and assessing adolescents for substance use disorders.				
1481			2011	Substance Abuse and Mental Health Services Administration. (2011). Treatment improvement protocol 35, Enhancing motivation for change in substance abuse treatment.				
1482			2011	Substance Abuse and Mental Health Services Administration. SAMHSA's National Registry of Evidence-based Programs and Practices (NREPP). Traumatic Incident Reduction 2011.				
1483				Intentionally Left Blank				
1484			2012	Murphy S, Irving CB, Adams CE, & Driver R. Crisis intervention for people with severe mental illnesses. Cochrane Database Syst Rev 2012; (S):CD001087.				
1485			2012	Substance Abuse and Mental Health Services Administration. An Introduction to Extended-Release Injectable Naltrexone for the Treatment of People with Opioid Dependence. Advisory 2012; Volume 11, Issue 1.				
1486				Intentionally Left Blank				
1487			2013	Jonas DE, Cusack K, Forneris CA, Wilkins TM, Sonis J, Middleton JC, Feltner C, Meredith D, Cavanaugh J, Brownley KA, Olmsted KR, Greenblatt A, Weil A, & Gaynes BN. Psychological and pharmacological treatments for adults with posttraumatic stress disorder (PTSD). Comparative Effectiveness Review No. 92. (Prepared by the RTI International-University of North Carolina Evidence-based Practice Center under Contract No. 290-2007-10056-1.) AHRQ Publication No. 13 EHC011-EF. Rockville, MD: Agency for Healthcare Research and Quality; April 2013.				
1488				Intentionally Left Blank				
1489			2013	Rizvi SL, Steffel LM, & Carson-Wong A. An overview of dialectical behavior therapy for professional psychologists. Professional Psychology: Research and Practice 2013; 44(2):73-80.				
1490			2014	Center for Substance Abuse Treatment. Medication-Assisted Treatment for Opioid Addiction in Opioid Treatment Programs. Treatment Improvement Protocol (TIP) Series 43. HHS Publication No. (SMA) 12-4214. Rockville, MD: Substance Abuse and Mental Health Services Administration, 2014 (Original Publication Printed 2005).				
1491			2014	Centers for Medicare and Medicaid Services. (2014). Local coverage determination (L34552), Outpatient observation bed/room services.				
1492				Intentionally Left Blank				
1493			2014	Centers for Medicare and Medicaid Services. (2014). Local coverage determination, Psychiatric inpatient hospitalization.				
1494			2015	Association for Ambulatory Behavioral Healthcare. (2015). Standards and guidelines for partial hospital programs (5th ed.). Association for Ambulatory Behavioral Healthcare.				
1495			2015	Centers for Medicare and Medicaid Services. (2015). Local coverage determination, Home health psychiatric care.				

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1496			2015	Centers for Medicare and Medicaid Services. (2015). Local coverage determination, Psychiatric diagnostic evaluation and psychotherapy services.				
1497			2015	Centers for Medicare and Medicaid Services. (2015). Local coverage determination, Psychiatric partial hospitalization program.				
1498				Intentionally Left Blank				
1499			2015	Commission on Accreditation of Rehabilitation Facilities. (2015). Behavioral health standards manual, Tucson, AZ: CARF International.				
1500			2015	Management of Substance Use Disorders Work Group. VA/DOD Clinical Practice Guideline for the Management of Substance Use Disorders, version 3.0. Washington, DC: Veterans Health Administration and Department of Defense; 2015.				
1501			2016	Substance Abuse and Mental Health Services Administration; U.S. Department of Health and Human Services. Medication Assisted Treatment for Opioid Use Disorders; 42 CFR Part 8 FR 44738, July, 2016.				
1502				Centers for Medicare and Medicaid Services, Local Coverage Determination (L34183; L33975; L33624; L34570) for Psychiatric Inpatient Hospitalization.				
1503				Intentionally Left Blank				
1504				Centers for Medicare and Medicaid Services, Medicare Benefit Policy Manual, Chapter 2, Inpatient Psychiatric Hospital Services.				
1505				Centers for Medicare and Medicaid Services. Local Coverage Determination (LCD): Home Health - Psychiatric Care (L34561).				
1506				Centers for Medicare and Medicaid Services. Local Coverage Determination (LCD): Psychiatric diagnostic evaluation and psychotherapy services (L33252).				
1507				Centers for Medicare and Medicaid Services. Local Coverage Determination (LCD): Psychiatric Partial Hospitalization Programs (L33972; L34196; L33626).				
1508				Centers for Medicare and Medicaid Services. National Coverage Determination (NCD) for Withdrawal Treatments for Narcotic Addictions (130.7)				
1509				Centers for Medicare and Medicaid Services. National Coverage Determination (NCO) for Inpatient Hospital Stays for Treatment of Alcoholism (130.1).				
1510				MacPherson HA, Cheavens JS, & Fristad MA. Dialectical behavior therapy in adolescents: Theory, treatment adaptations, and empirical outcomes.				
1511				Parameters for the Assessment and Treatment of Children and Adolescents with Substance Use Disorders.				
1512				UnitedHealthcare Custodial and Skilled Care Services Coverage Determination Guideline.				
1513			1/11/2015	Brandt Pfeifer's Verified Responses and Objections to UBH's First Set of Interrogatories				
1514			1/21/2015	Brian Muir's Verified Responses and Objections to UBH's First Set of Interrogatories				
1515			1/21/2015	Cecilia Holdnak's Verified Responses and Objections to UBH's First Set of Interrogatories				
1516			2/3/2015	David Wit's Verified Revised Responses and Objections to UBH's First Set of Interrogatories				
1517			1/19/2016	Plaintiffs' Consolidated Supp. Responses to Def.'s Second Set of Interrogatories				
1518			1/19/2016	Ex. A Plaintiffs' Consolidated Supp. Responses to Def.'s Second Set of Interrogatories				
1519			3/2/2017	Plaintiffs Second Consolidated Supp. Responses to Def.'s Second Set of Interrogatories				
1520			3/2/2017	Ex. 1 to Plaintiffs' Second Consolidated Supp. Resp. to Def.'s Second Set of Interrogatories				
1521			3/2/2017	Ex. 2 to Plaintiffs' Second Consolidated Supp. Resp. to Def.'s Second Set of Interrogatories				
1522			1/21/2015	Lori Flanzraich's Verified Responses and Objections to UBH's First Set of Interrogatories				
1523			3/3/2015	Natasha Wit's Verified Revised Responses and Objections to UBH's First Set of Interrogatories				
1524			8/10/2015	Corinna Klein's Responses to Defendant's First Set of Interrogatories				
1525			1/21/2015	David Wit's Responses and Objections to Defendant's First Set of Interrogatories				
1526			1/21/2015	Natasha Wit's Responses and Objections to Defendant's First Set of Interrogatories				

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1527			3/9/2016	Linda Tillitt's Responses and Objections to Defendant's First Set of Interrogatories				
1528			3/9/2016	Linda Tillitt's Revised Responses and Objections to Defendant's First Set of Interrogatories				
1529			9/3/2015	Brandt Pfeifer's Amended and Supplemental Responses and Objections to Defendant's First Set of Interrogatories				
1530			9/3/2015	Brian Muir's Amended and Supplemental Responses and Objections to Defendant's First Set of Interrogatories				
1531			9/10/2015	Cecilia Holdnak's Amended and Supplemental Responses and Objections to Defendant's First Set of Interrogatories				
1532			9/3/2015	David Wit's Amended and Supplemental Responses and Objections to Defendant's First Set of Interrogatories				
1533			9/9/2015	Lori Flanzraich's Amended and Supplemental Responses and Objections to Defendant's First Set of Interrogatories				
1534			9/3/2015	Natasha Wit's Amended and Supplemental Responses and Objection to Defendant's First Set of Interrogatories				
1535	UBHWT0015451			Plan -659				
1536	UBHWT0015579			Plan -659				
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1538	UBHWT0031103			Plan- 828				
1539	UBHWT0018744			Plan- 986				
1540	UBWHIT00222082			Plan- 1010				
1541	UBHWT0031705			Plan- 1027				
1542	UBHWT0032847			Plan- 1060				
1543	UBHWT0033193			Plan -1060				
1544	UBHWT0021115			Plan-1655				
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1565	UBHWT0020577			Plan -8873				
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1567	UBHWT0039982			Plan -8895				
1568	UBHWT0025410			Plan -8963				
1569	UBHWT0015341			Plan -8988				
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1582	UBHWT0040269			Plan -12562				
1583	UBHWT0019960			Plan -12605				
1584	UBHWT0038771			Plan -12649				
1585	UBWHIT0042022			Plan -13042				

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1586	UBHWT0045789			Plan -13292				
1587	UBHWT0064381			Plan -13949				
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1591	UBHALEXANDER0018235			Plan -388				
1592	UBHALEXANDER0024579			Plan -1252				
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